



MAYOR: JOHN LIZALDE
MAYOR PRO TEM: ARISTON JULIAN
COUNCIL MEMBER: GINA RUBALCABA
COUNCIL MEMBER: JERRY BEATTY
COUNCIL MEMBER: VIRGINIA PONCE

CITY ADMINISTRATOR: ANDREW CARTER
CITY ATTORNEY: DAVID FLEISHMAN
CITY CLERK: JOICE EARLEEN RAGUZ
CITY TREASURER: PETRONA AMIDO

AGENDA

GUADALUPE CITY COUNCIL

Regular Meeting
Tuesday, March 22, 2016

REGULAR SESSION 6:00 P.M.

City Hall, Council Chambers
918 Obispo Street, Guadalupe, California 93434

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in a City meeting or other services offered by this City, please contact the City Clerk's office, (805) 356-3891. Notification of at least 72 hours prior to the meeting or time when services are needed will assist the City staff in assuring that reasonable arrangements can be made to provide accessibility to the meeting or service.

*If you wish to speak concerning any item on the agenda, please complete the Request to Speak form that is provided at the rear of the Council Chambers prior to the completion of the staff report and hand the form to the City Clerk. **Note:** Staff Reports for this agenda, as well as any materials related to items on this agenda submitted after distribution of the agenda packet, are available for inspection at the office of the City Administrator, City Hall, 918 Obispo Street, Guadalupe, California during regular business hours, 8:00 a.m. to 4:30 p.m., Monday through Friday; telephone (805) 356-3891.*

1. **MOMENT OF SILENCE.**
2. **PLEDGE OF ALLEGIANCE.**
3. **ROLL CALL.** Council Members Jerry Beatty, Virginia Ponce, Gina Rubalcaba, Ariston Julian and Mayor John Lizalde.
4. **COMMUNITY PARTICIPATION FORUM.**

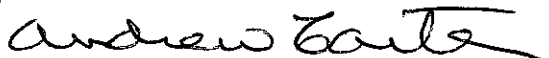
Each person will be limited to a discussion of 3 minutes or as directed by the Mayor. This time is reserved to accept comments from the public on Consent Agenda items, Closed Session items, or matters not otherwise scheduled on this agenda. Pursuant to provisions of the Brown Act, no action may be taken on these matters unless they are listed on the agenda, or unless certain emergency or special circumstances exist. City Council may direct Staff to investigate and/or schedule certain matters for consideration at a future City Council meeting.

5. **CONSENT CALENDAR.** The following items are presented for Council approval without discussion as a single agenda item in order to expedite the meeting. Should a Council Member wish to discuss or disapprove an item, it must be dropped from the blanket motion of approval and considered as a separate item.
 - a. Payment of Warrants for the period ending March 17, 2016 to be approved for payment by the City Council. Subject to having been certified as being in conformity with the budget by the Finance Director.
 - b. Minutes of the City Council Regular Meeting of March 8, 2016 to be ordered filed.
 - c. Monthly Reports from Department Heads:
 - i. Police Department report for the month of February 2016.
 - ii. Fire Department report for the month of February 2016.
 - iii. Building Department report for the month of February 2016.
 - iv. City Treasurer’s report for the month of February 2016.
 - v. Monthly Cash Balance Report.
 - vi. City Engineer’s report for the month of February 2016.
 - vii. Parks and Recreation Department Update.
 - d. Authorizing the filing of a claim with the Santa Barbara County Association of Governments for Allocation of Transportation Development Act Funds for FY 2016-2017 – Resolution No. 2016-09.
 - e. Authorizing the Federal Funding under FTA Section 5311 with California Department of Transportation – Resolution No. 2016-10.
6. **SMOOTH, INC. ONE-YEAR CONTRACT EXTENSION.** That the City Council adopt Resolution No. 2016-08 approving a one-year extension to the term set in the 04/23/2013 agreement for transit services with Santa Maria Organization of Transportation Helpers, Inc. (“SMOOTH.”)
 - a. Written Staff Report (Andrew Carter, City Administrator)
 - b. City Council discussion and consideration.
 - c. It is recommended that the City Council adopt Resolution No. 2016-08.
7. **APPROVE THE CITY OF GUADALUPE SPILL RESPONSE PLAN.** That the City Council adopt Resolution No. 2016-13 approving the Spill Response Plan as required by the Phase II Small MS4 General Stormwater Permit.
 - a. Written Staff Report (Jeff van den Eikhof, City Engineer)
 - b. City Council discussion and consideration.
 - c. It is recommended that the City Council adopt Resolution No. 2016-13.

8. **AMENDMENT TO CONTRACT WITH PAVEMENT ENGINEERING INC. FOR DESIGN, INSPECTION, TESTING AND CONTRACT ADMINISTRATION OF THE 2016 PAVEMENT MAINTENANCE PROJECT AND THE 2016 PAVEMENT REHABILITATION PROJECT.** That the City Council adopt Resolution No. 2016-12 authorizing the City Administrator to amend the exciting contract with Pavement Engineering Inc. (PEI) for the 2016 Pavement Maintenance Project and the 2016 Pavement Rehabilitation Project. It is further recommended that the City Council authorize the City Administrator to approve any necessary contract amendments within the proposed \$900,000 total project budget.
- Written Staff Report (Jeff van den Eikhof, City Engineer)
 - City Council discussion and consideration.
 - It is recommended that the City Council adopt Resolution No. 2016-12.
9. **ADOPTION OF A RESOLUTION AUTHORIZING THE FIRE DEPARTMENT TO SURPLUS AND AUCTION ONE VEHICLE DEEMED AS SURPLUS AND TRANSFER PROCEEDS INTO THE FIRE SAFETY FUND (FUND 40).** That the City Council adopt Resolution No. 2016-11 authorizing staff to surplus one vehicle through a sealed bid process.
- Written Staff Report (Gary Hoving, Director of Public Safety)
 - City Council discussion and consideration.
 - It is recommended that the City Council adopt Resolution No. 2016-11.
10. **ADOPTION OF A RESOLUTION CORRECTING CALPERS RETIREMENT PROGRAM EMPLOYER PAID MEMBER CONTRIBUTIONS.** That the City Council adopt Resolution No. 2016-14 required by CalPERS, correctly identifying Employer Paid Member Contributions.
- Written Staff Report (Dave Fleishman, City Attorney)
 - City Council discussion and consideration.
 - It is recommended that the City Council adopt Resolution No. 2016-14.
11. **ECONOMIC DEVELOPMENT.**
- Written Staff Report (Andrew Carter, City Administrator)
 - City Council discussion and consideration.
 - It is recommended:
 - Council to complete the Self-Assessment Survey.
 - Council to provide feedback on proposed surveys to be used with downtown business owners and local residents.
12. **CITY MANAGER REPORT/ FUTURE AGENDA ITEMS.**
13. **ANNOUNCEMENTS / COUNCIL ACTIVITY REPORTS.**
14. **ADJOURNMENT.**

I hereby certify under penalty of perjury under the laws of the State of California that the foregoing Agenda was posted at the City Hall display case, the Water Department and the City Clerk's office not less than 72 hours prior to the meeting. Dated this 18th day of March 2016.

By:



Andrew Carter, City Administrator

REPORT TO THE CITY COUNCIL
Council Agenda of March 22, 2016



Prepared by
Esther Britt



Reviewed by
Andrew Carter



Approved by
Annette Munoz

SUBJECT:

Payment of warrants for the period ending March 17, 2016 to be approved for payment by the City Council. Subject to having been certified as being in conformity with the budget by the Finance Department staff.

RECOMMENDATION:

That the City Council review and approve the listing of hand checks and warrants to be paid on March 23, 2016

BACKGROUND:

Submittal of the listing of warrants issued by the City to vendors for the period and explanations for disbursement of these warrants. An exception, such as an emergency hand check may be required to be issued and paid prior to submittal of the warrant listing, however, this warrant will be identified as "Ratify" on the warrant listing.

141 SUBURBAN ROAD STE C-1 *** VENDOR.: ABA01 (ABALONE COAST ANALYTICAL, INC.)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
16-1107	WATER SAMPLER	03-16	02/24/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	WATER SAMPLER	12	4425 1550	1	82.00	82.00
(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)						
Invoice Extension ---->						82.00

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
16-1267	WATER SAMPLE	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	WATER SAMPLE	12	4425 2150	1	164.00	164.00
(Wst.Wtr.Op.Fund Wastewater Profl Services)						
Invoice Extension ---->						164.00
Vendor Total ----->						246.00

543-C W. BETTERAVIA ROAD *** VENDOR.: AMR04 (AMERICAN INDUSTRIAL SUPPLY INC.)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
0290715IN	RE-ESTABLISH STATE WATER SUPPLY LINE	03-16	02/23/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	RE-ESTABLISH STATE WATER SUPPLY LINE	10	4420 1550	1	913.59	913.59
(Wtr. Oper. Fund Water Operating Op Supp/Expense)						
Invoice Extension ---->						913.59
Vendor Total ----->						913.59

AUS WEST LOCKBOX *** VENDOR.: ARA01 (ARAMARK UNIFORM SERVICES)
 P.O. BOX 101179

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
531323749	MAT,MOPS	03-16	02/16/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	MAT,MOPS	01	4145 2150	1	32.71	32.71
(General Fund Building Mtce Profl Services)						
Invoice Extension ---->						32.71

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
531323750	UNIFORM	03-16	02/16/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	UNIFORM	10	4420 2150	1	38.12	38.12
(Wtr. Oper. Fund Water Operating Profl Services)						
0002	UNIFORM	01	4145 2150	1	1.10	1.10
(General Fund Building Mtce Profl Services)						
0003	UNIFORM	01	4300 2150	1	1.11	1.11
(General Fund Parks & Rec Profl Services)						
0004	UNIFORM	10	4420 2150	1	1.65	1.65
(Wtr. Oper. Fund Water Operating Profl Services)						
0005	UNIFORM	71	4454 2150	1	1.65	1.65
(MEASURE A MEASURE A Profl Services)						
Invoice Extension ---->						43.63

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
531323752	MATS,DUST MOP, TISSUE	03-16	02/16/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	MATS,DUST MOP, TISSUE	12	4425 2150	1	20.71	20.71
(Wst.Wtr.Op.Fund Wastewater Profl Services)						
Invoice Extension ---->						20.71

AUS WEST LOCKBOX *** VENDOR.: ARA01 (ARAMARK UNIFORM SERVICES)

P.O. BOX 101179
 INVOICE-TYPE DESCRIPTION

Line	Description	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	Unit(s)	Unit Cost	Amount
0001	UNIFORM	10	4420 2150			1	6.16	6.16
				(Wtr. Oper. Fund Water Operating Profl Services)				
0002	UNIFORM	01	4145 2150			1	1.10	1.10
				(General Fund Building Mtce Profl Services)				
0003	UNIFORM	01	4300 2150			1	1.11	1.11
				(General Fund Parks & Rec Profl Services)				
0004	UNIFORM	10	4420 2150			1	1.65	1.65
				(Wtr. Oper. Fund Water Operating Profl Services)				
0005	UNIFORM	71	4454 2150			1	1.65	1.65
				(MEASURE A MEASURE A Profl Services)				
				Invoice Extension ---->				11.67

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

531358332	TOWELS,MOP	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010			
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Line	Description	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	Unit(s)	Unit Cost	Amount
0001	TOWELS,MOP	12	4425 2150			1	20.71	20.71
				(Wst.Wtr.Op.Fund Wastewater Profl Services)				
				Invoice Extension ---->				20.71

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

531358333	UNIFORM	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010			
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Line	Description	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	Unit(s)	Unit Cost	Amount
0001	UNIFORM	01	4145 2150			1	.68	.68
				(General Fund Building Mtce Profl Services)				
0002	UNIFORM	01	4300 2150			1	.69	.69
				(General Fund Parks & Rec Profl Services)				
0003	UNIFORM	71	4454 2150			1	5.49	5.49
				(MEASURE A MEASURE A Profl Services)				
				Invoice Extension ---->				6.86

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

531375729	MATS,DUST MOPS,	03-16	03/08/16 N N N	A-NET30 FROM INVOICE	2010			
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Line	Description	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	Unit(s)	Unit Cost	Amount
0001	MATS,DUST MOPS,	01	4145 2150			1	32.71	32.71
				(General Fund Building Mtce Profl Services)				
				Invoice Extension ---->				32.71

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

531375730	UNIFORM	03-16	03/08/16 N N N	A-NET30 FROM INVOICE	2010			
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Line	Description	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	Unit(s)	Unit Cost	Amount
0001	UNIFORM	10	4420 2150			1	6.16	6.16
				(Wtr. Oper. Fund Water Operating Profl Services)				
0002	UNIFORM	01	4145 2150			1	1.11	1.11
				(General Fund Building Mtce Profl Services)				
0003	UNIFORM	01	4300 2150			1	1.10	1.10
				(General Fund Parks & Rec Profl Services)				
0004	UNIFORM	10	4420 2150			1	1.65	1.65
				(Wtr. Oper. Fund Water Operating Profl Services)				
0005	UNIFORM	71	4454 2150			1	1.65	1.65
				(MEASURE A MEASURE A Profl Services)				
				Invoice Extension ---->				11.67

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

531375732	UNIFORM	03-16	03/08/16 N N N	A-NET30 FROM INVOICE	2010			
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Line	Description	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	Unit(s)	Unit Cost	Amount
0001	UNIFORM	12	4425 2150			1	20.71	20.71
				(Wst.Wtr.Op.Fund Wastewater Profl Services)				
				Invoice Extension ---->				20.71

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

531375733	UNIFORM	03-16	03/08/16 N N N	A-NET30 FROM INVOICE	2010			
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 AUS WEST LOCKBOX *** VENDOR.: ARA01 (ARAMARK UNIFORM SERVICES)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
531392911 WET AND DUST MOPS	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	UNIFORM	01 4145 2150	1	.69	.69
		(General Fund Building Mtce Profl Services)			
0002	UNIFORM	01 4300 2150	1	.69	.69
		(General Fund Parks & Rec Profl Services)			
0003	UNIFORM	71 4454 2150	1	5.48	5.48
		(MEASURE A MEASURE A Profl Services)			
				Invoice Extension ---->	6.86

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
531392912 UNIFORMS	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	WET AND DUST MOPS	01 4145 2150	1	32.71	32.71
		(General Fund Building Mtce Profl Services)			
				Invoice Extension ---->	32.71

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
531392914 MATS, MOPS, SOAP, TOWELS	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	UNIFORMS	10 4420 2150	1	101.97	101.97
		(Wtr. Oper. Fund Water Operating Profl Services)			
0002	UNIFORMS	01 4145 2150	1	1.07	1.07
		(General Fund Building Mtce Profl Services)			
0003	UNIFORMS	01 4310 2150	1	1.07	1.07
		(General Fund Parks Profl Services)			
0004	UNIFORMS	10 4420 2150	1	1.60	1.60
		(Wtr. Oper. Fund Water Operating Profl Services)			
0005	UNIFORMS	71 4454 2150	1	1.60	1.60
		(MEASURE A MEASURE A Profl Services)			
				Invoice Extension ---->	107.31

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
531392915 UNIFORM	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	MATS, MOPS, SOAP, TOWELS	12 4425 2150	1	166.23	166.23
		(Wst.Wtr.Op.Fund Wastewater Profl Services)			
				Invoice Extension ---->	166.23

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
531392915 UNIFORM	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	UNIFORM	01 4145 2150	1	.69	.69
		(General Fund Building Mtce Profl Services)			
0002	UNIFORM	01 4300 2150	1	.69	.69
		(General Fund Parks & Rec Profl Services)			
0003	UNIFORM	71 4454 2150	1	5.48	5.48
		(MEASURE A MEASURE A Profl Services)			
				Invoice Extension ---->	6.86
				Vendor Total ----->	705.63
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 906 SOUTH BROADWAY *** VENDOR.: BEE02 (BEE SAFE LOCK & KEY)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
101394 4 KEYS	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	4 KEYS	01 4300 2150	1	102.91	102.91
		(General Fund Parks & Rec Profl Services)			
				Invoice Extension ---->	102.91

906 SOUTH BROADWAY

*** VENDOR.: BEE02 (BEE SAFE LOCK & KEY)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
			Vendor Total ----->	102.91 =====

23537 NETWORK PLACE

*** VENDOR.: BOU01 (BOUND TREE MEDICAL LLC)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
82060913 GLOVES,AED PADS,MED SUPPLIES	03-16	02/17/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 MED SUPPLIES	01 4220 1550		1 1082.56	1082.56
	(General Fund Fire Op Supp/Expense)			
0002 AED PADS	01 4145 1550		1 70.35	70.35
	(General Fund Building Mtce Op Supp/Expense)			
0003 GLOVLESS	01 4200 1550		1 94.66	94.66
	(General Fund Police Op Supp/Expense)			
			Invoice Extension ---->	1247.57
			Vendor Total ----->	1247.57 =====

FILE # 2674

*** VENDOR.: BRE02 (BRENNTAG PACIFIC, INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
BPI601499 CHLORINE	03-16	02/18/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 CHLORINE	10 4420 1550		1 461.92	461.92
	(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
			Invoice Extension ---->	461.92

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
BPI601905 AMMONIUM	03-16	02/18/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 AMMONIUM	10 4420 1550		1 1306.70	1306.70
	(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
			Invoice Extension ---->	1306.70
			Vendor Total ----->	1768.62 =====

1022 LEVEL CREEK ROAD #1408

*** VENDOR.: BRI03 (BRIGHTLINE DISTRIBUTION)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
D201620 LENS CLEANING WIPES,SUN-SKEETER,SHIELD	03-16	03/08/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 LENS CLEANING WIPES,SUN-SKEETER,SHIELD	01 4145 1550		1 148.86	148.86
	(General Fund Building Mtce Op Supp/Expense)			
0002 LENS CLEANING WIPES,SUN-SKEETER,SHIELD	01 4300 1550		1 148.86	148.86
	(General Fund Parks & Rec Op Supp/Expense)			
0003 LENS CLEANING WIPES,SUN-SKEETER,SHIELD	10 4420 1550		1 148.86	148.86
	(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
0004 LENS CLEANING WIPES,SUN-SKEETER,SHIELD	71 4454 1550		1 148.86	148.86
	(MEASURE A MEASURE A Op Supp/Expense)			
0005 USER TAX	01 2265		-1 40.88	-40.88
	(General Fund USE TAX PAYABLE)			
			Invoice Extension ---->	554.56
			Vendor Total ----->	554.56 =====

P.O. BOX 847409

*** VENDOR.: CAL19 (CALPORTLAND CONSTRUCTION CORP)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
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P.O. BOX 847409

*** VENDOR.: CAL19 (CALPORTLAND CONSTRUCTION CORP)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
92741926 COLD MIX-4TH ST REPAIRS (APIO)	03-16	02/17/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 COLD MIX-4TH ST REPAIRS (APIO)	71 4454 1550		1 1568.53	1568.53
	(MEASURE A MEASURE A Op Supp/Expense)			
	Invoice Extension ---->			1568.53
	Vendor Total ----->			1568.53

1410 MARSH STREET

*** VENDOR.: CAR08 (CARMEL NACCASHA ATTORNEYS AT LAW LLP)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
031716 PROFESSIONAL SERVICES THROUGH 02/29/16	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 PROFESSIONAL SERVICES THROUGH 02/29/16	26 4500 2150		1 49.50	49.50
	(RDA-Op.Fund Redevelopment Profl Services)			
	Invoice Extension ---->			49.50
	Vendor Total ----->			49.50

P.O. BOX 60229

*** VENDOR.: CHA03 (CHARTER COMMUNICATIONS)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
031416 INTERNET	03-16	03/06/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 INTERNET	01 4140 1000		1 135.00	135.00
	(General Fund Non-Departmentl Utilities)			
	Invoice Extension ---->			135.00
	Vendor Total ----->			135.00

4490 TENTH STREET

NORMA BRIBIESCA PETTY CASH

*** VENDOR.: CIT03 (CITY OF GUADALUPE)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030916 PETTY CASH-SWITCH TIRES ,SUPPLIES,EXPENSES	03-16	01/15/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 PETTY CASH-SWITCH TIRES ,SUPPLIES,EXPENSES	01 4200 1550		1 136.87	136.87
	(General Fund Police Op Supp/Expense)			
0002 PETTY CASH-TRAINING	01 4200 1300		1 30.00	30.00
	(General Fund Police Bus Exp/Train)			
0003 PETTY CASH-OFFICE SUPPLIES	01 4200 1200		1 5.40	5.40
	(General Fund Police Off Suppl/Postg)			
	Invoice Extension ---->			172.27
	Vendor Total ----->			172.27

110 E. COOK STREET

*** VENDOR.: CIT12 (CITY OF SANTA MARIA)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
68818 FUEL FOR 01/2016	03-16	02/25/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 FUEL FOR 01/2016	23 4461 1560		1 3225.70	3225.70
	(LTF - Transit LTF Transit Fuels/Lubricant)			
	Invoice Extension ---->			3225.70

110 E. COOK STREET *** VENDOR.: CIT12 (CITY OF SANTA MARIA)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
			Vendor Total ----->	3225.70 =====

P.O. BOX 329 *** VENDOR.: CLI01 (CLIN.LAB-SAN BERNADINO INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
948825 WATER SAMPLES	03-16	03/11/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 WATER SAMPLES	10	4420 2150	1	640.00	640.00
	(Wtr. Oper. Fund Water Operating Profl Services)				
			Invoice Extension ---->	640.00	
			Vendor Total ----->	640.00 =====	

11020 W. PLANK COURT STE 100 *** VENDOR.: COR03 (CORIX WATER PRODUCTS (US) INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
613004934 RINGS AND GASKET	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 RINGS AND GASKET	10	4420 1550	1	197.49	197.49
	(Wtr. Oper. Fund Water Operating Op Supp/Expense)				
			Invoice Extension ---->	197.49	

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

613005537 3 PVC PLAIN END PIPE,PVC CEMENT,PRIMER,REMOVER	03-16	03/08/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 3 PVC PLAIN END PIPE,PVC CEMENT,PRIMER,REMOVER	10	4420 1550	1	129.93	129.93
	(Wtr. Oper. Fund Water Operating Op Supp/Expense)				
			Invoice Extension ---->	129.93	
			Vendor Total ----->	327.42 =====	

ENVIROMENTAL HEALTH SERVICES *** VENDOR.: COU08 (COUNTY OF SANTA BARBARA)

2125 S.CENTERPOINTE PRWY #333
 INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

IN0047437 ANNUAL HAZARDOUS MATERIALS FOR OBISPO TANK	03-16	03/03/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 ANNUAL HAZARDOUS MATERIALS FOR OBISPO TANK	10	4420 2350	1	289.00	289.00
	(Wtr. Oper. Fund Water Operating Svcs.Other Agen)				
			Invoice Extension ---->	289.00	

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

IN0047477 ANNUAL HAZARDOUS MATERIALS PERMIT FOR WWTP	03-16	03/03/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 ANNUAL HAZARDOUS MATERIALS PERMIT FOR WWTP	12	4425 2350	1	640.00	640.00
	(Wst.Wtr.Op.Fund Wastewater Svcs.Other Agen)				
			Invoice Extension ---->	640.00	
			Vendor Total ----->	929.00 =====	

2650 EL CAMINO REAL *** VENDOR.: FEN01 (FENCE FACTORY INC.)

INVOICE-TYPE DESCRIPTION PERIOD DATE TERM-DESCRIPTION G/L ACCOUNT No

2650 EL CAMINO REAL

*** VENDOR.: FEN01 (FENCE FACTORY INC.)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
941204	TEMPORARY FENCE RENTAL- 884 GUADALUPE-DUMPSTERS	03-16	02/26/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	TEMPORARY FENCE RENTAL- 884 GUADALUPE-DUMPSTERS	26	4500 2150	1	77.85	77.85
		(RDA-Op.Fund Redevelopment Profl Services)				
				Invoice Extension ---->		77.85
				Vendor Total ----->		77.85
						=====

*** VENDOR.: GUA02 (GUADALUPE HARDWARE COMPANY INC.)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
96962	RED PRIMER	03-16	02/08/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	RED PRIMER	12	4425 1550	1	70.33	70.33
		(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
				Invoice Extension ---->		70.33

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
97359	6 GAL OF BLEACH	03-16	02/23/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	6 GAL OF BLEACH	12	4425 1550	1	27.86	27.86
		(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
				Invoice Extension ---->		27.86

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
97476	CLEANERS,OIL,FITTING	03-16	03/05/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	CLEANERS,OIL,FITTING	10	4420 1550	1	45.10	45.10
		(Wtr. Oper. Fund Water Operating Op Supp/Expense)				
				Invoice Extension ---->		45.10

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
97558	SPRINGS	03-16	02/22/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	SPRINGS	12	4425 1550	1	16.22	16.22
		(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
				Invoice Extension ---->		16.22

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
97674	TAPE,ROUND UP	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	TAPE,ROUND UP	12	4425 1550	1	89.86	89.86
		(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
				Invoice Extension ---->		89.86

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
97693	CLEAR SILICONE	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001	CLEAR SILICONE	12	4425 1550	1	11.89	11.89
		(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
				Invoice Extension ---->		11.89

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
97961	BALL VALVE	03-16	02/19/16 N N N	A-NET30 FROM INVOICE	2010

*** VENDOR.: GUA02 (GUADALUPE HARDWARE COMPANY INC.)

P.O. BOX 337

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
Line	Description		G/L Account No	Unit(s)	Unit Cost	Amount
0001	BALL VALVE		10 4420 1550	1	7.70	7.70
			(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
				Invoice Extension ---->		7.70

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98027	BRUSH,LIGHTBULBS,SAW BLADE,PAINT	03-16	03/05/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	BRUSH,LIGHTBULBS,SAW BLADE,PAINT	71 4454 1550	1	66.37	66.37
		(MEASURE A MEASURE A Op Supp/Expense)			
				Invoice Extension ---->	66.37

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98123	NUTS, BOLTS	03-16	03/03/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	NUTS, BOLTS	10 4420 1550	1	64.30	64.30
		(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
				Invoice Extension ---->	64.30

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98262	QUICKRETE	03-16	03/10/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	QUICKRETE	10 4420 1550	1	12.93	12.93
		(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
				Invoice Extension ---->	12.93

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98263	BRASS, PIPE	03-16	03/09/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	BRASS, PIPE	10 4420 1550	1	23.49	23.49
		(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
				Invoice Extension ---->	23.49

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98306	2 COUPLER	03-16	03/09/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	2 COUPLER	10 4420 1550	1	15.57	15.57
		(Wtr. Oper. Fund Water Operating Op Supp/Expense)			
				Invoice Extension ---->	15.57

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98344	SCREWS,NUTS,FLATS,WASHER	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	SCREWS,NUTS,FLATS,WASHER	01 4220 1400	1	9.76	9.76
		(General Fund Fire Equipment Maint)			
				Invoice Extension ---->	9.76

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
98657	WAX RING,CAP,SELF DRILLING SCREWS	03-16	03/10/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	WAX RING,CAP,SELF DRILLING SCREWS	01 4145 1550	1	32.02	32.02
		(General Fund Building Mtce Op Supp/Expense)			
				Invoice Extension ---->	32.02

*** VENDOR.: GUA02 (GUADALUPE HARDWARE COMPANY INC.)

P.O. BOX 337

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
				Vendor Total ----->
				493.40 =====

1421 PARK STREET

*** VENDOR.: GWA01 (GREAT WESTERN ALARM & COMMUNICATION INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
160201752	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	PROFESSIONAL SERVICES FOR 03/01/16 TO 03/31/16	10 4420 2150	1	47.00	47.00
				(Wtr. Oper. Fund Water Operating Profl Services)	
				Invoice Extension ---->	47.00
				Vendor Total ----->	47.00 =====

1319 MARSH STREET
 ATTORNEYS AT LAW

*** VENDOR.: HAL02 (HALL,HIBATT & CONNELLY, LLP)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
33504	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	PROFESSIONAL SERVICES	01 4110 2150	1	10826.03	10826.03
				(General Fund City Attorney Profl Services)	
				Invoice Extension ---->	10826.03
				Vendor Total ----->	10826.03 =====

1340 VALLEY VISTA DRIVE STE200

*** VENDOR.: HDL01 (HINDERLITER DE LLAMAS & ASSOCIATES)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
0024969IN	03-16	03/03/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	QUARTERLY PAYMENT	01 4105 2150	1	301.66	301.66
				(General Fund Administration Profl Services)	
				Invoice Extension ---->	301.66
				Vendor Total ----->	301.66 =====

1850 W. BETTERAVIA ROAD

*** VENDOR.: HEA01 (HEALTH SANITATION SERVICE INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
0295285	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010	
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	TRASH SERVICES FROM 01/10/16-02/10/16	15 4435 2110	1	38072.72	38072.72
				(Solid Waste Solid Waste HSS SERVICES)	
				Invoice Extension ---->	38072.72
				Vendor Total ----->	38072.72 =====

P.O. BOX 2002

*** VENDOR.: JAS01 (JAS PACIFIC, INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
B112107	03-16	03/05/16 N N N	A-NET30 FROM INVOICE	2010

P.O. BOX 2002

*** VENDOR.: JAS01 (JAS PACIFIC, INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 PUBLIC WORKS INSPECTION	01	2004	1 236.25	236.25
		(General Fund D.J. FARMS)		
		Invoice Extension ---->		236.25

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
Bil2109 PROFESSIONAL SERVICES (BUILDING INSPECTOR)	03-16	03/05/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 PROFESSIONAL SERVICES (BUILDING INSPECTOR)	01	4405 2150	1 3102.75	3102.75
		(General Fund Bldg and Safety Prof'l Services)		
		Invoice Extension ---->		3102.75

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
PC4561-R PROFESSIONAL SERVICES FOR 02/01/16-02/29/2016	03-16	03/05/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 PROFESSIONAL SERVICES FOR 02/01/16-02/29/2016	01	4405 2150	1 2415.00	2415.00
		(General Fund Bldg and Safety Prof'l Services)		
		Invoice Extension ---->		2415.00
		Vendor Total ----->		5754.00
				=====

P.O. BOX 400

*** VENDOR.: LEE01 (LEE CENTRAL COAST NEWSPAPERS)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
77153 PUBLIC NOTICE RFP FOR AUDITING SERVICES	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 PUBLIC NOTICE RFP FOR AUDITING SERVICES	01	4105 1250	1 55.75	55.75
		(General Fund Administration Advertisin/Pub.)		
		Invoice Extension ---->		55.75
		Vendor Total ----->		55.75
				=====

1500 S. BROADWAY

*** VENDOR.: LOC01 (LOCAL COPIES ETC. (CORP))

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
74868 LARGE FORMAT DRAFTING SETS	03-16	03/09/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 LARGE FORMAT DRAFTING SETS	01	4145 1550	1 64.95	64.95
		(General Fund Building Mtce Op Supp/Expense)		
		Invoice Extension ---->		64.95
		Vendor Total ----->		64.95
				=====

25881 NETWORK PLACE

*** VENDOR.: MAI01 (MAILFINANCE)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
N5816898 LEASE PAYMENT FOR 04/06/16-07/05/16	03-16	03/05/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 LEASE PAYMENT FOR 04/06/16-07/05/16	12	4425 4150	1 673.60	673.60
		(Wst.Wtr.Op.Fund Wastewater Lease-Purchase)		
0002 LEASE PAYMENT FOR 04/06/16-07/05/16	10	4420 4150	1 673.61	673.61
		(Wtr. Oper. Fund Water Operating Lease-Purchase)		
		Invoice Extension ---->		1347.21

25881 NETWORK PLACE

*** VENDOR.: MAI01 (MAILFINANCE)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
				Vendor Total -----> 1347.21 =====

DEPT. LA 23793

*** VENDOR.: MAT02 (MATHESON TRI-GAS,INC)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
12948724 RENTAL	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 RENTAL	12 4425 2150		1 38.41	38.41
(Wst.Wtr.Op.Fund Wastewater Profil Services)				
Invoice Extension ---->				38.41
Vendor Total ----->				38.41 =====

2280 S MEREDITH LANE

*** VENDOR.: NOB02 (NOBLE POWER EQUIPMENT)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
282032 SUPPLY'S FOR ALLEY'S AND GENERAL WEED ABATEMENT	03-16	02/09/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 SUPPLY'S FOR ALLEY'S AND GENERAL WEED ABATEMENT	71 4454 1550		1 58.55	58.55
(MEASURE A MEASURE A Op Supp/Expense)				
Invoice Extension ---->				58.55
Vendor Total ----->				58.55 =====

P.O. BOX 997300

*** VENDOR.: PAC01 (PACIFIC GAS & ELECTRIC)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030816 GULARTE LANE	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 GULARTE LANE	12 4425 1000		1 50.65	50.65
(Wst.Wtr.Op.Fund Wastewater Utilities)				
Invoice Extension ---->				50.65

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030916 884 GUADALUPE ST	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 884 GUADALUPE ST	65 4485 1000		1 65.42	65.42
(Quad.Light Dist Gdlpe Light Dis Utilities)				
Invoice Extension ---->				65.42

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030816-A 5125 W. MAIN ST	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 5125 W. MAIN ST	12 4425 1000		1 10575.48	10575.48
(Wst.Wtr.Op.Fund Wastewater Utilities)				
Invoice Extension ---->				10575.48

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030816-B 4545 10TH ST	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 4545 10TH ST	01 4145 1000		1 138.45	138.45
(General Fund Building Mtce Utilities)				
Invoice Extension ---->				138.45

P.O. BOX 997300

*** VENDOR.: PAC01 (PACIFIC GAS & ELECTRIC)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030816-C AL'S UNION	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 AL'S UNION	26 4500 2150		1 10.36	10.36
	(RDA-Op.Fund Redevelopment Profl Services)			
	Invoice Extension ---->			10.36
	Vendor Total ----->			10840.36
				=====

ROBERT COBB
 414 S. WESTERN

*** VENDOR.: PER02 (PERRY'S ELECTRIC MOTORS)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
19109 1 1/2 STEEL LOCKNUT	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 1 1/2 STEEL LOCKNUT	12 4425 2150		1 542.87	542.87
	(Wst.Wtr.Op.Fund Wastewater Profl Services)			
	Invoice Extension ---->			542.87

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
19161 CAMBRIC,SPLICING AND ELECTRICAL TAPE	03-16	03/15/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 CAMBRIC,SPLICING AND ELECTRICAL TAPE	10 4420 2150		1 525.95	525.95
	(Wtr. Oper. Fund Water Operating Profl Services)			
	Invoice Extension ---->			525.95
	Vendor Total ----->			1068.82
				=====

P.O. BOX 37600

*** VENDOR.: QUI01 (QUILL CORPORATION)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
3647683 OFFICE SUPPLIES	03-16	02/25/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 OFFICE SUPPLIES	01 4105 1200		1 59.85	59.85
	(General Fund Administration Off Suppl/Postg)			
0002 OFFICE SUPPLIES	01 4140 1200		1 38.85	38.85
	(General Fund Non-Departmentl Off Suppl/Postg)			
0003 OFFICE SUPPLIES	01 4200 1200		1 120.20	120.20
	(General Fund Police Off Suppl/Postg)			
0004 OFFICE SUPPLIES	01 4220 1200		1 357.47	357.47
	(General Fund Fire Off Suppl/Postg)			
0005 OFFICE SUPPLIES	01 4405 1200		1 13.39	13.39
	(General Fund Bldg and Safety Off Suppl/Postg)			
	Invoice Extension ---->			589.76

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
3864319 AUTO ACCIDENT KIT FOR ALL CITY VEHICLES	03-16	03/04/16 N N N	A-NET30 FROM INVOICE	2010
Line Description	G/L Account No		Unit(s) Unit Cost	Amount
0001 AUTO ACCIDENT KIT FOR ALL CITY VEHICLES	01 4105 1200		1 31.17	31.17
	(General Fund Administration Off Suppl/Postg)			
	Invoice Extension ---->			31.17
	Vendor Total ----->			620.93
				=====

PO. BOX 849665

*** VENDOR.: QUI06 (QUINN RENTAL SERVICE INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
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PO. BOX 849665

*** VENDOR.: QUI06 (QUINN RENTAL SERVICE INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
N30001398 ENGINE SERVICE	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	ENGINE SERVICE	12 4425 2150 (Wst.Wtr.Op.Fund Wastewater Profl Services)	1	655.33	655.33
Invoice Extension ---->					655.33

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
N30001399 SERVICE ENGINE AND TRAVEL EXPENSE	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	SERVICE ENGINE AND TRAVEL EXPENSE	12 4425 2150 (Wst.Wtr.Op.Fund Wastewater Profl Services)	1	738.61	738.61
Invoice Extension ---->					738.61

Vendor Total -----> 1393.94
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P.O. BOX 856158

*** VENDOR.: REA01 (READY REFRESH BY NESTLE)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
809535043 DRINKING WATER	03-16	02/26/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	DRINKING WATER	12 4425 2150 (Wst.Wtr.Op.Fund Wastewater Profl Services)	1	39.47	39.47
Invoice Extension ---->					39.47

Vendor Total -----> 39.47
 =====

325 E. ELLIOT RD SUITE 25

*** VENDOR.: SAT01 (SATCOM GLOBAL FZE)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
S03160158 COMMUNICATION	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	COMMUNICATION	01 4220 1150 (General Fund Fire Communications)	1	42.75	42.75
0002	COMMUNICATION	01 4200 1150 (General Fund Police Communications)	1	42.75	42.75
Invoice Extension ---->					85.50

Vendor Total -----> 85.50
 =====

*** VENDOR.: SCH01 (PATRICK SCHMITZ)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
754733 REIMBURSEMT FOR FIREFIGHTER OF THE YEAR PLACK	03-16	02/26/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	REIMBURSEMT FOR FIREFIGHTER OF THE YEAR PLACK	01 4220 1550 (General Fund Fire Op Supp/Expense)	1	8.50	8.50
Invoice Extension ---->					8.50

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
7014784 REPLACEMENT RADIO SPEAKERS	03-16	03/14/16 N N N	A-NET30 FROM INVOICE	2010

Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	REPLACEMENT RADIO SPEAKERS	01 4200 1400 (General Fund Police Equipment Maint)	1	321.65	321.65

*** VENDOR.: SCH01 (PATRICK SCHMITZ)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0002 REPLACEMENT RADIO SPEAKERS	01	4220 1400	1 45.95	45.95
		(General Fund Fire Equipment Maint)		
		Invoice Extension ---->		367.60
		Vendor Total ----->		376.10

240 EAST ROEMER WAY *** VENDOR.: SMO01 (SMOOTH INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
15-3026 MAINTENANCE REIMBURSEMENT FOR 02/2016	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 MAINTENANCE REIMBURSEMENT FOR 02/2016	23	4461 1400	1 1346.66	1346.66
		(LTF - Transit LTF Transit Equipment Maint)		
		Invoice Extension ---->		1346.66

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
15-3027 02/2016 CONTRACT REIMBURSEMENT GUADALUPE SHUTTLE	03-16	02/29/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 02/2016 CONTRACT REIMBURSEMENT GUADALUPE SHUTTLE	23	4461 2354	1 20391.84	20391.84
		(LTF - Transit LTF Transit Contract Svcs)		
0002 02/2016 REIMBURSEMENT FOR VEHICLE EXPENSE	23	4461 2200	1 51.20	51.20
		(LTF - Transit LTF Transit Equip. Rental)		
0003 LESS FARES AND PASSES RECEIVED	23	3511	-1 6748.98	-6748.98
		(LTF - Transit Fair Box Revenues)		
0004 LCTOP GRANT	23	4461 2358	1 4728.86	4728.86
		(LTF - Transit LTF Transit LCTOP Exp)		
		Invoice Extension ---->		18422.92
		Vendor Total ----->		19769.58

*** VENDOR.: SOU01 (SOUTHERN CALIFORNIA GAS)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
P.O. BOX C 030816 SENIOR CENTER-4545 10TH ST	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 SENIOR CENTER-4545 10TH ST	01	4145 1000	1 116.40	116.40
		(General Fund Building Mtce Utilities)		
		Invoice Extension ---->		116.40

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030816-A VETS HALL-1025 GUADALUPE	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 VETS HALL-1025 GUADALUPE	01	4145 1000	1 112.55	112.55
		(General Fund Building Mtce Utilities)		
		Invoice Extension ---->		112.55

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
030816-B 918 OBISPO -CITY HALL	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010
Line Description		G/L Account No	Unit(s) Unit Cost	Amount
0001 918 OBISPO -CITY HALL	01	4145 1000	1 516.83	516.83
		(General Fund Building Mtce Utilities)		
		Invoice Extension ---->		516.83
		Vendor Total ----->		745.78

9400 OAKDALE AVE *** VENDOR.: SOU03 (SOUTHERN CALIFORNIA GAS COMPANY)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
031116	REIMBURSEMENT FOR ENCROACHMENT PERMIT 014-2015	03-16	03/11/16 N N N	A-NET30 FROM INVOICE	2010
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	REIMBURSEMENT FOR DEPOSIT ON ENCROACHMENT PERMIT	01 2048	1	32.00	32.00
	(General Fund Building Permit Deposits)				
	Invoice Extension ---->				32.00
	Vendor Total ----->				32.00

DEPT, CH 10651 *** VENDOR.: STA08 (STANLEY CONVERGENT SECURITY SOLUTION IN.)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
13253506	PROFESSIONAL SERVICE FOR 04/01/16-04/30/16	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	PROFESSIONAL SERVICE FOR 04/01/16-04/30/16	01 4120 2150	1	45.00	45.00
	(General Fund Finance Profl Services)				
	Invoice Extension ---->				45.00

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
13269020	PROFESSIONAL SERVICES FOR 04/01/16-04/30/16	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	PROFESSIONAL SERVICES FOR 04/01/16-04/30/16	01 4105 2150	1	45.00	45.00
	(General Fund Administration Profl Services)				
	Invoice Extension ---->				45.00
	Vendor Total ----->				90.00

P.O. BOX 689020 *** VENDOR.: STA11 (STAPLES CREDIT PLAN)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
151334534	INDUSTRIES STEELMASTER BOX (FINANCE)	03-16	02/18/16 N N N	A-NET30 FROM INVOICE	2010
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	INDUSTRIES STEELMASTER BOX (FINANCE)	01 4120 1550	1	63.31	63.31
	(General Fund Finance Op Supp/Expense)				
	Invoice Extension ---->				63.31
	Vendor Total ----->				63.31

P.O. BOX 9004-C#322222 *** VENDOR.: USA01 (U.S.A. BLUEBOOK INC.)

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
875725	COREpro sr 15'SAMPLER	03-16	02/17/16 N N N	A-NET30 FROM INVOICE	2010
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	COREpro sr 15'SAMPLER	12 4425 1550	1	151.92	151.92
	(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
	Invoice Extension ---->				151.92

INVOICE-TYPE	DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No
876914	GLASS FIBER FILTERS FOR LAB WORK	03-16	02/18/16 N N N	A-NET30 FROM INVOICE	2010
Line	Description	G/L Account No	Unit(s)	Unit Cost	Amount
0001	GLASS FIBER FILTERS FOR LAB WORK	12 4425 1550	1	209.90	209.90
	(Wst.Wtr.Op.Fund Wastewater Op Supp/Expense)				
	Invoice Extension ---->				209.90

P.O. BOX 9004-C#322222 *** VENDOR.: USA01 (U.S.A. BLUEBOOK INC.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
888088 SEAMETRICS DISPLAY,NOZZLE	03-16	03/02/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 SEAMETRICS DISPLAY,NOZZLE	10	4420 1550	1	834.54	834.54
	(Wtr. Oper. Fund Water Operating Op Supp/Expense)				
			Invoice Extension ---->		834.54
			Vendor Total ----->		1196.36

P.O. BOX 920041 *** VENDOR.: VER02 (VERIZON CALIFORNIA CORP.)

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
031016 COMMUNICATION-3431451	03-16	03/01/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 COMMUNICATION-3431451	12	4425 1150	1	110.86	110.86
	(Wst.Wtr.Op.Fund Wastewater Communications)				
			Invoice Extension ---->		110.86

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
031516 COMMUNICATION	03-16	03/04/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 COMMUNICATION	01	4220 1150	1	61.37	61.37
	(General Fund Fire Communications)				
0002 COMMUNICATION	01	4105 1150	1	109.97	109.97
	(General Fund Administration Communications)				
0003 COMMUNICATION	01	4120 1150	1	116.34	116.34
	(General Fund Finance Communications)				
0004 COMMUNICATION	01	4200 1150	1	171.34	171.34
	(General Fund Police Communications)				
0005 COMMUNICATION	01	4105 1150	1	61.37	61.37
	(General Fund Administration Communications)				
0006 COMMUNICATION	01	4405 1150	1	61.37	61.37
	(General Fund Bldg and Safety Communications)				
			Invoice Extension ---->		581.76

INVOICE-TYPE DESCRIPTION	PERIOD	DATE	TERM-DESCRIPTION	G/L ACCOUNT No	
031516-A COMMUNICATION	03-16	03/04/16 N N N	A-NET30 FROM INVOICE	2010	
Line Description	G/L Account No		Unit(s)	Unit Cost	Amount
0001 COMMUNICATION	01	4105 1150	1	64.75	64.75
	(General Fund Administration Communications)				
			Invoice Extension ---->		64.75
			Vendor Total ----->		757.37
			** Total Invoices ---->		106803.35
			** Total Checks ---->		.00
			*** Total Purchases ---->		106803.35

1,346.66	+	
18,422.92	+	
116.40	+	
112.55	+	
516.83	+	
32.00	+	
45.00	+	
45.00	+	
63.31	+	
151.92	+	
209.90	+	
834.54	+	
110.86	+	
581.76	+	
64.75	+	
106,803.35	*	

FUND	DEPT	OBJT	Description (DEPT/OBJT/FUND)	Activity	Actual	Encumbrance	Total	Budget	Variance
01	2004		D.J. FARMS//General Fund	236.25					
01	2010		Accounts Payable//General Fund	-21938.74					
01	2048		Building Permit Deposits//Gener	32.00					
01	2265		USE TAX PAYABLE//General Fund	-40.88					
01	4105	1150	Administratio/Communication/Gen	236.09	3117.15	141.20	3494.44	4600.00	1105.56
01	4105	1200	Administratio/Off Suppl/Pos/Gen	91.02	621.48	10.71	723.21	1195.00	471.79
01	4105	1250	Administratio/Advertisin/Pu/Gen	55.75	706.75	.00	762.50	1500.00	737.50
01	4105	2150	Administratio/Profl Service/Gen	346.66	1295.80	.00	1642.46	2000.00	357.54
01	4110	2150	City Attorney/Profl Service/Gen	10826.03	50466.46	3393.62	64686.11	75000.00	10313.89
01	4120	1150	Finance/Communication/General F	116.34	2072.03	141.20	2329.57	3200.00	870.43
01	4120	1550	Finance/Op Supp/Expen/General F	63.31	1396.09	25.13	1484.53	2000.00	515.47
01	4120	2150	Finance/Profl Service/General F	45.00	13096.34	592.62	13733.96	15000.00	1266.04
01	4140	1000<*>	Non-Departmen/Utilities/General	135.00	675.00	.00	810.00	.00	-810.00
01	4140	1200	Non-Departmen/Off Suppl/Pos/Gen	38.85	1341.58	.00	1380.43	2834.00	1453.57
01	4145	1000	Building Mtce/Utilities/General	884.23	19381.95	1967.66	22233.84	32000.00	9766.16
01	4145	1550	Building Mtce/Op Supp/Expen/Gen	316.18	6051.84	47.42	6415.44	7000.00	584.56
01	4145	2150	Building Mtce/Profl Service/Gen	172.45	11514.63	1274.99	12962.07	18000.00	5037.93
01	4200	1150	Police/Communication/General Fu	214.09	4924.32	183.95	5322.36	6500.00	1177.64
01	4200	1200	Police/Off Suppl/Pos/General Fu	125.60	1641.26	.00	1766.86	3392.00	1625.14
01	4200	1300<*>	Police/Bus Exp/Train/General Fu	30.00	7988.26	525.00	8543.26	5000.00	-3543.26
01	4200	1400<*>	Police/Equipment Mai/General Fu	321.65	.00	.00	321.65	100.00	-221.65
01	4200	1550	Police/Op Supp/Expen/General Fu	231.53	7624.80	1123.33	8979.66	12000.00	3020.34
01	4220	1150	Fire/Communication/General Fund	104.12	2731.25	259.97	3095.34	4620.00	1524.66
01	4220	1200	Fire/Off Suppl/Pos/General Fund	357.47	998.10	.00	1355.57	2490.00	1134.43
01	4220	1400	Fire/Equipment Mai/General Fund	55.71	5820.70	310.20	6186.61	10000.00	3813.39
01	4220	1550	Fire/Op Supp/Expen/General Fund	1091.06	4065.01	49.72	5205.79	10000.00	4794.21
01	4300	1550<*>	Parks & Rec/Op Supp/Expen/Gener	148.86	1480.20	.00	1629.06	500.00	-1129.06
01	4300	2150	Parks & Rec/Profl Service/Gener	110.79	14480.32	1752.00	16343.11	27500.00	11156.89
01	4310	2150<*>	Parks/Profl Service/General Fun	1.07	.00	.00	1.07	.00	-1.07
01	4405	1150	Bldg and Safe/Communication/Gen	61.37	1637.19	141.20	1839.76	2600.00	760.24
01	4405	1200	Bldg and Safe/Off Suppl/Pos/Gen	13.39	93.63	.00	107.02	278.00	170.98
01	4405	2150	Bldg and Safe/Profl Service/Gen	5517.75	64348.99	.00	69866.74	184000.00	114133.26
Fund (01) Total ----->				.00	229571.13	11939.92	263222.42	433309.00	170086.58
=====									
10	2010		Accounts Payable//Wtr. Oper. Fu	-6504.45					
10	4420	1550	Water Operati/Op Supp/Expen/Wtr	4162.12	9760.94	3486.14	17409.20	45000.00	27590.80
10	4420	2150	Water Operati/Profl Service/Wtr	1379.72	59267.14	1717.00	62363.86	75000.00	12636.14
10	4420	2350<*>	Water Operati/Svcs.Other Ag/Wtr	289.00	38135.78	.00	38424.78	35000.00	-3424.78
10	4420	4150	Water Operati/Lease-Purchas/Wtr	673.61	2020.80	.00	2694.41	13000.00	10305.59
Fund (10) Total ----->				.00	109184.66	5203.14	120892.25	168000.00	47107.75
=====									
12	2010		Accounts Payable//Wst.Wtr.Op.Fu	-15211.09					

FUND	DEPT	OBJT	Description (DEPT/OBJT/FUND)	Activity	Actual	Encumbrance	Total	Budget	Variance
12	4425	1000	Wastewater/Utilities/Wst.Wtr.Op	10626.13	102806.78	3240.67	116673.58	185000.00	68326.42
12	4425	1150	Wastewater/Communication/Wst.Wt	110.86	2365.12	176.10	2652.08	4000.00	1347.92
12	4425	1550	Wastewater/Op Supp/Expen/Wst.Wt	659.98	9325.57	70.00	10055.55	30000.00	19944.45
12	4425	2150	Wastewater/Profl Service/Wst.Wt	2500.52	57688.38	1317.00	61505.90	100000.00	38494.10
12	4425	2350	Wastewater/Svcs.Other Ag/Wst.Wt	640.00	17425.99	.00	18065.99	20000.00	1934.01
12	4425	4150	Wastewater/Lease-Purchas/Wst.Wt	673.60	2020.83	.00	2694.43	30000.00	27305.57
Fund (12) Total ---->				.00	191632.67	4803.77	211647.53	369000.00	157352.47
15	2010		Accounts Payable//Solid Waste	-38072.72					
15	4435	2110	Solid Waste/HSS SERVICES/Solid	38072.72	229233.84	.00	267306.56	450000.00	182693.44
Fund (15) Total ---->				.00	229233.84	.00	267306.56	450000.00	182693.44
23	2010		Accounts Payable//LTF - Transit	-22995.28					
23	3511		<*>Fair Box Revenues//LTF - Transi	-6748.98	-48920.80	.00	-55669.78	-90000.00	-34330.22
23	4461	1400	LTF Transit/Equipment Mai/LTF -	1346.66	43587.75	.00	44934.41	95000.00	50065.59
23	4461	1560	LTF Transit/Fuels/Lubrica/LTF -	3225.70	25869.03	.00	29094.73	95000.00	65905.27
23	4461	2200	LTF Transit/Equip. Rental/LTF -	51.20	402.45	.00	453.65	3500.00	3046.35
23	4461	2354	LTF Transit/Contract Svcs/LTF -	20391.84	149394.89	.00	169786.73	300000.00	130213.27
23	4461	2358<*>	LTF Transit/LCTOP Exp/LTF - Tra	4728.86	29979.94	.00	34708.80	.00	-34708.80
Fund (23) Total ---->				.00	200313.26	.00	223308.54	403500.00	180191.46
26	2010		Accounts Payable//RDA-Op.Fund	-137.71					
26	4500	2150<*>	Redevelopment/Profl Service/RDA	137.71	34365.64	77.85	34581.20	30000.00	-4581.20
Fund (26) Total ---->				.00	34365.64	77.85	34581.20	30000.00	-4581.20
65	2010		Accounts Payable//Guad.Light Di	-65.42					
65	4485	1000	Gdlpe Light D/Utilities/Guad.Li	65.42	27261.84	4148.84	31476.10	75000.00	43523.90
Fund (65) Total ---->				.00	27261.84	4148.84	31476.10	75000.00	43523.90
71	2010		Accounts Payable//MEASURE A	-1877.94					
71	4454	1550	MEASURE A/Op Supp/Expen/MEASURE	1842.31	9374.04	500.41	11716.76	15000.00	3283.24
71	4454	2150<*>	MEASURE A/Profl Service/MEASURE	35.63	12086.47	365.01	12487.11	.00	-12487.11
Fund (71) Total ---->				.00	21460.51	865.42	24203.87	15000.00	-9203.87

**MINUTES
GUADALUPE CITY COUNCIL
Regular Meeting Tuesday, March 8, 2016**

**CLOSED SESSION 5:00 P.M.
REGULAR SESSION 6:00 P.M.**

**City Hall, Council Chambers
918 Obispo Street, Guadalupe, California 93434**

1. **MOMENT OF SILENCE**
2. **PLEDGE OF ALLEGIANCE**
3. **ROLL CALL** Council Members Jerry Beatty, Virginia Ponce, Gina Rubalcaba, Ariston Julian and Mayor John Lizalde. **All present**

CLOSED SESSION ITEM NOT COVERED BEFORE THE REGULAR SESSION WILL BE COVERED AFTER THE REGULAR SESSION.

4. **CLOSED SESSION.**
 - a. CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION:
Government Code Section 54956.9 (d) (1)
Name of case: Olivera Street Apartments LLC v. City of Guadalupe, Santa Barbara Superior Court Case No. 1484915
 - b. PUBLIC EMPLOYEE PERFORMANCE EVALUATION:
Government Code Section 54957
Title: Director of Public Safety
 - c. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION:
Initiation of litigation pursuant to paragraph (4) of subdivision (d) of Section 54956.9:
(one potential case)

Items 4.a and 4.b, no reportable action. Item 4.c to be considered after the regular session.

5. **COMMUNITY PARTICIPATION FORUM**

No public comment.

6. **CONSENT CALENDAR.** The following items are presented for Council approval without discussion as a single agenda item in order to expedite the meeting. Should a Council

Member wish to discuss or disapprove an item, it must be dropped from the blanket motion of approval and considered as a separate item.

- a. Payment of Warrants for the period ending March 4, 2016 to be approved for payment by the City Council. Subject to having been certified as being in conformity with the budget by the Finance Director.
- b. Minutes of the City Council Regular Meeting of February 23, 2016 to be ordered filed.
- c. Second Reading of Ordinance No. 2016-443 revising the Guadalupe Municipal Code Section 13.04.010, 13.04.190, 13.04.210 and 13.04.220.

Motion by Beatty, 2nd by Rubalcaba, to approve the Consent Calendar. Passed 5-0.

7. **CALIFORNIA DEPARTMENT OF WATER RESOURCES (DWR) INTEGRATED REGIONAL WATER MANAGEMENT (IRWM) GRANT PROGRAM SUPPORT.**

That the City Council adopt Resolution No. 2016-06 authorizing the City Administrator to award a contract to Michael K. Nunley and Associates (MKN) for IRWM Grant Program Support.

- a. Written Staff Report (Jeff van den Eikhof, City Engineer)
- b. City Council discussion and consideration.
- c. It is recommended that the City Council adopt Resolution No. 2016-06.

City Engineer van den Eikhof reported that the State Department of Water Resources is setting aside Proposition 1 grant money to “Disadvantage Communities” like Guadalupe for water and wastewater design, engineering, and pre-construction costs. Funding is being allocated county-by-county and the City of Guadalupe’s share is likely to be \$865,000. The City must prepare a grant application to get the money. Michael K. Nunley and Associates (MKN) will assist the City in putting together the grant application. If the City is successful in achieving the grant, MKN’s cost would be reimbursed by the grant.

Councilmember Beatty asked for a further clarification on how much of the \$865,000 the City could count on. **City Administrator Carter** answered that Santa Barbara County Public Works and their contractor **Jane Gray at Dudek** had specifically approached the City about the availability of the money and had specifically asked the City to apply. It is Santa Barbara County Public Works plan to only allocate money in Santa Barbara County to Cuyama and Guadalupe. Cuyama is also expected to receive \$865,000. There are no guarantees in life, but this is as close to a guarantee as the City will ever receive when it comes to grant money. Should the City not receive the grant, MKN’s fee would be paid for by the Water and Wastewater enterprise funds, not the General Fund. Design, engineering, and pre-construction work would only take place against the highest priority water and wastewater projects already identified in the City’s Water and Wastewater Master Plans.

Councilmember Ponce asked about the history of work that MKN has performed for the City. **Mr. Carter and Mr. van den Eikhof** noted that MKN had become the City’s de facto

utility engineering firm. This began when **Dennis Delzeit** was City Engineer. MKN prepared both the Water and Wastewater Master Plans. MKN worked on the Trus Pro Sewer line and on the Tognazzini Well intertie pipeline. MKN is also doing the water and wastewater engineering and construction management for DJ Farms.

Councilmember Julian asked when the grant money would be available. **Mr. Eikhof** said that State is completing the RFP now. Applications are likely to be due in July with grant awards being announced in September.

Councilmember Ponce and Mayor Lizalde expressed concern with the resolution as worded and suggested that the City Administrator's authority to authorize contract over-runs be limited to 10% above the current \$20,000 contract estimate.

Councilmember Beatty noted a typo in the MKN price sheet. Currently, it says that Drafters will be paid \$8087 per hour. **Mr. van den Eikhof** said the correct amount is \$80.

Motion made by Julian, 2nd by Rubalcaba to Adopt Resolution 2016-06 as amended with 10% over-run limitation. Passed 5-0.

8. **WATER CONSERVATION RESOLUTION.** That the City Council adopt Resolution No. 2016-07.

- a. Written Staff Report (Andrew Carter, City Administrator)
- b. City Council discussion and consideration.
- c. It is recommended that the City Council adopt Resolution No. 2016-07.

City Administrator Carter said that the Water Conservation Resolution before Council tonight is a follow-up to the move Council made at the last meeting (first reading) and tonight (second reading) to allow future water regulation changes by resolution instead of ordinance.

Tonight's resolution implements all the conservation measures mandated by the State Water Resources Control Board. If the City does not implement these measures, City residents would have to decrease residential water use by 25%. Failing to do so would subject the City to fines. Residents have already reduced 2015 residential usage by 21% vs. 2013. This despite the fact that if the City were an "urban" supplier instead of small supplier, the City would only have had to reduce water use by 8%. City residents are doing a fantastic job saving water during the drought.

Councilmember Julian asked for clarification as to what constitutes outdoor watering only during "evening and early morning hours." **Mr. Carter** said the resolution defines that as being between sunset and sunrise.

Councilmember Julian asked what the fines would be if citizens failed to follow the conservation measures. **City Attorney Fleishman** said the fines would be \$100 for a first violation, \$200 for a second violation, and \$500 for any violation after that. **Mr. Fleishman** noted that City policy with respect to code enforcement is to seek voluntary compliance first

before charging residents with an infraction and a fine. **Public Safety Director Hoving** and **City Administrator Carter** both reiterated this point.

Councilmember Ponce suggested that the City send out a utility bill stuffer on the new conservation measures. **Mr. Carter** said the City would do so in the April 1st bills.

Motion made by Beatty, 2nd by Julian, to Adopt Resolution 2016-07. Passed 5-0.

9. **CITY MANAGER REPORT/ FUTURE AGENDA ITEMS.**

Annual Measure A program of projects.
SEIU negotiations
CCWA bond disclosure guidelines.

Marijuana delivery in Guadalupe revisited.

10. **ANNOUNCEMENTS / COUNCIL ACTIVITY REPORTS.**

Councilmember Rubalcaba reminded the City Attorney of previous Council direction to return with rules for marihuana mobile delivery services as opposed to an outright ban.

Well wishes to **Mayor Lizalde** on his birthday.

Councilmember Julian to return to Council at a future meeting with further information about economic development.

Condolences to the families of **John Jacob Alvarado** and **Rosario Pelayo Quiroga**.

11. **Continuation of the Closed Session which began at the start of the meeting**

CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION:
Initiation of litigation pursuant to paragraph (4) of subdivision (d) of Section 54956.9:
(one potential case)

No reportable action.

12. **ADJOURNMENT**

Andrew Carter, Deputy City Clerk

John Lizalde, Mayor

5c.i

GUADALUPE POLICE DEPARTMENT
MONTHLY ADMINISTRATIVE OPERATIONAL DATA SUMMARY
MONTH OF FEBRUARY 2016

PART I: CRIMES

TYPE OF CRIMES	THIS MONTH		THIS MONTH LAST YEAR		THIS YEAR TO DATE		LAST YEAR TO DATE	
	REPORTED	CLEARED	REPORTED	CLEARED	REPORTED	CLEARED	REPORTED	CLEARED
187 PC HOMICIDE	0	0	0	0	0	0	0	0
261 PC RAPE	0	0	0	0	0	0	0	0
211 PC ROBBERY	1	1	0	0	0	0	0	0
242/245 PC ASSAULT	3	3	2	2	3	3	5	5
459 PC BURGLARY	2	0	2	3	3	1	4	3
484/487 PC THEFT	5	0	4	3	11	0	9	3
10851 VC VEH THEFT	1	1	1	1	2	2	4	3
451 PC ARSON	0	0	0	0	0	0	0	0
TOTAL	12	5	9	9	19	6	22	14

PART II: REPORTED CRIMES

REQUEST FOR SERVICE	THIS MONTH	THIS MONTH LAST YEAR	THIS YEAR TO DATE	LAST YEAR TO DATE
TOTAL REPORTS TAKEN	103	105	180	187
TOTAL REQUEST FOR SERVICE	186	304	392	572
TOTAL ACTIVITY FOR THE MONTH	289	409	572	759
DOMESTIC VIOLENCE REPORTS	2	1	2	4
TOTAL PROPERTY STOLEN	\$6,236	\$1,179	\$9,957	\$4,069
TOTAL PROPERTY RECOVERED	\$510	\$4,568	\$510	\$4,568

PART III: ARREST SUMMARY

OFFENSES	THIS MONTH		THIS MONTH LAST YEAR		THIS YEAR TO DATE		LAST YEAR TO DATE	
	ADULTS	JUVENILES	ADULTS	JUVENILES	ADULTS	JUVENILES	ADULTS	JUVENILES
FELONY	9	0	4	6	11	1	10	4
MISDEMEANOR	20	1	10	3	28	3	18	5
TOTAL	29	1	14	9	39	4	28	9
23152(a&b) VC ARREST	5		2		6		5	
WARRANT ARREST	10		6		17		13	

NOTE: DUI AND WARRANT DATA ARE INCLUDED IN ABOVE ARREST TOTALS

**GUADALUPE POLICE DEPARTMENT
MONTHLY ADMINISTRATIVE OPERATIONAL DATA SUMMARY
MONTH OF FEBRUARY 2016**

PART IV: NARCOTICS ACTIVITY

TYPE OF NARCOTICS	THIS MONTH		THIS MONTH LAST YEAR		THIS YEAR TO DATE		LAST YEAR TO DATE	
	REPORTED	ARREST	REPORTED	ARREST	REPORTED	ARREST	REPORTED	ARREST
HEROIN	0	0	1	1	0	0	1	1
COCAINE	0	0	0	0	0	0	0	0
METHAMPHETAMINE	4	4	4	4	5	5	7	7
MARIJUANA	2	2	1	1	7	7	1	1
PARAPHERNALIA	0	0	0	0	7	7	0	0
TOTAL	6	6	6	6	19	19	9	9

PART V: SPECIAL DATA

	THIS MONTH	THIS MONTH LAST YEAR	THIS YEAR TO DATE	LAST YEAR TO DATE
OFFICERS ASSAULTED	0	0	0	0
INJURED ON DUTY	1	0	1	0

ADDITIONAL INFORMATION:

STAFFING:	1 POLICE CHIEF	
	2 POLICE SERGEANT	2 UNFILLED POSITIONS
	3 POLICE CORPORALS	
	7 POLICE OFFICERS	1 UNFILLED POSITION
	2 OFFICE STAFF PERSONNEL	
	5 RESERVE POLICE OFFICERS	2 UNFILLED POSITION
	1 COMMUNITY SERVICE TECHNICIAN	FULL TIME POSITION UNFILLED
	0 EVIDENCE TECHNICIAN	UNFILLED POSITION
	2 POLICE VOLUNTEER(S)	
	3 ALCOHOL/DRUG PERSONNEL	GLADIATORS PROGRAM / 1 UNFILLED POSITION / ALL ARE PART-TIME

COMMENTS:

- #1. One officer awaiting knee surgery / workmans comp
- #2. Officer injured during an arrest 02-29-16 / Returned to work 03-09-16



GUADALUPE FIRE DEPARTMENT



TO: CITY ADMINISTRATOR, ANDREW CARTER
FROM: CAPTAIN PATRICK SCHMITZ
SUBJECT: MONTHLY SUMMARY OF CODE ENFORCEMENT CASES
 February 1, 2016 – February 29, 2016

DATE: 03/03/2016

CODE ENFORCEMENT CASES

INCIDENT TYPE	This Month	Last Month	Year to Date (2015-2016)	Year to date (2014-2015)
Business License (GMC 5.04.040)	1	0	7	6
Animal Nuisance (Odor, Noise) (GMC 6.04.100 (A,E))	0	0	0	4
Fowl, Livestock and Wild Animals (GMC 6.04.210)	0	4	8	2
Litter Accumulation (GMC 8.12.020)	11	2	45	50
Abatement of Weeds and Rubbish (GMC 8.16.010)	44	2	64	45
Unsafe Living Conditions (GMC 8.40.020)	0	0	0	0
Unlawful Property Nuisance (GMC 8.50.070)	10	3	31	27
Graffiti Abatement (GMC 9.07.060)	0	0	14	44
Abandoned Vehicles (GMC 10.36.010)	15	2	60	44
Unapproved Vehicle Covers (GMC 10.36.010)	1	0	8	10
Portable/fixed basketball goals (GMC 10.48.050)	3	0	10	6
Yard Sale Signs (GMC 12.13.010)	2	0	21	33
Tampering with Water Service (GMC 13.04.200)	0	1	10	9
Working Without Permits (GMC15.04.020)	4	0	16	14
Address Number (GMC 15.08.020 (505.1))	5	1	18	30
Illegal Garage Conversion (GMC 18.08.120, 18.08.160)	2	0	6	2
Damage Fence (GMC 18.52.125)	0	0	4	13
Parking on Front Yard Setback (GMC 18.60.035)	13	5	65	85
Landscape Maintenance Required (GMC 18.64.120)	3	2	15	7
Inspection/Complaints (No Violation Found)	0	0	5	9
Apartment Inspections	0	5	23	2
Yearly Business Inspections	7	0	21	17
Other	2	4	28	72
TOTAL	123	31	479	531

Miscellaneous	This Month	Last Month	Year to Date (2015-2016)	Year to date (2014-2015)
Visitors	50	42	296	141
Public Relations	1	1	11	8
School Visits	0	2	3	4

CALLS FOR SERVICE February, 2016

INCIDENT TYPE	This Month	Last Month	Year to Date (2015-2016)	Year to date (2014-2015)
Medical	25	23	213	250
Structure Fire	0	1	5	2
Cooking Fire	0	0	0	1
Trash or Rubbish Fire	0	0	7	9
Vehicle Fire	0	0	2	2
Grass/Vegetation Fire	0	0	0	3
Other Fire	1	2	4	1
Motor Vehicle Accidents with Injuries	3	2	17	17
Motor Vehicle Accidents No Injuries	0	2	11	9
Motor Vehicle/Pedestrian Accident	0	1	1	1
Hazardous Materials Spill/Release	2	1	9	8
Hazardous Condition Other	1	3	10	5
Water Problem/Leak	2	0	9	7
Animal Problem	0	0	1	4
Search / Rescue	0	0	2	3
Public Assistance	5	5	23	34
Police Matter/Assistance	1	1	15	11
Illegal Burn	0	0	0	1
Smoke Detector Activation	0	0	12	3
Dispatch and Canceled En-route	3	4	31	22
False Alarm	0	1	9	8
TOTAL	43	46	381	401

Additional Information

STAFFING: 1 Public Safety Director (Police/Fire Chief)
 3 Fire Captains 2 Full Time Positions filled / 1 Full Time Position Unfilled
 2 Paid Call Firefighters filling in on an interim status for unfilled full time captain position
 1 Firefighter/Permit Tech.
 10 Paid Call Firefighters 2 Paid Call Firefighter Positions Unfilled
 5 Reserve Firefighters

Special Coverage:

Paid Call Firefighter alternating coverage on Furloughs, Holidays, Vacation, and Sick time due to Firefighter/Permit Technician being off.



**CITY OF GUADALUPE
BUILDING DEPARTMENT**

STATUS REPORT

MONTH: February, 2016

	This Month	Last Month	Year to Date	Last Year
Visitors	22	27	49	29
Inspections	32	24	56	27
Building Permits Issued	17	43	60	4



CITY OF GUADALUPE
918 Obispo Street
Guadalupe, CA 93434
P: (805) 356-3895
F: (805) 343-0542
Finance Department

Memorandum

To: Andrew Carter, City Administrator
From: Annette Muñoz, Finance Director
Subject: Treasurer's Report - February 2016
Date: March 16, 2016

This memo explains the changes in the monthly Treasurer's report for February 2016 compared to the prior month. February cash increased by approximately \$716,000 due primarily to:

- \$648,000 received for the sale of the Lantern Hotel (to be sent to the County for the taxing entities per the Successor Agency Long Range Property Management Plan).
- \$ 76,000 received for development projects

c: Treasurer file

Treasurer's Report
Investments and Cash as of February 29, 2016

Investments	
Local Agency Investment Fund ("LAIF") Account 98-42-346	305,447.11
Total Investments	\$ 305,447.11

Cash	
Checking Account 155-503815 ("Warrant Account")	3,281,513.67
Checking Account 155-003261 ("Payroll Account")	70,619.84
Total Cash	\$ 3,352,133.51 *

*Actual ending balances reconciled to Bank Statements

Non-Commingled and Trust-Account Funds	
USDA/RUS-HWY One Water/Sewer Pipeline Account 280-0389711	\$100.00
Total Non-commingled and Trust Funds	\$100.00

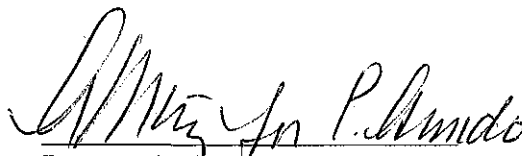
The following is a summary of the City's cash and investments as of February 29, 2016 compared with the prior month.

Investments and Cash	January 31, 2016	February 29, 2016
Investments	305,447.11	305,447.11
Cash	2,636,355.72	3,352,233.51
Total	\$ 2,941,802.83	\$ 3,657,680.62 **

** Total Cash and Investments agree to General Ledger.

Note 1: Monies held in the non-commingled and trust accounts are required to be kept separate from all other city funds.

Submitted: 3/16/2016 by:


Petrona Amido
 City Treasurer

Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

www.treasurer.ca.gov/pmia-laif/laif.asp
March 16, 2016

CITY OF GUADALUPE

CITY TREASURER
918 OBISPO STREET
GUADALUPE, CA 93434

PMIA Average Monthly Yields

Account Number:
98-42-346

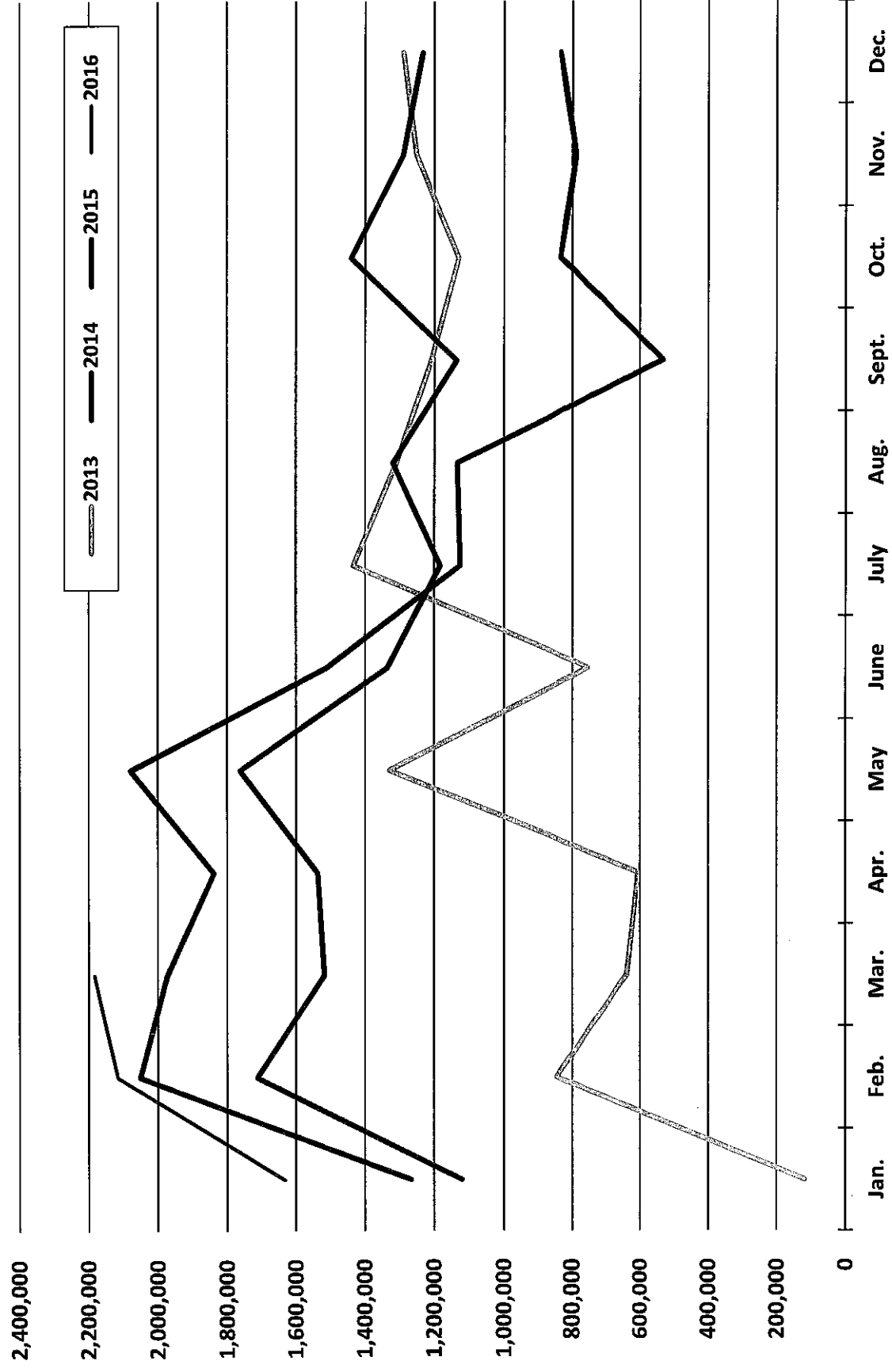
Tran Type Definitions

February 2016 Statement

Account Summary

Total Deposit:	0.00	Beginning Balance:	305,447.11
Total Withdrawal:	0.00	Ending Balance:	305,447.11

Beginning of Month Cash Balances
(Does Not Include RDA Cash)



City of Guadalupe
February 2016
Civil Engineering Projects

1. Measure A – Paving Project

- The City Engineer and the City Administrator met with representatives of the Gas Company to discuss the 2016 paving project. Due to the type of construction methods used and the age and type of the gas lines it may be necessary to lower the gas lines in Pioneer Street prior to the pavement project. The pavement project that was scheduled for 2015 was postponed due to this issue. The Gas Company has committed to evaluate and lower the gas lines as necessary prior to the 2016 paving project.
- The City Engineer met with Pavement Engineering Inc. to discuss the 2016 paving project. The work will be divided into two separate projects. The 2016 Pavement Maintenance Project will include slurry sealing of all the streets within the Point Sal Dunes neighborhood. This project will go out to bid in late March or early April. The 2016 Pavement Rehabilitation Project will include the following streets and will go out to bid in late April or early May:
 - Pioneer Street: Fifth Street to West Main Street (Base Bid)
 - Third Street: Lindy Drive to Pioneer Street (as an alternate)
 - Lindy Drive: Third Street to Wong Street (as an alternate)
 - Wong Street: Third Street to Pioneer Street (as an alternate)
- The pavement on 4th Street will be tested and evaluated in March to determine if the structural section is adequate for the truck traffic from Apio. Flooding and roadway damage have been occurring on 4th Street at the Apio entrance. Survey work has been performed and repairs are under design which will include the construction of curb and gutter and a drainage inlet. The pavement may also be rehabilitated with the 2016 pavement project as necessary based upon the testing by Pavement Engineering Inc.

2. Measure A – Drainage

- Plans for the 11th Street and Obispo drainage issues are under design. It is anticipated that construction will occur during the summer months.

3. Alternative Transportation Work

- Cycle 3 for the Alternate Transportation Program will begin soon. The City Engineer has been reviewing comments from the Cycle 2 application to determine how to best address those issues for the upcoming Cycle 3. The Cycle 2 ATP application was for sidewalks on Guadalupe Street between Olivera and the Amtrak Station, as well as flashing warning signs at Olivera.



4. Water Related Work

- Plans and specifications were put out to bid for the video inspection and cleaning of the Tognazzini Well to local well drillers, however no bids were received. Unfortunately, due to the water crisis throughout California well drillers are very busy. The project will be advertised in March and sent to plan rooms which distribute the bid package throughout the state. In preparation for this work the State Water system was turned on. The well has been taken offline due to intrusion of vegetable oil into the pump. The pump is estimated at approximately 50 years old. Once the well has been video inspected, the USDA will review the video and authorize repairs if necessary.
- In preparation for the 2016 Paving project on Pioneer, plans are being prepared for the replacement of aging water valves prior to paving work being completed.
- Worked with MKN and Dudek in preparation for IRWM Grant.

5. Pasadera Development (funded by applicant)

- The Lot 4 Tentative Tract Map and Grading Plan is under review.
- The Final Map for Lot 5 has been submitted for review. The City Engineer has been working with the developer to ensure all of the appropriate documentation is being submitted. A staff report will be prepared that will include the approval of the Final Map, a Subdivision Improvement Agreement, and Monumentation Bond Agreement.
- A draft Subdivision Improvement Agreement for Tract 29,061 has been prepared.
- Plans for the offsite water system which will connect to the Obispo Tanks have been prepared and are being reviewed.
- Work is progressing on the sewer main on West Main Street. The work is being inspected by MNK and Associates.
- Pump House Review

6. MS4 Permit Requirements

- Work continues on implementing the Municipal Separate Storm Sewer System program as required by the State Water Control Board.
- Additional pages for the City website have been prepared to fulfill part of the City's public noticing requirements.
- As part of the MS4 requirements, the City is required to map all drainage areas and potential pollutant loading from those areas. The required maps have been completed.
- All of the necessary documents were prepared and uploaded to the State database for compliance with the storm water regulations.



MEMO

To: City Council
From: Ron Estabillo
Subject: Parks and Recreation Update
Date: March 16, 2016

RECREATIONAL ACTIVITIES:**Youth Basketball:**

The 36th Annual Frank T. Almaguer Invitational Tournament started play on Wednesday, February 24th and ran thru Saturday, March 5th was once again, a huge success. Although the Guadalupe teams didn't fare well, they were at least competitive. 3rd & 4th Grade Girls Division and 3rd & 4th Grade Boys Division Champions were both from the Orcutt Boys & Girls Club. In the 5th & 6th Grade Girls Division Champions were the Nipomo Youth Recreation in the Boys Division the Champions were the Santa Maria Youth Recreation.

The McKenzie Junior High Boys team won the Home Motors Annual Invitational Tournament Consolation Championship held at Lakeview Junior High on February 16th and ran thru February 20th. They have 3 games left to play. 2 games here and 1 game away.

Boys & Girls Club:

After school program daily attendance continues to be around 85 kids. Youth Wrestling Club continues to practice on Mondays, Wednesdays and Thursdays evenings. Guadalupe Youth Football Board of Directors holding monthly meetings. Apio employee's using gym on Thursday's from noon to 1pm. Advisory Board Meeting for this month was cancelled, the Board is trying to recruit more local people to serve on the board.

Girls Youth Softball:

Guadalupe Girls Youth Softball teams have full rosters and have been practicing on a daily basis. Opening games and picture day was on Saturday, March 12th.

Guadalupe Youth Football:

The first sign-ups for the upcoming season is scheduled for Saturday, April 9th at City Hall. Their Board of Directors are putting together a proposal to get the football field back into a safe and playable condition.

Community Events:

<i>Mon</i>	<i>Weekly</i>	<i>Walk in Bingo</i>	<i>Vets Hall</i>	<i>6PM – 9PM</i>
<i>Sat</i>	<i>Mar 12</i>	<i>Free Concert</i>	<i>City Hall</i>	<i>7:30PM</i>
<i>Sat</i>	<i>Mar 19</i>	<i>Vaccine Clinic</i>	<i>City Hall</i>	<i>11AM – 2PM</i>
<i>Sat</i>	<i>Mar 22</i>	<i>Doreen Farr</i>	<i>Senior Center</i>	<i>3PM – 6PM</i>
<i>Thurs</i>	<i>Mar 24</i>	<i>DARE Program</i>	<i>City Hall</i>	<i>1PM</i>
<i>Thurs</i>	<i>Mar 24</i>	<i>Art Gala</i>	<i>Culture Center</i>	<i>6PM – 8:30PM</i>
<i>Fri</i>	<i>Mar 25</i>	<i>Kiwanis Fish Fry</i>	<i>Senior Center</i>	<i>4:30PM – 7PM</i>

5d.



Transportation Development Act

CLAIM FORMS

February 2016

DOCUMENT A (Instructions on pages 4-3 and 4-4)

TRANSPORTATION DEVELOPMENT ACT CLAIM FORM
(All claimants must complete this document)

Line **Original Claim

1 Project Year (FY) 2016-2017

2 Claimant City of Guadalupe

3 Address 918 Obispo Street, Guadalupe, CA 93434

4 Contact Person Andrew Carter Title City Administrator

5 Telephone Number (805) 356-3891

6 The above named claimant hereby applies for allocations of Transportation Development Act funds for FY 2016-2017 for the purposes and in the amount(s) specified below:

Purpose	Apportionment	Claimed
Local Transportation Fund		
7 Regional Transportation Planning (PUC 99262 & 99402)	\$ 8,505.00	\$ 8,505.00
less amount released to SBCAG	minus	\$ 8,505.00
8 Pedestrian & Bikeway Facilities (PUC 99234)	\$ 5,786.00	\$ 5,786.00
9 Articles 4 & 8:	\$ 261,239.00	
less amounts released to:		
10 _____	minus	_____
11 _____	minus	_____
12 _____	minus	_____
plus amounts released from:		
13 _____	plus	_____
14 _____	plus	_____
15 _____	plus	_____
16 Article 4 Transit (PUC 99260)		\$ 261,239.00
17 Article 4.5 Community Transit (PUC 99275)		\$ -
18 Article 8a Streets & Roads (PUC 99400a)		
19 Article 8c Transit Contracts (PUC 99400c)		
20 TOTAL LTF	\$ 275,530.00	\$ 267,025.00
21 Amount to be held in reserve (CCR 6648)		
By Claimant _____ By SBCAG _____		
State Transit Assistance		
22 Transportation Planning		
23 Mass Transportation		
24 TOTAL STA		\$ -
25 TOTAL TRANSPORTATION DEVELOPMENT ACT CLAIM (add lines 20 and 24)		\$ 267,025.00

DOCUMENT A (Continued)

Claimant acknowledges that payment by the County Auditor of an allocation made by the Association of Governments is subject to such monies being on hand and available for distribution and to the provision that such moneys be used only in accordance with the terms of the allocation instruction issued by the Association of Governments

26 By: _____
27 Title: Andrew Carter, City Administrator
28 Date: _____

I hereby attest to the reasonableness and accuracy of the financial statements included in Documents C, D, F, and G. (Sec. 6632)

29 Signed: Annette Munoz
Chief Financial Officer
30 Name: Annette Munoz
31 Title: Finance Director

Reference: CCR Section 6630

DOCUMENT B

RESOLUTION NO. 2016-09
CITY OF GUADALUPE

RESOLUTION AUTHORIZING THE FILING OF A CLAIM WITH THE SANTA BARBARA COUNTY ASSOCIATION OF GOVERNMENTS FOR ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT FUNDS FOR

FISCAL YEAR 2016-2017

WHEREAS, the Transportation Development Act (TDA), as amended (Public Utilities Code Section 99200 et seq.), provides for the allocation of funds from the Local Transportation Fund for use by eligible claimants for various transportation purposes; and

WHEREAS, pursuant to the provisions of the TDA, as amended, and pursuant to the applicable rules and regulations thereunder (21) Cal. Code of Regulations Sections 6600 et seq.) a prospective claimant wishing to receive an allocation from the Local Transportation Fund or State Transit Assistance fund shall file its claim or amended claim with the Santa Barbara County Association of Governments.

NOW, THEREFORE, BE IT RESOLVED, that Andrew Carter, City Administrator is authorized to execute and file an appropriate claim and necessary claim amendments pursuant to the terms of the TDA, as amended, and pursuant to applicable rules and regulations promulgated there under, together with all necessary supporting documents, with the Santa Barbara County Association of Governments for an allocation of TDA funds in FY 2016-2017.

BE IT FURTHER RESOLVED THAT the authorized claim includes \$ 8,505.00 for regional transportation planning, \$ 5,786.00 for pedestrian and bicycle facilities, \$ 261,239.00 for transit or paratransit purposes and \$ - for street and road purposes.

BE IT FURTHER RESOLVED THAT a copy of this resolution be transmitted to the Santa Barbara County Association of Governments in conjunction with the filing of the claim.

PASSED, APPROVED AND ADOPTED this 22nd day of March 2016.

John Lizalde, Mayor

ATTEST:

Andrew Carter, Deputy City Clerk

I, **Andrew Carter**, Deputy City Clerk of the City of Guadalupe **DO HEREBY CERTIFY** that the foregoing is a full, true and correct copy of **Resolution No. 2016-09** which is duly and regularly introduced and adopted by said City Council at a regular meeting held **March 22, 2016** by the following vote:

Motion:

AYES:

NOES:

ABSENT:

ABSTAIN:

Andrew Carter, Deputy City Clerk

Guadalupe - DOCUMENT C (Instructions on page 4-6)

**PRODUCTIVITY IMPROVEMENT PROGRESS REPORT
(Guadalupe must complete this document)**

Recommendation	Implementation Status
Submit separate State Controller Reports for General Public and Specialized Services.	The City has addressed this recommendation.
Report correct Full Time Equivalents for demand response service.	The City has addressed this recommendation.
Closely monitor performance measures of ADA demand response.	The City has addressed this recommendation.

DOCUMENT D, PART A (Instructions on pages 4-7 and 4-8)

PROPOSED OPERATING BUDGET
 (All transit claimants must complete this document)

<u>Line</u>	<u>Prior Year (FY)</u>	<u>Project Year (FY)</u>
	2015-2016	2016-2017
1 Budget Year		
2 Total Eligible Operating Cost	\$ 503,438.00	\$ 492,680.00
3 Estimated Fares	\$ 101,540.00	\$ 93,000.00
4 Operating Deficit (subtract Line 3 from Line 2)	\$ (401,898.00)	\$ (399,680.00)
 <u>Other Operating Revenues</u>		
5 Property Taxes		
6 Local Transportation Fund	\$ 261,570.00	\$ 235,613.00
7 LTF Balance from Prior Year		
8 State Transit Assistance Fund		\$ 35,000.00
9 Federal Operating Assistance	\$ 61,182.00	\$ 58,067.00
10a Measure D/A - Local		
10b Measure D/A - Regional		
11 Other (specify): <u>State LCTOP (Cap-n-Trade)</u>	\$ 79,146.00	\$ 71,000.00
12 Other (specify): _____		
13 Other (specify): _____		
14 Net Surplus/(Deficit) (add Lines 5-13 to Line 4)	\$ -	\$ -

Footnotes

Net Operating Surplus will be directed to a capital replacement bus purchase to start in 2016-2017.

Reference: CCR Section 6632

DOCUMENT D, PART B (Instructions on pages 4-7 and 4-8)

PROPOSED CAPITAL BUDGET
 (All transit claimants must complete this document)

<u>Line</u>	<u>Prior Year (FY)</u>	<u>Project Year (FY)</u>
15 Budget Year	2015-2016	2016-2017
<u>Capital Expenditures</u> (Itemize by Project)		
16 ADA Van Purchase Project	\$ 65,790.00	
17 Capital Bus Replacement (2007 Bus) Project		\$ 25,626.00
18		
19		
20		
21		
22		
23		
24 Total Capital Expenditures (Add Lines 16-23)	\$ 65,790.00	\$ 25,626.00
<u>Capital Revenues</u>		
25 Property Taxes		
26 Local Transportation Fund (LTF)	\$ 25,980.00	\$ 25,626.00
27 LTF Reserve Account		
28 LTF Balance from Prior Year		
29 State Transit Assistance Fund (STAF)	\$ 39,810.00	
30 STAF Balance from Prior Year		
31 Federal Capital Assistance		
32 Other (specify):		
33 Other (specify):		
34 Other (specify):		
35 Other (specify):		
36 Total Capital Revenues (add Lines 25-35)	\$ 65,790.00	\$ 25,626.00
37 Net Surplus/(Deficit) (subtract Line 36 from Line 24)	\$ -	\$ -

DOCUMENT E (Instructions on page 4-9)

PROJECT DESCRIPTION & REGIONAL TRANSPORTATION PLAN CONFORMITY
(All claimants must complete this document)

Complete sections for *every article* under which you are claiming TDA funds.

ARTICLE 3 BIKE/PED CLAIMS [Cities and County]

Describe how you plan to use LTF Article 3 Bike/Ped funds. Include, as applicable, location, type of bike facility, length of project (if bike lane), construction start date, and expected date of opening.

The City's current Bike and Ped Plan (2/25/14) includes increasing safety by painting several sidewalks and crosswalks in the town. While the total cost of that project will continue to exceed the TDA Bike and Ped allocation (\$5,786), the City will use additional funds (Measure A) for use in these improvements. These Bike and Ped projects will continue through the 2016-2017 project year as public works schedules will allow.

List the corresponding RTP project and/or page numbers.

List the corresponding local bicycle plan project and/or page numbers.

ARTICLE 4 TRANSIT CLAIMS [COLT, Guadalupe, SBMTD, SMAT, and SYVT]

Describe how you plan to use LTF Article 4 Transit funds. Include, as applicable, type of project, construction start date, expected date of opening.

The City will continue to operate the successful transit service between Guadalupe and Santa Maria (the Flyer route), the in-town deviated route (the Shuttle), and the complementary ADA service for eligible disabled residents. The City will complete the first year of a successful service expansion in 2015-2016, using LCTOP funds. This expansion included adding three additional service loops each Saturday (two in the morning and one in the evening) and a full 8-loop service day on Sundays. By all estimates, to include feedback from LCTOP staff, the expansion has met and exceeded all targeted goals for additional ridership on the Flyer service. The added service hours, especially on Sunday, has also provided additional access on the complementary ADA service.

List the corresponding RTP project and/or page numbers.

ARTICLE 4.5 COMMUNITY TRANSIT SERVICES CLAIMS [Easy Lift and SMOOTH]

Describe how you plan to use LTF Article 4.5 CTSA funds. Include, as applicable, type of project, construction start date, expected date of opening.

List the corresponding RTP project and/or page numbers.

ARTICLE 8(c) TRANSIT CLAIMS [County only]

Describe how you plan to use LTF Article 8 Transit funds. Include, as applicable, type of project, construction start date, expected date of opening.

List the corresponding RTP project and/or page numbers.

ARTICLE 8(a) STREETS AND ROADS CLAIMS [Cities and County, if applicable]

Describe how you plan to use LTF Article 8 Streets/Roads funds. Include, as applicable, type of project, construction start date, expected date of opening.

The City of Guadalupe uses 100% of its TDA allocation for transit.

DOCUMENT F (Instructions on pages 4-10 and 4-11)

MAXIMUM TRANSIT ALLOCATION
 (All transit claimants must complete this document)

Line MAXIMUM ALLOCATION FOR OPERATIONS

	FY 2016-2017
1 Project Year	
2 Total Operating Expense	\$ 492,680.00
3 Fare Revenue	\$ 93,000.00
4 Local Support - Property Tax	\$ -
5 Local Support - Other (specify)	
6.0 Local Support - Other (specify)	
6.1 Local Support - Other (specify)	
6.2 Local Support - Other (specify)	
7 Federal Operating Assistance	\$ 58,067.00
8 Payment for Service Outside Boundaries	
9 TDA Operating Expense (subtract Lines 3 through 8 from Line 2)	\$ 341,613.00
10 LTF Balance from Prior Year	\$ -
11 Maximum Allocation for Operations (subtract Line 10 from Line 9)	\$ 341,613.00

MAXIMUM ALLOCATIONS FOR CAPITAL

12 Total Capital Expenses	\$ 25,626.00
13 Federal Capital Assistance	\$ -
14 Other (specify)	
15 Other (specify)	
16 TDA Capital Expense (subtract Lines 13 through 15 from Line 12)	\$ 25,626.00
17 LTF Reserve Account Revenue	\$ -
18 LTF Balance from Prior Year	\$ -
19 STAF Balance from Prior Year	\$ -
20 Maximum Allocation for Capital (subtract Lines 17 through 19 from Line 16)	\$ 25,626.00
21 MAXIMUM TRANSIT ALLOCATION (add Line 11 and Line 20)	\$ 367,239.00

Footnotes:

Claimant was allocated less LTF (\$261,239) than the Maximum Allocation allowed for Operations and Cap

DOCUMENT G-1 (FIXED ROUTE) (Instructions on pages 4-12 and 4-13)

FARE AND LOCAL SUPPORT RATIOS - FIXED ROUTE

(All transit claimants must complete G-1, G-2, and/or G-3, depending on what services they provide)

Line BASE YEAR RATIOS (FY 78-79)

1	Total Operating Expenditures	\$ 150,000.00
2	Total Fares	\$ 30,000.00
3	Total Local Support	
4	Ratio of Fares to Total Operating Expenses	20.0%
5	Ratio of Fares plus Local Support to Total Operating Expense	20.0%

REQUIRED MINIMUM RATIOS

6	Ratio of Fares to Total Operating Expense	10%
7	Ratio of Fares plus Local Support to Total Operating Expense	10%
8	Applicable Public Utilities Code Section	99268.4
9	Applicable SBCAG Resolution	

PROJECT YEAR RATIOS

10	Total Operating Expenditures	
	(a) General Public Service	\$ 456,222.00
	(b) Subscription Service	
11	Operating Expenditures for Service Extensions	
12	Net Operating Expenditures (subtract Line 11 from Line 10(a))	\$ 456,222.00
13	Total Fares	
	(a) General Public Service	\$ 87,420.00
	(b) Subscription Service	
14	Total Local Support	\$ -
15	Ratio of Fares to Net Operating Expense	19.2%
16	Ratio of Fares plus Local Support to Net Operating Expense	19.2%

Footnotes:

References: PUC Sections 99268.2, 99268.3, 99268.4, 99268.5, 99268.8, 99268.9, 99268.12, 99268.17, 99268.19, 99720.1, and 99270.2

DOCUMENT G-2 (DEMAND RESPONSE) (Instructions on pages 4-12 and 4-13)

FARE AND LOCAL SUPPORT RATIOS - DEMAND RESPONSE

(All transit claimants must complete G-1, G-2, and/or G-3, depending on what services they provide)

Line BASE YEAR RATIOS (FY 78-79)

1	Total Operating Expenditures	\$ 15,300.00
2	Total Fares	\$ 960.00
3	Total Local Support	
4	Ratio of Fares to Total Operating Expenses	6.3%
5	Ratio of Fares plus Local Support to Total Operating Expense	6.3%

REQUIRED MINIMUM RATIOS

6	Ratio of Fares to Total Operating Expense	10%
7	Ratio of Fares plus Local Support to Total Operating Expense	10%
8	Applicable Public Utilities Code Section	99268.4
9	Applicable SBCAG Resolution	

PROJECT YEAR RATIOS

10	Total Operating Expenditures	
	(a) General Public Service	\$ 36,458.00
	(b) Subscription Service	
11	Operating Expenditures for Service Extensions	
12	Net Operating Expenditures (subtract Line 11 from Line 10(a))	\$ 36,458.00
13	Total Fares	
	(a) General Public Service	\$ 5,580.00
	(b) Subscription Service	
14	Total Local Support	\$ -
15	Ratio of Fares to Net Operating Expense	15.3%
16	Ratio of Fares plus Local Support to Net Operating Expense	15.3%

Footnotes:

References: PUC Sections 99268.2, 99268.3, 99268.4, 99268.5, 99268.8, 99268.9, 99268.12, 99268.17, 99268.19, 99720.1, and 99270.2

DOCUMENT G-3 (Instructions on pages 4-12 and 4-13)

FARE AND LOCAL SUPPORT RATIOS - SYSTEMWIDE

(All transit claimants must complete G-1, G-2, and/or G-3, depending on what services they provide)

Line BASE YEAR RATIOS (FY 78-79)

1	Total Operating Expenditures	\$ 165,300.00
2	Total Fares	\$ 30,960.00
3	Total Local Support	\$ -
4	Ratio of Fares to Total Operating Expenses	18.7%
5	Ratio of Fares plus Local Support to Total Operating Expense	18.7%

REQUIRED MINIMUM RATIOS

6	Ratio of Fares to Total Operating Expense	
7	Ratio of Fares plus Local Support to Total Operating Expense	
8	Applicable Public Utilities Code Section	
9	Applicable SBCAG Resolution	

PROJECT YEAR RATIOS

10	Total Operating Expenditures	
	(a) General Public Service	\$ 492,680.00
	(b) Subscription Service	\$ -
11	Operating Expenditures for Service Extensions	\$ -
12	Net Operating Expenditures (subtract Line 11 from Line 10(a))	\$ 492,680.00
13	Total Fares	
	(a) General Public Service	\$ 93,000.00
	(b) Subscription Service	\$ -
14	Total Local Support	\$ -
15	Ratio of Fares to Net Operating Expense	18.9%
16	Ratio of Fares plus Local Support to Net Operating Expense	18.9%

Footnotes:

References: PUC Sections 99268.2, 99268.3, 99268.4, 99268.5, 99268.8, 99268.9, 99268.12, 99268.17, 99268.19, 99720.1, and 99720.2

DOCUMENT H (Instructions on page 4-15)

STANDARD ASSURANCES FOR APPLICANTS - LTF
(All claimants must complete this document)

CLAIMANT ASSURANCES: Initial Each Section or Indicate N/A.

N/A 1) Claimant certifies it has submitted a satisfactory, independent fiscal audit, with required certification statement, to SBCAG and to the State Controller, pursuant to PUC 99245 and 21 CCR 6664, for the prior fiscal year (project year minus two). Claimant also assures this audit requirement will be completed for the current fiscal year (project year minus one).
Date of submittal to State Controller: _____
Date of submittal to SBCAG: _____
Applies to SBMTD, Easy Lift, and SMOOTH. SBCAG contracts for and submits fiscal audits for all other TDA claimants.

N/A 2) Claimant certifies that, per SBCAG Resolution 90-1, no more than 50% of the CTSA's operating budget for the year came from LTF. Claimant also certifies that its fiscal audit contains verification of this limitation (e.g., "[Easy Lift/SMOOTH] is [not] in compliance with requirement, per SBCAG Resolution 90-1, that no more than 50% of [Easy Lift's/SMOOTH's] operating budget for the year came from Local Transportation Funds.").
Applies to Article 4.5 (CTSAs)

3) Claimant certifies it has submitted an annual report, documenting agency operations, in conformance with the uniform system of accounts and records, to SBCAG and to the State Controller, pursuant to PUC 99243, for the prior year (project year minus two). Claimant assures this report will be audited by an independent CPA. Claimant also assures this report will be completed for the current fiscal year (project year minus one).
Date of submittal to State Controller: 1/21/16
Date of submittal to SBCAG: 3/17/16
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)

4) Claimant certifies it has submitted an annual report, regarding expenditure of funds received, to the State Controller, pursuant to 21 CCR 6665, for the prior year (project year minus two). Claimant assures this report will be audited by an independent CPA. Claimant also assures this report will be completed for the current fiscal year (project year minus one).
Date of submittal to State Controller: 1/21/16
Applies to Articles 3 (bike/ped) and 8 (streets/roads)

5) Claimant certifies that (initial one):
 a) the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system (PUC 99271(a)); or
 b) the operator is implementing a plan approved by SBCAG which will fully fund the retirement system for such officers and employees within 40 years (PUC 99271(a)); or
 c) the operator has a private pension plan which sets aside and invests, on a current basis, funds sufficient to provide for the payment of future pension benefits and which is fully compliant with the requirements stated in PUC 99272 and 99273.
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)

6) Claimant certifies that, pursuant to PUC 99264, it does not routinely staff, with two or more persons, a vehicle for public transportation purposes designed to be operated by one person.
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)

DOCUMENT H (Continued)
(Instructions on page 4-15)

- 7) Claimant certifies that the transit operator's operating budget has not increased more than 15% over the preceding year, and does not include a substantial increase or decrease in scope of operations or capital budget provisions for major new fixed facilities. *If the budget does include such changes, documentation is attached* that identifies and substantiates the reason and need for the changes, pursuant to PUC 99266, CCR 6632(b). E.g., if there is a substantial change between the capital expenditures proposed in this claim and those described in the claimant's Short Range Transit Plan (SRTP) or Transit Development Program (TDP), the claimant must provide a statement that substantiates the need for this change. If the claimant has neither a SRTP nor a TDP, the claimant must provide a statement that describes the need for the proposed capital expenditure.
ATTACHMENTS MAY BE REQUIRED
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- 8) **ATTACHED** Claimant certifies that *attached is certification from the Department of California Highway Patrol (CHP)*, completed within the last 13 months, that indicates the operator is in compliance with Section 1808.1 of the Vehicle Code. Section 1808.1 of the Vehicle Code requires, among other things, that operators participate in a pull notice system for obtaining current driver records from the Department of Motor Vehicles.
ATTACHMENTS REQUIRED
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- 9) Claimant certifies it is in compliance with PUC 99155: if it offers reduced fares to seniors, it offers the same reduced rate to disabled persons, handicapped persons, and disabled veterans, and it honors the federal Medicare card for identification to receive reduced fares.
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- 10) Claimant certifies it is in compliance with PUC 99155.5: dial-a-ride and paratransit services are accessible to handicapped persons and the service is provided to persons without regard to vehicle ownership and place of residence.
Applies to Articles 4 (transit), 4.5 (CTSAs), and 8 (transit)
- 11) **ATTACHED** Claimants that contract with another entity or entities for transit service certify that a copy of *the contract negotiated with that entity is attached*, pursuant to CCR 6630.
ATTACHMENTS REQUIRED
Applies to Articles 4 (transit) and 8 (transit)
- N/A** 12) Claimant certifies that, per SBCAG Resolution 90-1, *attached to this claim is an operations plan and budget* that describes existing and proposed service, and report on progress of coordination and consolidation objectives.
ATTACHMENTS REQUIRED
Applies to Article 4.5 (CTSAs)
- N/A** 13) Claimant certifies that, per SBCAG Resolution 90-1, it has *attached* an agreement to indemnify and hold harmless SBCAG from any claims, judgments or liabilities against the claimant. Claimant certifies it has also *attached proof of insurance coverage*, with limits of general liability to be specified.
ATTACHMENTS REQUIRED
Applies to Article 4.5 (CTSAs)
- 14) Claimant certifies that in its TDA claim, not less than 5% of the amount claimed under Article 4 will be expended for demand-response service for the elderly and individuals with disabilities, per SBCAG Resolution 90-1.
Applies to Articles 4 (transit) in service areas without a designated CTSA [COLT, SYVT]

DOCUMENT H (Continued)
(Instructions on page 4-15)

N/A 15) If SBCAG has found that there are unmet transit needs that are reasonable to meet within its jurisdiction, the claimant certifies it has attached a summary of the actions it plans to take to meet the needs.

ATTACHMENTS MAY BE REQUIRED
Applies to Article 8 (streets/roads)

The undersigned (must be the individual named in the authorizing resolution) hereby certifies that the above statements are true and correct.

Signature: _____
Name: Andrew Carter
Title: City Administrator
Date: _____

DOCUMENT I

STATE TRANSIT ASSISTANCE ALLOCATION AREAS

Select the claim category or categories by checking appropriate box and indicating the amount of STA funding

6730 - Claims of Operators

SBMTD, SMAT, COLT, SYVT, Guadalupe Flyer

Claims may be filed for allocations from the State Transit Assistance fund by an operator for the following purposes.

	Claimed
<input type="checkbox"/> 6730 (a) The operating cost of the operator's public transportation system.	\$ -
<input type="checkbox"/> 6730 (b) The capital requirements of the operator's public transportation system.	\$ -
<input type="checkbox"/> 6730 (b) Subsidy of passenger rail services, either by bulk purchases of passenger tickets or by direct payments to a railroad corporation, pursuant to Public Utilities Code sections 99260.2(b) and 99260.5, or for rail passenger service operation and capital improvement expenditures pursuant to Public Utilities Code section 99260.6 and construction and maintenance of intermodal transportation facilities pursuant to Public Utilities Code section 99234.9.	\$ -
<input type="checkbox"/> 6730 (d) Community transit service purposes pursuant to Public Utilities Code section 99275.	\$ -

6731 - Claims of Cities, Counties or Transit Districts

City of Buellton, City of Guadalupe, City of Lompoc, City of Santa Maria, City of Solvang, County of Santa Barbara and Santa Barbara Metropolitan Transit District

Claims may be filed for allocations from the State Transit Assistance fund by a city or county, or transit district for the following purposes, if it is eligible for allocations from the local transportation fund for such purposes:

	Claimed
<input type="checkbox"/> 6731(a) Payments for passenger rail service operations and capital improvements pursuant to Public Utilities Code section 99400(b) and construction and maintenance of intermodal transportation facilities pursuant to Public Utilities Code section 99234.9.	\$ -
<input type="checkbox"/> 6731(b) Payment to an entity under contract with the city, county or transit district, for transportation services or payment for the claimant's related administrative and planning cost, pursuant to Public Utilities Code sections 99400(c) and 99400(d).	\$ -

6731 - Claims of Cities, Counties or Transit Districts [CONTINUED]

City of Buellton, City of Guadalupe, City of Lompoc, City of Santa Maria, City of Solvang, County of Santa Barbara and Santa Barbara Metropolitan Transit District

Claimed

- 6731(c)** To provide or contract for transportation services to elderly and handicapped persons, if the city, county, or transit district is a member of a joint powers entity operating a public transportation system, pursuant to Public Utilities Code section 99260.7 \$ -
- 6731(d)** Community transit service purposes pursuant to Public Utilities Code section 99275. \$ -
- 6731(e)** Transportation services provided by cities or counties with populations of less than 5,000 pursuant to Public Utilities Code section 99405(d). \$ -

6731.1 Claims of Consolidated Transportation Service Agencies

Easy Lift and SMOOTH

- Claims may be filed for allocations from the state transit assistance fund by consolidated transportation service agencies for purposes specified in Public Utilities Code section 99275. \$ -

Total Amount Claimed \$ -

REFERENCED PUBLIC UTILITIES CODE SECTIONS

99234.9 Rail Passenger Service Projects

Any county, city, county transportation commission, or operator may file claims with the transportation-planning agency for rail passenger service operation expenditures and capital improvement expenditures, including construction and maintenance of intermodal transportation facilities. These funds may be used as the entity's required contribution toward the cost of providing these services or capital improvements.

99260.2 Claims for Peak-Hour Service; Rail Ticket Purchases

99260.2 (b) Claims may be filed with the transportation-planning agency by a transit district to make bulk purchases of passenger tickets for passenger rail services, if it is authorized to make such purchases by its enabling legislation.

99260.5 Claims for Railroad Corporation

99260.5(a) Claims may also be filed with the transportation planning agency by a city and county or a transit district under this article for payments to be made to a railroad corporation subject to the jurisdiction of the Public Utilities Commission and engaged in the transportation of persons, as defined in Section 208, for operating losses incurred in such transportation of persons between points within the city and county or the district, as the case may be, and for that portion of the operating losses incurred in such transportation of persons in the city and county or the district, as the case may be, whose origin or destination, or both, are outside the city and county or district.

99260.5(b) A city and county or a transit district receiving funds under a claim filed pursuant to subdivision (a) shall use those funds for the purposes specified in that subdivision.

99260.6 Claims for Rail Passenger Service

Public agencies authorized to file claims pursuant to Section 99234.9 may file claims under this article.

99260.7 Claims for Separate Service to Elderly and Disabled Persons by Joint Powers Agency Members

In order to provide, or to contract to provide, transportation services using vehicles for the exclusive use of elderly or disabled persons, a city or a county, which is contributing funds it is eligible to receive under this article to a joint powers agency of which it is a member to operate a public transportation system, may also file a claim under this article and may also file a claim for funds made available pursuant to Section 99313.

99275 - Community Transit Services Definition

99275 (a) Claims may be filed with the transportation planning agency by claimants for community transit services, including such services for those, such as the disabled, who cannot use conventional transit services.

99275 (b) For purposes of this article, "community transit services" means transportation services which link intra-community origins and destinations.

99313 - State Transit Assistance; Population Formula Allocations

From the funds made available pursuant to subdivision (c) of Section 99312, an amount shall be allocated by the Controller to each transportation planning agency and county transportation commission, and the San Diego Metropolitan Transit Development Board, based on the ratio of the population of the area under its jurisdiction to the total population of the state.

99400 - Article 8

Claims may be filed under this article with the transportation-planning agency by counties and cities for the following purposes and by transit districts for the purposes specified in subdivisions

99400 (b) Passenger rail service operations and capital improvements.

99400 (c) Payment to any entity which is under contract with a county, city, or transit district for public transportation or for transportation services for any group, as determined by the transportation planning agency, requiring special transportation assistance. If the county, city, or transit district is being served by an operator, the contract entered into by the county, city, or transit district shall specify the level of service to be provided, the operating plan to implement that service, and how that service is to be coordinated with the public transportation service provided by the operator. Prior to approving any claim filed under this section, the transportation planning agency, or the county transportation commission in a county with such a commission, shall make a finding that the transportation services contracted for under subdivision (c) are responding to a transportation need not otherwise being met within the community or jurisdiction of the claimant and that, where appropriate, the services are coordinated with the existing transportation service.

99400 (d) Payments to counties, cities, and transit districts for their administrative and planning cost with respect to transportation services under subdivision (c).

99405 50-Percent Allocation Limitation

99405 (d) The 50-percent limitation shall not apply to funds allocated under this article to a city or county with a population of less than 5,000, and, notwithstanding Section 99400, the city or county may claim funds

under this article for transportation services, including associated capital, planning, and administrative costs, without contracting with another entity.

Article 3 Bike/ Ped	Article 4 Transit	Article 4.5 Community Transit	Article 6(a) Streets & Roads	Article 6(c) Transit	REQUIRED FORMS CHECKLIST	
					Claimant:	
Cities and County	COLT, Guad., SBMTD, SMAT, SYVT	CTSA: Easy Lift and SMOOTH	Cities and County	County	Document Name	
X	X	X	X	X	Document A: Claim Form	
X	X	X	X	X	Document B: Resolution	
	X	X		X	Document C: Productivity Improvement	
					Document D: Fiscal Reporting	
	X	X		X	Part A Proposed Operating Budget	
	X	X		X	Part B Proposed Capital Budget	
X	X	X	X	X	Document E: Project Description & RTP Conformity	
	X	X		X	Document F: Maximum Transit Allocation	
					Document G: Fare and Local Support Ratios	
	X			X	G.1 Fixed Route	
	X (not SBMTD)	X			G.2 Demand Response	
	X (not SBMTD)				G.3 Systemwide (combined)	
					Document H: Standard Assurances	
	SBMTD Only	X			H.1 Fiscal Audit	
		X			H.2 LTF ≤ 50% of CTSA's operating budget	
	X	X		X	H.3 State Controller Annual Report	
X			X		H.4 State Controller Annual Report	
	X	X		X	H.5 Retirement System Funding	
	X	X		X	H.6 Staffing of Vehicles	
	X	X		X	H.7 Budget Increase *	
	X	X		X	H.8 CHP Certification **	
	X	X		X	H.9 Fares for Senior and Disabled	
	X	X		X	H.10 Accessibility to Disabled	
	X			X	H.11 Contract for Transit Services **	
		X			H.12 Operations Plan and Budget **	
		X			H.13 Indemnify, Hold Harmless; Insurance **	
	COLT & SYVT Only				H.14 5% Demand Response	
			X		H.15 Unmet Needs *	

*attachments may be required

**attachments required

I hereby certify that I have completed all forms necessary to comply with the requirements of the Transportation Development Act. Signature: _____ Date: _____	Submitted by: Name: Andrew Carter Title: City Administrator E-mail: acarter@ci.guadalupe.ca.us Phone: (805) 356-3892
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State of California

FTA 5311, 5311(f), 5339 & CMAQ

Section 5333(b) Documentation and Agreement by Subrecipient to Terms and Conditions of the Special Sections 5333(b) Warranty

1. **Subrecipient Name:** City of Guadalupe
2. **Fiscal Year:** 2017
3. I certify for the Subrecipient that, in the Organizations page of the BlackCat System, all **current operators of public transportation (including the subrecipient's system) and corresponding labor organizations (if applicable)** representing the employees of the provider's agency service area that are **eligible or potentially eligible** recipients of federal Section 5311, 5311(f), 5339 or CMAQ funding assistance are listed and up to date.
*Exclude human service agency providers from this list unless they provide transportation to the general public;
 Exclude taxi operators unless they provide shared-ride transportation on a regular and continuing basis.*

4. **Certification:**

I certify for the Subrecipient that, with respect to the subject Section 5311, 5311(f), 5339, or CMAQ **Operating or Capital** projects, I have reviewed the Special Section 5333(b) Warranty for the Section 5311, 5311(f), 5339, or CMAQ programs including the Model Agreement which is a part thereof, and with full knowledge of the terms and conditions of said Warranty and Model Agreement, I certify that the Subrecipient agrees to comply with the terms and conditions of said Warranty and Model Agreement for the duration of the Section 5311, 5311(f), 5339, or CMAQ projects and, further, that these terms and conditions will be a part of any and all agreements and contracts between or among the Federal Government, the State of California, the Subrecipient, entered into with respect to the subject Section 5311, 5311(f), 5339, or CMAQ projects.

Also, I acknowledge that, for the purposes of the Special Section 5333(b) Warranty for the Section 5311, 5311(f), 5339, or CMAQ programs, the State of California is neither the legally nor financially responsible party under the Special Warranty, and the State assumes no special obligations under the Special Warranty that are not otherwise part of its normal obligations as a grant administering agency.

Please Enter Name & Title of Authorized Signee Below:

Name: Andrew Carter

Title: Guadalupe City Administrator

Sign Here: _____
 (Please Sign in Blue Ink)

Date: 3/22/2016



**Authorizing Resolution for FTA Funds
State of California
Division of Rail and Mass Transportation**

RESOLUTION NO. 2016-10

RESOLUTION AUTHORIZING THE FEDERAL FUNDING UNDER FTA SECTION 5311 (49 U.S.C. SECTION 5311) WITH CALIFORNIA DEPARTMENT OF TRANSPORTATION

WHEREAS, the U. S. Department of Transportation is authorized to make grants to states through the Federal Transit Administration to support capital/operating assistance projects for non-urbanized public transportation systems under Section 5311 of the Federal Transit Act (FTA C 9040.1F and FTA C 9050.1); and

WHEREAS, the California Department of Transportation (Department) has been designated by the Governor of the State of California to administer Section 5311 grants for transportation projects for the general public for the rural transit and intercity bus; and

WHEREAS, the City of Guadalupe desires to apply for said financial assistance to permit operation of service/purchase of capital equipment in Santa Barbara County; and

WHEREAS, the City of Guadalupe has, to the maximum extent feasible, coordinated with other transportation providers and users in the region (including social service agencies).

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that the Guadalupe City Council does hereby Authorize the Guadalupe City Administrator, to file and execute applications on behalf of with the Department to aid in the financing of capital/operating assistance projects pursuant to Section 5311 of the Federal Transit Act (FTA C 9040.1F and FTA C 9050.1), as amended.

That Guadalupe City Administrator is authorized to execute and file all certification of assurances, contracts or agreements or any other document required by the Department.

That Guadalupe City Administrator is authorized to provide additional information as the Department may require in connection with the application for the Section 5311 projects.

That Guadalupe City Administrator is authorized to submit and approve request for reimbursement of funds from the Department for the Section 5311 project(s).

PASSED AND ADOPTED by the City Council of the City of Guadalupe, of the County of Santa Barbara, State of California, at a regular meeting of said Council Meeting held on the 22nd day of March 2016 by the following vote:

AYES:
NOES:
ABSENT:

(Please Print)

Name: _____

Title: _____

Signature: _____

Date: _____



**Application Certification
State of California
DRMT Federal Programs
Application**

Applicant: City of Guadalupe

FTA Program: FTA 5311 Program

Fiscal Year: 2017

I hereby certify that I am the authorized signee for the above listed applicant. I also hereby certify that I have reviewed the organizational information and application forms submitted in the BlackCat system and all statements, information, and representations made are true and correct to the best of my knowledge. I also hereby certify that adequate local share as described in herein will be available to execute this project(s).

Please Enter Name & Title of Authorized Signee Below:

Name: Andrew Carter

Title: City Administrator

Sign Here: _____

(Please Sign in Blue Ink)

Date: 3/22/2016

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

PREFACE

Except as the Federal Transit Administration (FTA or We) determines otherwise in writing, before FTA may award federal assistance for public transportation in the form of a federal grant, cooperative agreement, loan, line of credit, or loan guarantee, certain pre-award Certifications and Assurances are required. The Applicant must authorize a representative (Authorized Representative) to select and sign its Certifications and Assurances and bind the Applicant's compliance. You, as your Applicant's Authorized Representative, must select and sign all Certifications and Assurances that your Applicant must provide to support each application it submits to FTA for federal assistance during federal fiscal year (FY) 2016.

We request that you read each Certification and Assurance and select those that will apply to any application for which your Applicant might seek federal assistance from FTA during FY 2016. As provided by federal laws, regulations, and requirements, only if you select adequate Certifications and Assurances on your Applicant's behalf may FTA award federal assistance.

We have consolidated our Certifications and Assurances into twenty-three (23) Categories. At a minimum, you must select the Assurances in Category 01. If your Applicant requests more than \$100,000 in federal assistance, you must select the "Lobbying" Certification in Category 02, except if your Applicant is an Indian tribe, Indian organization, or an Indian tribal organization. Depending on the nature of your Applicant and the Award it seeks, you may also need to select one or more Certifications and Assurances in Categories 03 through 23. Instead of selecting individual Categories of Certifications and Assurances, however, you may make a single selection that will encompass all twenty-three (23) Categories of Certifications and Assurances that apply to our various programs.

FTA, your Applicant, and you, as your Applicant's Authorized Representative, understand and agree that not every provision of these twenty-three (23) Categories of Certifications and Assurances will apply to every Applicant or every Award or Project included in an Award, even if you make a single selection encompassing all twenty-three (23) Categories. Nor will every provision of each Certification or Assurance within a single Category apply if that provision does not apply to your Applicant or the Award it seeks. The type of Applicant and its application will determine which Certifications and Assurances apply.

Your Applicant is ultimately responsible for compliance with the Certifications and Assurances selected that apply to its Award, itself, any Subrecipient, or any other Third Party Participant in its Award, except as FTA determines otherwise in writing. For this reason, we strongly encourage your Applicant to take appropriate measures, including,

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

but not limited to, obtaining sufficient documentation from each Subrecipient and any other Third Party Participant as necessary to assure your Applicant's compliance with the applicable Certifications and Assurances selected on its behalf.

Except as FTA determines otherwise in writing, if your Applicant is a team, consortium, joint venture, or partnership, it understands and agrees that you must identify the activities that each member will perform and the extent to which each member will be responsible for compliance with the Certifications and Assurances selected on its behalf. You also must identify each member's role in the Award, whether as a Recipient, Subrecipient, Third Party Contractor, or other Third Party Participant.

It is important that your Applicant and you also understand that these Certifications and Assurances are pre-award requirements, generally imposed by federal law or regulation, and do not include all federal requirements that may apply to it or its Award. We expect you to submit your Applicant's FY 2016 Certifications and Assurances and its applications for federal assistance in FTA's electronic award and management system, currently the Transit Award Management System (TrAMS). You must be registered in TrAMS to submit to FTA your Applicant's FY 2016 Certifications and Assurances. TrAMS contains fields for selecting among the twenty-three (23) Categories of Certifications and Assurances and a designated field for selecting all twenty-three (23) Categories of Certifications and Assurances. If FTA agrees that you are unable to submit your Applicant's FY 2016 Certifications and Assurances electronically, you must submit the Signature Pages at the end of this document, as FTA directs, marked to show the Categories of Certifications and Assurances that you are submitting.

Be aware that these Certifications and Assurances have been prepared in light of:

- The Fixing America's Surface Transportation (FAST) Act, Public Law No. 114-94, December 4, 2015,*
- The Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law No. 112-141, July 6, 2012, as amended by the Surface Transportation and Veterans Health Care Choice Improvement Act of 2015, Public Law No. 114-41, July 31, 2015 and other authorizing legislation to be enacted,*
- FTA's authorizing legislation in effect in FY 2012 or a previous fiscal year, except as superseded by the FAST Act cross-cutting requirements that apply, and*
- Appropriations Acts or Continuing Resolutions funding the U.S. Department of Transportation during Fiscal Year 2016.*

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

CATEGORY 01. REQUIRED CERTIFICATIONS AND ASSURANCES FOR EACH APPLICANT.

Before FTA may provide federal assistance for your Applicant's Award, you must select the Certifications and Assurances in Category 01 in addition to any other Certifications and Assurances that you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 01 that does not apply will not be enforced.

01.A. Certification and Assurance of Authority of the Applicant and Its Authorized Representative.

You certify and affirm that in signing these Certifications, Assurances, and Agreements, both you, as your Applicant's Authorized Representative, and your Applicant's attorney who is authorized to represent your Applicant in legal matters, may undertake the following activities on your Applicant's behalf, in compliance with applicable state, local, or Indian tribal laws, regulations, and requirements and its by-laws or internal rules:

1. Execute and file its application for federal assistance,
2. Execute and file its Certifications, Assurances, Charter Service Agreement, and School Bus Agreement, as applicable, binding its compliance,
3. Execute its Grant Agreement, Cooperative Agreement, Loan, Loan Guarantee, or Line of Credit, for which the Applicant is seeking federal assistance from FTA,
4. Comply with applicable federal laws, regulations, and requirements, and
5. Follow applicable federal guidance.

01.B. Standard Assurances.

On behalf of your Applicant, you assure that it understands and agrees to the following:

1. It will comply with all applicable federal laws, regulations, and requirements in implementing its Award.
2. It is under a continuing obligation to comply with the terms and conditions of its Grant Agreement or Cooperative Agreement with FTA for each Award, including the FTA Master Agreement and other documents incorporated by reference and made part of its Grant Agreement or Cooperative Agreement, or latest amendment thereto.
3. It recognizes that federal laws, regulations, and requirements may be amended from time to time and those amendments may affect the implementation of its Award.
4. It understands that Presidential executive orders and federal guidance, including federal policies and program guidance, may be issued concerning matters affecting it or its Award.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

5. It agrees that the most recent federal laws, regulations, requirements, and guidance will apply to its Award, except as FTA determines otherwise in writing.
6. Except as FTA determines otherwise in writing, it agrees that requirements for FTA programs may vary depending on the fiscal year for which the federal assistance for those programs was appropriated or made available.

01.C. Intergovernmental Review Assurance.

(This assurance in this Category 01.C does not apply to an Indian tribe, an Indian organization, or an Indian tribal organization that applies for federal assistance made available under 49 U.S.C. § 5311(c)(1), which authorizes FTA's Tribal Transit Programs.)

As required by U.S. Department of Transportation (U.S. DOT) regulations, "Intergovernmental Review of Department of Transportation Programs and Activities," 49 CFR part 17, on behalf of your Applicant, you assure that it has submitted or will submit each application for federal assistance to the appropriate state and local agencies for intergovernmental review.

01.D. Nondiscrimination Assurance.

On behalf of your Applicant, you assure that:

1. It will comply with the following laws, regulations, and requirements so that no person in the United States will be denied the benefits of, or otherwise be subjected to discrimination in, any U.S. DOT or FTA assisted program or activity (particularly in the level and quality of transportation services and transportation-related benefits) on the basis of race, color, national origin, religion, sex, disability, or age including:
 - a. Federal transit laws, specifically 49 U.S.C. § 5332 (prohibiting discrimination on the basis of race, color, religion, national origin, sex (including gender identity), disability, age, employment, or business opportunity),
 - b. Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d,
 - c. The Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, *et seq.*,
 - d. The Americans with Disabilities Act of 1990, as amended, 42 U.S.C. § 12101 *et seq.*,
 - e. U.S. DOT regulations, "Nondiscrimination in Federally-Assisted Programs of the Department of Transportation-Effortuation of Title VI of the Civil Rights Act of 1964," 49 CFR part 21,
 - f. U.S. DOT regulations, specifically 49 CFR parts 27, 37, 38, and 39, and
 - g. Any other applicable federal statutes that may be signed into law, federal regulations that may be issued, or federal requirements that may be imposed.
2. It will comply with federal guidance implementing federal nondiscrimination laws, regulations, or requirements, except as FTA determines otherwise in writing.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

3. As required by 49 CFR § 21.7:
 - a. It will comply with 49 U.S.C. § 5332, 42 U.S.C. § 2000d, and 49 CFR part 21 in the manner that:
 - (1) It implements its Award,
 - (2) It undertakes property acquisitions, and
 - (3) It operates all parts of its facilities, as well as its facilities operated in connection with its Award.
 - b. This assurance applies to its Award and to all parts of its facilities, as well as its facilities used to implement its Award.
 - c. It will promptly take the necessary actions to carry out this assurance, including the following:
 - (1) Notifying the public that discrimination complaints about transportation-related services or benefits may be filed with U.S. DOT or FTA, and
 - (2) Submitting information about its compliance with these provisions to U.S. DOT or FTA upon their request.
 - d. If it transfers U.S. DOT or FTA assisted real property, structures, or improvements to another party, any deeds and instruments recording that transfer will contain a covenant running with the land assuring nondiscrimination:
 - (1) While the property is used for the purpose that the federal assistance is extended, or
 - (2) While the property is used for another purpose involving the provision of similar services or benefits.
 - e. The United States has a right to seek judicial enforcement of any matter arising under:
 - (1) Title VI of the Civil Rights Act, 42 U.S.C. § 2000d,
 - (2) U.S. DOT regulations, 49 CFR part 21, or
 - (3) This assurance.
 - f. It will make any changes in its Title VI implementing procedures, as U.S. DOT or FTA may request, to comply with:
 - (1) Title VI of the Civil Rights Act, 42 U.S.C. § 2000d,
 - (2) U.S. DOT regulations, 49 CFR part 21, and
 - (3) Federal transit law, 49 U.S.C. § 5332.
 - g. It will comply with applicable federal guidance issued to implement federal nondiscrimination requirements, except as FTA determines otherwise in writing.
 - h. It will extend the requirements of 49 U.S.C. § 5332, 42 U.S.C. § 2000d, and 49 CFR part 21 to each Third Party Participant, including any:
 - (1) Subrecipient,
 - (2) Transferee,
 - (3) Third Party Contractor or Subcontractor at any tier,
 - (4) Successor in Interest,
 - (5) Lessee, or

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (6) Other Participant in its Award, except FTA and the Applicant (and later, the Recipient).
- i. It will include adequate provisions to extend the requirements of 49 U.S.C. § 5332, 42 U.S.C. § 2000d, and 49 CFR part 21 to each third party agreement, including each:
 - (1) Subagreement at any tier,
 - (2) Property transfer agreement,
 - (3) Third party contract or subcontract at any tier,
 - (4) Lease, or
 - (5) Participation agreement.
- j. The assurances you have made on your Applicant's behalf remain in effect as long as FTA determines appropriate, including, for example, as long as:
 - (1) Federal assistance is provided for its Award,
 - (2) Its property acquired or improved with federal assistance is used for a purpose for which the federal assistance is extended, or for a purpose involving similar services or benefits,
 - (3) It retains ownership or possession of its property acquired or improved with federal assistance provided for its Award, or
 - (4) FTA may otherwise determine in writing.
- 4. As required by U.S. DOT regulations, "Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance," 49 CFR part 27, specifically 49 CFR § 27.9, and consistent with 49 U.S.C. § 5332, you assure that:
 - a. It will comply with the following prohibitions against discrimination on the basis of disability listed below in subsection 4.b of this Category 01.D Assurance, of which compliance is a condition of approval or extension of any FTA assistance awarded to:
 - (1) Construct any facility,
 - (2) Obtain any rolling stock or other equipment,
 - (3) Undertake studies,
 - (4) Conduct research, or
 - (5) Participate in any benefit or obtain any benefit from any FTA administered program.
 - b. In any program or activity receiving or benefiting from federal assistance that U.S. DOT administers, no qualified individual with a disability will, because of his or her disability, be:
 - (1) Excluded from participation,
 - (2) Denied benefits, or
 - (3) Otherwise subjected to discrimination.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

01.E. Suspension and Debarment Certification.

On behalf of your Applicant, you certify that:

1. It will comply and facilitate compliance with U.S. DOT regulations, “Nonprocurement Suspension and Debarment,” 2 CFR part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) “Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement),” 2 CFR part 180.
2. To the best of its knowledge and belief, that its Principals and Subrecipients at the first tier:
 - a. Are eligible to participate in covered transactions of any federal department or agency and are not presently:
 - (1) Debarred,
 - (2) Suspended,
 - (3) Proposed for debarment,
 - (4) Declared ineligible,
 - (5) Voluntarily excluded, or
 - (6) Disqualified.
 - b. Within a three-year period preceding its latest application or proposal, its management has not been convicted of or had a civil judgment rendered against any of them for:
 - (1) Commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction, or contract under a public transaction,
 - (2) Violation of any federal or state antitrust statute, or
 - (3) Commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making any false statement, or receiving stolen property.
 - c. It is not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses listed in the preceding subsection 2.b of this Certification.
 - d. It has not had one or more public transactions (federal, state, or local) terminated for cause or default within a three-year period preceding this Certification.
 - e. If, at a later time, it receives any information that contradicts the preceding statements of subsections 2.a – 2.d of this Category 01.E Certification, it will promptly provide that information to FTA.
 - f. It will treat each lower tier contract or subcontract under its Award as a covered lower tier contract for purposes of 2 CFR part 1200 and 2 CFR part 180 if it:
 - (1) Equals or exceeds \$25,000,
 - (2) Is for audit services, or
 - (3) Requires the consent of a federal official.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- g. It will require that each covered lower tier contractor and subcontractor:
 - (1) Comply and facilitate compliance with the federal requirements of 2 CFR parts 180 and 1200, and
 - (2) Assure that each lower tier participant in its Award is not presently declared by any federal department or agency to be:
 - (a) Debarred from participation in any federally assisted Award,
 - (b) Suspended from participation in any federally assisted Award,
 - (c) Proposed for debarment from participation in any federally assisted Award,
 - (d) Declared ineligible to participate in any federally assisted Award,
 - (e) Voluntarily excluded from participation in any federally assisted Award, or
 - (f) Disqualified from participation in any federally assisted Award.
- 5. It will provide a written explanation if it or any of its principals, including any of its first tier Subrecipients or its Third Party Participants at a lower tier, is unable to certify compliance with the preceding statements in this Category 01.E Certification.

01.F. U.S. OMB Assurances in SF-424B and SF-424D.

The assurances in this Category 01.F are consistent with the U.S. OMB assurances required in the U.S. OMB SF-424B and SF-424D, and updated as necessary to reflect changes in federal laws, regulations, and requirements.

- 1. *Administrative Activities.* On behalf of your Applicant, you assure that:
 - a. For any application it submits for federal assistance, it has adequate resources to plan, manage, and complete properly the tasks to implement its Award, including:
 - (1) The legal authority to apply for federal assistance,
 - (2) The institutional capability,
 - (3) The managerial capability, and
 - (4) The financial capability (including funds sufficient to pay the non-federal share of the cost of incurred under its Award).
 - b. As required, it will give access and the right to examine materials related to its Award to the following entities or individuals, including, but not limited to:
 - (1) FTA,
 - (2) The Comptroller General of the United States, and
 - (3) The State, through an appropriate authorized representative.
 - c. It will establish a proper accounting system in accordance with generally accepted accounting standards or FTA guidance.
 - d. It will establish safeguards to prohibit employees from using their positions for a purpose that results in:
 - (1) A personal or organizational conflict of interest or personal gain, or

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (2) An appearance of a personal or organizational conflict of interest or personal gain.
2. *Specifics of the Award.* On behalf of your Applicant, you assure that:
 - a. It will begin and complete work within the period of performance that applies following receipt of an FTA Award.
 - b. For FTA assisted construction Awards:
 - (1) It will comply with FTA provisions concerning the drafting, review, and approval of construction plans and specifications,
 - (2) It will provide and maintain competent and adequate engineering supervision at the construction site to assure that the completed work conforms to the approved plans and specifications,
 - (3) It will include a covenant to assure nondiscrimination during the useful life of the real property financed under its Award in its title to that real property,
 - (4) To the extent FTA requires, it will record the federal interest in the title to FTA assisted real property or interests in real property, and
 - (5) It will not alter the site of the FTA assisted construction or facilities without permission or instructions from FTA by:
 - (a) Disposing of the underlying real property or other interest in the site and facilities,
 - (b) Modifying the use of the underlying real property or other interest in the site and facilities, or
 - (c) Changing the terms of the underlying real property title or other interest in the site and facilities.
 - c. It will furnish progress reports and other information as FTA or the state may require.
3. *Statutory and Regulatory requirements.* On behalf of your Applicant, you assure that:
 - a. It will comply with all federal laws, regulations, and requirements relating to nondiscrimination that apply, including, but not limited to:
 - (1) The prohibitions against discrimination on the basis of race, color, or national origin, as provided in Title VI of the Civil Rights Act, 42 U.S.C. § 2000d.
 - (2) The prohibitions against discrimination on the basis of sex, as provided in:
 - (a) Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. §§ 1681 – 1683, and 1685 – 1687, and
 - (b) U.S. DOT regulations, “Nondiscrimination on the Basis of Sex in Education Programs or Activities Receiving Federal Financial Assistance,” 49 CFR part 25.
 - (3) The prohibitions against discrimination on the basis of age in federally assisted programs, as provided in the Age Discrimination Act of 1975, as amended, 42 U.S.C. §§ 6101 – 6107.
 - (4) The prohibitions against discrimination on the basis of disability in federally assisted programs, as provided in section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (5) The prohibitions against discrimination on the basis of disability, as provided in the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. § 12101 *et seq.*
 - (6) The prohibitions against discrimination in the sale, rental, or financing of housing, as provided in Title VIII of the Civil Rights Act, 42 U.S.C. § 3601 *et seq.*
 - (7) The prohibitions against discrimination on the basis of drug abuse, as provided in the Drug Abuse Office and Treatment Act of 1972, as amended, 21 U.S.C. § 1101 *et seq.*
 - (8) The prohibitions against discrimination on the basis of alcohol abuse, as provided in the Comprehensive Alcohol Abuse and Alcoholism Prevention Act of 1970, as amended, 42 U.S.C. § 4541 *et seq.*
 - (9) The confidentiality requirements for records of alcohol and drug abuse patients, as provided in the Public Health Service Act, as amended, 42 U.S.C. § 290dd – 290dd-2.
 - (10) The nondiscrimination provisions of any other statute(s) that may apply to its Award.
- b. As provided by the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (Uniform Relocation Act), 42 U.S.C. § 4601 *et seq.*, and 49 U.S.C. § 5323(b), regardless of whether federal assistance has been provided for any real property acquired or improved for purposes of its Award:
- (1) It will provide for fair and equitable treatment of any displaced persons or any persons whose property is acquired or improved as a result of federally assisted programs.
 - (2) It has the necessary legal authority under state and local laws, regulations, and requirements to comply with:
 - (a) The Uniform Relocation Act. 42 U.S.C. § 4601 *et seq.*, as specified by 42 U.S.C. §§ 4630 and 4655, and
 - (b) U.S. DOT regulations, “Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs,” 49 CFR part 24, specifically 49 CFR § 24.4.
 - (3) It has complied with or will comply with the Uniform Relocation Act and implementing U.S. DOT regulations because:
 - (a) It will adequately inform each affected person of the benefits, policies, and procedures provided for in 49 CFR part 24.
 - (b) As provided by 42 U.S.C. §§ 4622, 4623, and 4624, and 49 CFR part 24, if its Award results in displacement, it will provide fair and reasonable relocation payments and assistance to:
 - 1 Displaced families or individuals, and
 - 2 Displaced corporations, associations, or partnerships.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (c) As provided by 42 U.S.C. § 4625 and 49 CFR part 24, it will provide relocation assistance programs offering the services described in the U.S. DOT regulations to such:
 - 1 Displaced families and individuals, and
 - 2 Displaced corporations, associations, or partnerships.
 - (d) As provided by 42 U.S.C. § 4625(c)(3), within a reasonable time before displacement, it will make available comparable replacement dwellings to families and individuals.
 - (e) It will:
 - 1 Carry out the relocation process to provide displaced persons with uniform and consistent services, and
 - 2 Make available replacement housing in the same range of choices with respect to such housing to all displaced persons regardless of race, color, religion, or national origin.
 - (f) It will be guided by the real property acquisition policies of 42 U.S.C. §§ 4651 and 4652.
 - (g) It will pay or reimburse property owners for their necessary expenses as specified in 42 U.S.C. §§ 4653 and 4654, understanding that FTA will provide federal assistance for its eligible costs of providing payments for those expenses, as required by 42 U.S.C. § 4631.
 - (h) It will execute the necessary implementing amendments to FTA assisted third party contracts and subagreements.
 - (i) It will execute, furnish, and be bound by such additional documents as FTA may determine necessary to effectuate or implement these assurances.
 - (j) It will incorporate these assurances by reference into and make them a part of any third party contract or subagreement, or any amendments thereto, related to its Award that involves relocation or land acquisition.
 - (k) It will provide in any affected document that these relocation and land acquisition provisions must supersede any conflicting provisions.
- c. It will comply with the Lead-Based Paint Poisoning Prevention Act, specifically 42 U.S.C. § 4831(b), which prohibits the use of lead-based paint in the construction or rehabilitation of residence structures.
- d. It will, to the extent applicable, comply with the protections for human subjects involved in research, development, and related activities supported by federal assistance of:
- (1) The National Research Act, as amended, 42 U.S.C. § 289 *et seq.*, and
 - (2) U.S. DOT regulations, "Protection of Human Subjects," 49 CFR part 11.
- e. It will, to the extent applicable, comply with the labor standards and protections for federally assisted Awards of:
- (1) The Davis-Bacon Act, as amended, 40 U.S.C. §§ 3141 – 3144, 3146, and 3147,

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (2) Sections 1 and 2 of the Copeland “Anti-Kickback” Act, as amended, 18 U.S.C. § 874, and 40 U.S.C. § 3145, respectively, and
 - (3) The Contract Work Hours and Safety Standards Act, as amended, 40 U.S.C. § 3701 *et seq.*
- f. It will comply with any applicable environmental standards prescribed to implement federal laws and executive orders, including, but not limited to:
- (1) Complying with the institution of environmental quality control measures under the National Environmental Policy Act of 1969, as amended, 42 U.S.C. §§ 4321 – 4335 and following Executive Order No. 11514, as amended, 42 U.S.C. § 4321 note.
 - (2) Following the notification of violating facilities provisions of Executive Order No. 11738, 42 U.S.C. § 7606 note.
 - (3) Following the protection of wetlands provisions of Executive Order No. 11990, 42 U.S.C. § 4321 note.
 - (4) Following the evaluation of flood hazards in the floodplains provisions of Executive Order No. 11988, May 24, 1977, 42 U.S.C. § 4321 note, and Executive Order No. 13690 “Establishing a Federal Flood Risk Management Standard and a Process for Further Soliciting and Considering Stakeholder Input, January 30, 2015.
 - (5) Complying with the assurance of consistency with the approved state management program developed pursuant to the Coastal Zone Management Act of 1972, as amended, 16 U.S.C. §§ 1451 – 1465.
 - (6) Complying with the Conformity of Federal Actions to State (Clean Air) Implementation Plans requirements under section 176(c) of the Clean Air Act of 1970, as amended, 42 U.S.C. §§ 7401 – 7671q.
 - (7) Complying with protections for underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, 42 U.S.C. § 300f – 300j-6.
 - (8) Complying with the protections for endangered species under the Endangered Species Act of 1973, as amended, 16 U.S.C. §§ 1531 – 1544.
 - (9) Complying with the environmental protections for federal transportation programs, including, but not limited to, protections for parks, recreation areas, or wildlife or waterfowl refuges of national, state, or local significance or any land from a historic site of national, state, or local significance to be used in a transportation Award, as required by 49 U.S.C. § 303 (also known as “Section 4f”).
 - (10) Complying with the protections for national wild and scenic rivers systems, as required under the Wild and Scenic Rivers Act of 1968, as amended, 16 U.S.C. §§ 1271 – 1287.
 - (11) Complying with and facilitating compliance with:
 - (a) Section 106 of the National Historic Preservation Act of 1966, as amended, 54 U.S.C. § 300108,

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (b) The Archaeological and Historic Preservation Act of 1974, as amended, 54 U.S.C. § 312501 *et seq.*, and
 - (c) Executive Order No. 11593 (identification and protection of historic properties), 54 U.S.C. § 300101.
- g. To the extent applicable, it will comply with the following federal requirements for the care, handling, and treatment of warm-blooded animals held or used for research, teaching, or other activities supported with federal assistance:
 - (1) The Animal Welfare Act, as amended, 7 U.S.C. § 2131 *et seq.*, and
 - (2) U.S. Department of Agriculture regulations, “Animal Welfare,” 9 CFR subchapter A, parts 1, 2, 3, and 4.
- h. To the extent applicable, it will obtain a certificate of compliance with the seismic design and construction requirements of U.S. DOT regulations, “Seismic Safety,” 49 CFR part 41, specifically 49 CFR § 41.117(d), before accepting delivery of any FTA assisted buildings.
- i. It will comply with and assure that each of its Subrecipients located in special flood hazard areas will comply with section 102(a) of the Flood Disaster Protection Act of 1973, as amended, 42 U.S.C. § 4012a(a), by:
 - (1) Participating in the federal flood insurance program, and
 - (2) Purchasing flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- j. It will comply with:
 - (1) The Hatch Act, 5 U.S.C. §§ 1501 – 1508, 7324 – 7326, which limits the political activities of state and local agencies and their officers and employees whose primary employment activities are financed in whole or part with federal assistance, including a federal loan, grant agreement, or cooperative agreement, and
 - (2) 49 U.S.C. § 5323(l)(2) and 23 U.S.C. § 142(g), which provide an exception from Hatch Act restrictions for a nonsupervisory employee of a public transportation system (or of any other agency or entity performing related functions) receiving federal assistance appropriated or made available under 49 U.S.C. chapter 53 and 23 U.S.C. § 142(a)(2) to whom the Hatch Act does not otherwise apply.
- k. It will perform the financial and compliance audits as required by the:
 - (1) Single Audit Act Amendments of 1996, 31 U.S.C. § 7501 *et seq.*,
 - (2) U.S. DOT regulations, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR part 1201, which incorporates by reference U.S. OMB regulatory guidance, “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards,” 2 CFR part 200, and
 - (3) Most recent applicable U.S. OMB Compliance Supplement, 2 CFR part 200, appendix XI (previously known as the U.S. OMB Circular A-133 Compliance Supplement).

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- l. It will comply with all other federal laws, regulations, and requirements that apply.
- m. It will follow federal guidance governing it and its Award, except as FTA has expressly approved otherwise in writing.

CATEGORY 02. LOBBYING.

Before FTA may provide federal assistance for a grant or cooperative agreement exceeding \$100,000 or a loan, line of credit, loan guarantee, or loan insurance exceeding \$150,000, unless your Applicant is an Indian Tribe, Indian organization, or an Indian tribal organization exempt from the requirements of 31 U.S.C. § 1352, you must select the Lobbying Certifications in Category 02 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 02 that does not apply will not be enforced.

On behalf of your Applicant, you certify that:

1. As required by 31 U.S.C. § 1352 and U.S. DOT regulations, "New Restrictions on Lobbying," specifically 49 CFR 20.110:
 - a. The lobbying restrictions of this Certification apply to its requests:
 - (1) For \$100,000 or more in federal assistance for a grant or cooperative agreement, and
 - (2) For \$150,000 or more in federal assistance for a loan, line of credit, loan guarantee, or loan insurance, and
 - b. Your Certification on its behalf applies to the lobbying activities of:
 - (1) It,
 - (2) Its Principals, and
 - (3) Its Subrecipients at the first tier.
2. To the best of your knowledge and belief:
 - a. No federal appropriated funds have been or will be paid by your Applicant or on its behalf to any person to influence or attempt to influence:
 - (1) An officer or employee of any federal agency regarding the award of a:
 - (a) Federal grant or cooperative agreement, or
 - (b) Federal loan, line of credit, loan guarantee, or loan insurance, or
 - (2) A Member of Congress, an employee of a member of Congress, or an officer or employee of Congress regarding the award of a:
 - (a) Federal grant or cooperative agreement, or
 - (b) Federal loan, line of credit, loan guarantee, or loan insurance.
 - b. It will submit a complete OMB Standard Form LLL (Rev. 7-97), "Disclosure of Lobbying Activities," consistent with the instructions on that form, if any funds

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

other than federal appropriated funds have been or will be paid to any person to influence or attempt to influence:

- (1) An officer or employee of any federal agency regarding the award of a:
 - (a) Federal grant or cooperative agreement, or
 - (b) Federal loan, line of credit, loan guarantee, or loan insurance, or
- (2) A Member of Congress, an employee of a member of Congress, or an officer or employee of Congress regarding the award of a:
 - (a) Federal grant or cooperative agreement, or
 - (b) Federal loan, line of credit, loan guarantee, or loan insurance.
- c. It will include the language of this Certification in its Award documents under a federal grant, cooperative agreement, loan, line of credit, or loan insurance including, but not limited to:
 - (1) Each third party contract,
 - (2) Each third party subcontract,
 - (3) Each subagreement, and
 - (4) Each third party agreement.
3. It understands that:
 - a. This Certification is a material representation of fact that the Federal Government relies on, and
 - b. It must submit this Certification before the Federal Government may award federal assistance for a transaction covered by 31 U.S.C. § 1352, including a:
 - (1) Federal grant or cooperative agreement, or
 - (2) Federal loan, line of credit, loan guarantee, or loan insurance.
4. It understands that any person who does not file a required Certification will incur a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

CATEGORY 03. PROCUREMENT AND PROCUREMENT SYSTEMS.

We request that you select the Procurement and Procurement Systems Certification in Category 03 on behalf of your Applicant, especially if your Applicant is a state, local, or Indian tribal government with a certified procurement system, as provided in 2 CFR § 200.324(c)(2), incorporated by reference in 2 CFR part 1201 or former 49 CFR 18.36(g)(3)(ii).

Any provision of the Certification in Category 03 that does not apply will not be enforced.

On behalf of your Applicant, you certify that its procurements and its procurement system will comply with all federal laws, regulations, and requirements in accordance with applicable federal guidance, except as FTA has approved otherwise in writing.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

CATEGORY 04. PRIVATE SECTOR PROTECTIONS.

Before FTA may provide federal assistance for an Award that involves the acquisition of public transportation property or the operation of public transportation facilities or equipment, you must select the Private Property Protections Assurances in Category 04.A and enter into the Agreements in Category 04.B and Category 04.C on behalf of your Applicant in addition to other Certifications you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Assurances and Agreements in Category 04 that does not apply will not be enforced.

04.A. Private Property Protections.

If your Applicant is a state, local government, or Indian tribal government and seeks federal assistance from FTA to acquire the property of a private transit operator or operate public transportation in competition with or in addition to a public transportation operator, the Private Property Protections Assurances in Category 04.A apply to your Applicant, except as FTA determines otherwise in writing.

To facilitate FTA's ability to make the findings required by 49 U.S.C. § 5323(a)(1), on behalf of your Applicant, you assure that:

1. It has or will have:
 - a. Determined that the federal assistance it has requested is essential to carrying out its Program of Projects as required by 49 U.S.C. §§ 5303, 5304, and 5306,
 - b. Provided for the participation of private companies engaged in public transportation to the maximum extent feasible, and
 - c. Paid just compensation under state or local laws to the company for any franchise or property acquired.
2. It has completed the actions described in the preceding section 1 of this Category 04.A Certification before:
 - a. It acquires the property or an interest in the property of a private provider of public transportation, or
 - b. It operates public transportation equipment or facilities:
 - (1) In competition with transportation service provided by an existing public transportation operator, or
 - (2) In addition to transportation service provided by an existing public transportation operator.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

04.B. Charter Service Agreement.

If your Applicant seeks federal assistance from FTA to acquire or operate transit facilities or equipment, the Charter Service Agreement in Category 04.B applies to your Applicant, except as FTA determines otherwise in writing.

To comply with 49 U.S.C. § 5323(d) and (g) and FTA regulations, “Charter Service,” 49 CFR part 604, specifically 49 CFR § 604.4, on behalf of your Applicant, you are entering into the following Charter Service Agreement:

1. FTA’s “Charter Service” regulations apply as follows:
 - a. FTA’s Charter Service regulations restrict transportation by charter service using facilities and equipment acquired or improved under its Award from FTA financed with federal assistance derived from:
 - (1) Federal transit laws, 49 U.S.C. chapter 53,
 - (2) 23 U.S.C. §§ 133 or 142, or
 - (3) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
 - b. FTA’s charter service restrictions extend to:
 - (1) Your Applicant, when it becomes a Recipient of federal assistance appropriated or made available for:
 - (a) Federal transit laws, 49 U.S.C. chapter 53,
 - (b) 23 U.S.C. §§ 133 or 142, or
 - (c) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
 - (2) Any Third Party Participant that receives federal assistance derived from:
 - (a) Federal transit laws, 49 U.S.C. chapter 53,
 - (b) 23 U.S.C. §§ 133 or 142, or
 - (c) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
 - c. A Third Party Participant includes any:
 - (1) Subrecipient at any tier,
 - (2) Lessee,
 - (3) Third Party Contractor or Subcontractor at any tier, and
 - (4) Other Third Party Participant in its Award.
 - d. You and your Applicant agree that neither it nor any governmental authority or publicly owned operator that receives federal public transportation assistance appropriated or made available for its Award will engage in charter service operations, except as permitted under:
 - (1) Federal transit laws, specifically 49 U.S.C. § 5323(d) and (g),
 - (2) FTA regulations, “Charter Service,” 49 CFR part 604, to the extent consistent with 49 U.S.C. § 5323(d) and (g),
 - (3) Any other federal Charter Service regulations, or

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (4) Federal guidance, except as FTA determines otherwise in writing.
- e. You and your Applicant agree that the latest Charter Service Agreement selected in its latest annual Certifications and Assurances is incorporated by reference in and made part of the Underlying Agreement accompanying its Award of federal assistance from FTA.
- f. You and your Applicant agree that:
 - (1) FTA may require corrective measures or impose remedies on it or any governmental authority or publicly owned operator that receives federal assistance from FTA appropriated or made available for its Award that has engaged in a pattern of violations of FTA's Charter Service regulations by:
 - (a) Conducting charter operations prohibited by federal transit laws and FTA's Charter Service regulations, or
 - (b) Otherwise violating its Charter Service Agreement selected in its latest annual Certifications and Assurances, and
 - (2) These corrective measures and remedies may include:
 - (a) Barring it or any Third Party Participant operating public transportation under its Award that has provided prohibited charter service from receiving federal assistance from FTA,
 - (b) Withholding an amount of federal assistance as provided by Appendix D to FTA's Charter Service regulations, or
 - (c) Any other appropriate remedy that may apply.
- 2. In addition to the exceptions to the restrictions in FTA's Charter Service regulations, FTA has established the following additional exceptions to those restrictions:
 - a. FTA's Charter Service restrictions do not apply to your Applicant if it seeks federal assistance appropriated or made available under 49 U.S.C. §§ 5307 or 5311 to be used for Job Access and Reverse Commute (JARC) activities that would have been eligible for assistance under former 49 U.S.C. §§ 5316 in effect in FY 2012 or a previous fiscal year, provided that it uses that federal assistance from FTA for those program purposes only.
 - b. FTA's Charter Service restrictions do not apply to your Applicant if it seeks federal assistance appropriated or made available under 49 U.S.C. § 5310 to be used for New Freedom activities that would have been eligible for assistance under former 49 U.S.C. § 5317 in effect in FY 2012 or a previous fiscal year, provided it uses that federal assistance from FTA for those program purposes only.
 - c. An Applicant for assistance under 49 U.S.C. chapter 53 will not be determined to have violated the FTA Charter Service regulations if that Recipient provides a private intercity or charter transportation operator reasonable access to that Recipient's federally assisted public transportation facilities, including intermodal facilities, park and ride lots, and bus-only highway lanes, as provided in 49 U.S.C. § 5323(r).

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

04.C. School Bus Agreement.

If your Applicant seeks federal assistance from FTA to acquire or operate transit facilities or equipment, the School Bus Agreement in Category 04.C applies to your Applicant, except as FTA determines otherwise in writing.

To comply with 49 U.S.C. § 5323(f) and (g) and FTA regulations, "School Bus Operations," 49 CFR part 605, to the extent consistent with 49 U.S.C. § 5323(f) and (g), on behalf of your Applicant, you are entering into the following School Bus Agreement:

1. FTA's "School Bus Operations" regulations restrict school bus operations using facilities and equipment acquired or improved with federal assistance derived from:
 - a. Federal transit laws, 49 U.S.C. chapter 53,
 - b. 23 U.S.C. §§ 133 or 142, or
 - c. Any other Act that provides federal public transportation assistance, unless otherwise excepted.
2. FTA's school bus operations restrictions extend to:
 - a. Your Applicant, when it becomes a Recipient of federal assistance appropriated or made available for:
 - (1) Federal transit laws, 49 U.S.C. chapter 53,
 - (2) 23 U.S.C. §§ 133 or 142, or
 - (3) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
 - b. Any Third Party Participant that receives federal assistance derived from:
 - (1) Federal transit laws, 49 U.S.C. chapter 53,
 - (2) 23 U.S.C. §§ 133 or 142, or
 - (3) Any other Act that provides federal public transportation assistance, unless otherwise excepted.
3. A Third Party Participant includes any:
 - a. Subrecipient at any tier,
 - b. Lessee,
 - c. Third Party Contractor or Subcontractor at any tier, and
 - d. Other Third Party Participant in its Award.
4. You and your Applicant agree and will obtain the agreement of any Third Party Participant involved in your Applicant's Award that it will not engage in school bus operations in competition with private operators of school buses, except as permitted under:
 - a. Federal transit laws, specifically 49 U.S.C. § 5323(f) and (g),
 - b. FTA regulations, "School Bus Operations," 49 CFR part 605, to the extent consistent with 49 U.S.C. § 5323(f) and (g),
 - c. Any other federal School Bus regulations, or
 - d. Federal guidance, except as FTA determines otherwise in writing.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

5. You and your Applicant agree that the latest School Bus Agreement selected on its behalf in FTA's latest annual Certifications and Assurances is incorporated by reference in and made part of the Underlying Agreement accompanying its Award of federal assistance.
6. You and your Applicant agree that after it is a Recipient, if it or any Third Party Participant has violated this School Bus Agreement, FTA may:
 - a. Bar your Applicant or Third Party Participant from receiving further federal assistance for public transportation, or
 - b. Require the Applicant or Third Party Participant to take such remedial measures as FTA considers appropriate.

CATEGORY 05. ROLLING STOCK REVIEWS AND BUS TESTING.

Before FTA may provide federal assistance for an Award to acquire rolling stock for use in revenue service or to acquire a new bus model, you must select the Rolling Stock Reviews and Bus Testing Certifications in Category 05 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 05 that does not apply will not be enforced.

05.A. Rolling Stock Reviews.

If your Applicant seeks federal assistance from FTA to acquire rolling stock for use in revenue service, the Rolling Stock Reviews Certifications in Category 05.A apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that when procuring rolling stock for use in revenue service:

1. It will comply with:
 - a. Federal transit laws, specifically 49 U.S.C. § 5323(m), and
 - b. FTA regulations, "Pre-Award and Post-Delivery Audits of Rolling Stock Purchases," 49 CFR part 663, and
2. As provided in 49 CFR § 663.7:
 - a. It will conduct or cause to be conducted the required pre-award and post-delivery reviews of that rolling stock, and
 - b. It will maintain on file the Certifications required by 49 CFR part 663, subparts B, C, and D.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

05.B. Bus Testing.

If your Applicant seeks federal assistance from FTA to acquire a new bus model, the Bus Testing Certifications in Category 05.B apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that:

1. FTA's bus testing requirements apply to all acquisitions of new buses and new bus models that require bus testing as defined in FTA's Bus Testing regulations, and it will comply with:
 - a. 49 U.S.C. § 5318, and
 - b. FTA regulations, "Bus Testing," 49 CFR part 665, to the extent these regulations are consistent with 49 U.S.C. § 5318.
2. As required by 49 CFR § 665.7, when acquiring the first bus of any new bus model or a bus model with a major change in components or configuration:
 - a. It will not spend any federal assistance appropriated under 49 U.S.C. chapter 53 to acquire that new bus or new bus model until:
 - (1) That new bus or new bus model has been tested at FTA's bus testing facility, and
 - (2) It has received a copy of the test report prepared for that new bus or new bus model, and
 - b. It will not authorize final acceptance of that new bus or new bus model until:
 - (1) That new bus or new bus model has been tested at FTA's bus testing facility, and
 - (2) It has received a copy of the test report prepared for that new bus or new bus model.
3. It will ensure that the new bus or new bus model that is tested has met the performance standards consistent with those regulations, including the:
 - a. Performance standards for:
 - (1) Maintainability,
 - (2) Reliability,
 - (3) Performance (including braking performance),
 - (4) Structural integrity,
 - (5) Fuel economy,
 - (6) Emissions, and
 - (7) Noise, and
 - b. Minimum safety performance standards established under 49 U.S.C. § 5329.
4. After FTA regulations authorized by 49 U.S.C. § 5318(e)(2) are in effect, it will ensure that the new bus or new bus model that is tested has received a passing aggregate test score under the "Pass/Fail" standard established by regulation.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

CATEGORY 06. DEMAND RESPONSIVE SERVICE.

Before FTA may provide federal assistance for an Award to a public entity that operates demand responsive service to acquire a non-rail vehicle that is not accessible, you must select the Demand Responsive Service Certifications in Category 06 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 06 that does not apply will not be enforced.

As required by U.S. DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR part 37, specifically 49 CFR § 37.77(d), on behalf of your Applicant, you certify that:

1. Your Applicant offers public transportation services equivalent in level and quality of service to:
 - a. Individuals with disabilities, including individuals who use wheelchairs, and
 - b. Individuals without disabilities.
2. Viewed in its entirety, its service for individuals with disabilities is:
 - a. Provided in the most integrated setting feasible, and
 - b. Equivalent to the service it offers individuals without disabilities with respect to:
 - (1) Response time,
 - (2) Fares,
 - (3) Geographic service area,
 - (4) Hours and days of service,
 - (5) Restrictions on priorities based on trip purpose,
 - (6) Availability of information and reservation capability, and
 - (7) Constraints on capacity or service availability.

CATEGORY 07. INTELLIGENT TRANSPORTATION SYSTEMS.

Before FTA may provide federal assistance for an Award in support of an Intelligent Transportation System (ITS), you must select the Intelligent Transportation Systems Assurances in Category 07 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Assurances in Category 07 that does not apply will not be enforced.

On behalf of your Applicant, you and your Applicant:

1. Understand that, as used in this Assurance, the term Intelligent Transportation System is defined to include technologies or systems of technologies that provide or

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

significantly contribute to the provision of one or more Intelligent Transportation System (ITS) user services as defined in the “National ITS Architecture.”

2. Assure that, as provided in 23 U.S.C. § 517(d), any Award that includes an ITS or related activity financed with appropriations made available from the Highway Trust Fund, including amounts made available to deploy ITS facilities or equipment, will conform to the appropriate regional ITS architecture, applicable standards, and protocols developed under 23 U.S.C. § 517(a) or (c), unless it obtains a waiver as provided in 23 U.S.C. § 517(d)(2).

CATEGORY 08. INTEREST AND FINANCING COSTS AND ACQUISITION OF CAPITAL ASSETS BY LEASE.

Before FTA may award federal assistance appropriated or made available under 49 U.S.C. chapter 53 to support the interest, financing, or leasing costs of any Award financed under the Urbanized Area Formula Grants Program, Fixed Guideway Capital Investment Grants Program, any program to which the requirements of 49 U.S.C. § 5307 apply, or any other program as FTA may specify, you must select the Certifications in Category 08 in addition to other Certifications and Assurances you must select on your Applicant’s behalf, except as FTA may determine otherwise in writing.

Any provision of the Certifications and Assurances in Category 08 that does not apply will not be enforced.

08.A. Interest and Financing Costs.

If your Applicant intends to use federal assistance to support the interest or any other financing costs for an Award financed under the Urbanized Area Formula Grants Program, the Fixed Guideway Capital Investment Grants Program, the New Starts, Small Starts, and Core Capacity Programs, any program that must comply with the requirements of 49 U.S.C. § 5307, or any other program as FTA may specify, the Interest and Financing Costs Certifications in Category 08.A apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that:

1. It will not seek reimbursement for interest or any other financing costs unless:
 - a. It is eligible to receive federal assistance for those costs, and
 - b. Its records demonstrate that it has shown reasonable diligence in seeking the most favorable financing terms, as FTA may require.
2. It will comply with the same favorable financing cost provisions for Awards financed under:
 - a. The Urbanized Area Formula Grants Program,
 - b. A Full Funding Grant Agreement,

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- c. An Early Systems Work Agreement,
- d. The Fixed Guideway Capital Investment Program financed by previous FTA enabling legislation,
- e. Any program that must comply with the requirements of 49 U.S.C. § 5307, or
- f. Any other program as FTA may specify.

08.B. Acquisition of Capital Assets by Lease.

If your Applicant seeks federal assistance from FTA to acquire capital assets (other than rolling stock or related equipment) through a lease, the Acquisition of Capital Assets by Lease Certifications and Assurances in Category 08.B applies to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify and assure that, as required by FTA regulations, "Capital Leases," 49 CFR part 639, to the extent consistent with the FAST Act, if your Applicant acquires any capital asset (other than rolling stock or related equipment) through a lease financed with federal assistance appropriated or made available under 49 U.S.C. chapter 53, it will not enter into a capital lease for which FTA can provide only incremental federal assistance unless it has adequate financial resources to meet its future lease obligations if federal assistance is not available.

CATEGORY 09. TRANSIT ASSET MANAGEMENT PLAN AND PUBLIC TRANSPORTATION AGENCY SAFETY PLAN.

Before FTA may provide federal assistance appropriated or made available under 49 U.S.C. chapter 53 to support an Award, you must select the Certifications in Category 09 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 09 that does not apply will not be enforced.

09.A. Transit Asset Management Plan.

If your Applicant applies for funding appropriated or made available for 49 U.S.C. chapter 53, the Transit Asset Management Certifications in Group 09.A apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that it and each of its Subrecipients will:

1. Follow federal guidance that, when issued, will implement the transit asset management system provisions of 49 U.S.C. § 5326, except as FTA determines otherwise in writing, and

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

2. Comply with the final federal regulations that, when issued, will implement the transit asset management provisions of 49 U.S.C. § 5326.

09.B. Public Transportation Agency Safety Plan.

If your Applicant applies for funding under 49 U.S.C. chapter 53 and it is a State government, local government, or any other operator of a public transportation system, the Public Transportation Safety Plan Certifications in Group 09.B apply to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify that it will:

1. Follow the Federal guidance, when issued, that will implement the safety plan provisions of 49 U.S.C. § 5329(d), except as FTA determines otherwise in writing, and
2. Comply with the final Federal regulations, when issued, that implement the safety plan requirements of 49 U.S.C. § 5329(d).

CATEGORY 10. ALCOHOL AND CONTROLLED SUBSTANCES TESTING.

If your Applicant must comply with the alcohol and controlled substance testing requirements of 49 U.S.C. § 5331 and its implementing regulations, before FTA may provide federal assistance for an Award, you must select the Certifications in Category 10 in addition to other Certifications and Assurances you select on your Applicant's behalf, except as FTA may determine otherwise in writing.

Any provision of the Certifications in Category 10 that does not apply will not be enforced.

As required by 49 U.S.C. § 5331, and FTA regulations, "Prevention of Alcohol Misuse and Prohibited Drug Use in Transit Operations," 49 CFR part 655, subpart I, specifically 49 CFR § 655.83, on behalf of your Applicant, including an Applicant that is a state, and on behalf of its Subrecipients and Third Party Contractors, you certify that:

1. Your Applicant, its Subrecipients, and Third Party Contractors to which these testing requirements apply have established and implemented:
 - a. An alcohol misuse testing program, and
 - b. A controlled substance testing program.
2. Your Applicant, its Subrecipients, and its Third Party Contractors to which these testing requirements apply have complied or will comply with all applicable requirements of 49 CFR part 655 to the extent those regulations are consistent with 49 U.S.C. § 5331.
3. Consistent with U.S. DOT Office of Drug and Alcohol Policy and Compliance Notice, issued October 22, 2009, if your Applicant, its Subrecipients, or its Third

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

Party Contractors to which these testing requirements apply reside in a state that permits marijuana use for medical or recreational purposes, your Applicant, its Subrecipients, and its Third Party Contractors to which these testing requirements apply have complied or will comply with the federal controlled substance testing requirements of 49 CFR part 655.

CATEGORY 11. FIXED GUIDEWAY CAPITAL INVESTMENT GRANTS PROGRAM (NEW STARTS, SMALL STARTS, AND CORE CAPACITY IMPROVEMENT).

Before FTA may provide federal assistance for an Award financed under the New Starts, Small Starts, or Core Capacity Improvement Program authorized under 49 U.S.C. § 5309, you must select the Certifications in Category 11 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA may determine otherwise in writing.

Any provision of the Certifications in Category 11 that does not apply will not be enforced.

Except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award,
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award,
3. It will maintain its equipment and facilities acquired or improved under its Award in accordance with its transit asset management plan,
4. It will comply with:
 - a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304, and
5. It will comply with FTA guidance, "Final Interim Policy Guidance, Capital Investment Grant Program," August 2015, 80 *Fed. Reg.* 46514, August 5, 2015,

CATEGORY 12. STATE OF GOOD REPAIR PROGRAM.

Before FTA may provide federal assistance for an Award financed under the State of Good Repair Program authorized under 49 U.S.C. § 5337, you must select the Certifications in Category 12 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Assurance in Category 12 that does not apply will not be enforced.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

On behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award,
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award,
3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan, and
4. It will comply with:
 - a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

CATEGORY 13. GRANTS FOR BUSES AND BUS FACILITIES AND LOW OR NO EMISSION VEHICLE DEPLOYMENT GRANT PROGRAMS

Before FTA may provide federal assistance for an Award under the Buses and Bus Facilities Program authorized under 49 U.S.C. § 5339, as amended by the FAST Act, which among other things authorizes grants for Low or No Emission buses, you must select the Certifications in Category 13 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 13 that does not apply will not be enforced.

13.A. Grants for Buses and Bus Facilities Program The following Certifications for the Grants for Buses and Bus Facilities Program are required by 49 U.S.C. § 5339, as amended by the FAST Act, which provides that the requirements of 49 U.S.C. § 5307 shall apply to recipients of grants made in urbanized areas and the requirements of 49 U.S.C. § 5311 shall apply to recipients of grants made in rural areas under this 49 U.S.C. §§ 5339(a) and (b). Therefore:

1. If your Applicant is in an urbanized area, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
 - a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
 - b. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
 - c. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan,

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- d. When using or involving a facility or equipment acquired or improved with federal assistance under 49 U.S.C. § 5339 during non-peak hours for transportation, recipients in an urbanized area will ensure that the following individuals will be charged a fare not exceeding fifty (50) percent of the peak hour fare:
 - (1) Any senior,
 - (2) Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
 - (3) Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 *et seq.*), and
 - (4) Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 *et seq.*).
 - e. When carrying out a procurement under 49 U.S.C. § 5339, it will comply with:
 - (1) The applicable general provisions of 49 U.S.C. § 5323, and
 - (2) The applicable third party contract provisions of 49 U.S.C. § 5325.
 - f. It has complied with or will comply with 49 U.S.C. § 5307(b).
 - g. As required by 49 U.S.C. § 5307(d):
 - (1) It has or will have the amount of funds required for the non-federal share,
 - (2) It will provide the non-federal share from sources approved by FTA, and
 - (3) It will provide the non-federal share when needed.
 - h. It will comply with:
 - (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - (2) The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.
 - i. It has a locally developed process to solicit and consider public comment before:
 - (1) Raising a fare, or
 - (2) Implementing a major reduction of public transportation service.
 - j. It will comply with the final federal regulations that, when issued, will implement the safety plan requirements of 49 U.S.C. § 5329(d).
2. If your Applicant is in a rural area, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
- a. It has or will have and require each Subrecipient to have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
 - b. It has or will have and require each Subrecipient to have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- c. It will maintain and require each Subrecipient to maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan.
- d. Its state program has provided for a fair distribution of federal assistance appropriated or made available under 49 U.S.C. § 5311(b) within the state to eligible entities, including Indian reservations.
- e. Its program provides or will provide the maximum feasible coordination of federal assistance for public transportation service with transportation service financed by other federal sources.
- f. Its Awards and Subawards in its Formula Grants for Rural Areas Program are included in:
 - (1) The statewide transportation improvement program, and
 - (2) To the extent applicable, a metropolitan transportation improvement program.
- g. With respect to the non-federal share:
 - (1) It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by 49 U.S.C. § 5311(g) ,
 - (2) It will provide and, as necessary, will require each Subrecipient to provide the non-federal share from sources approved by FTA, and
 - (3) It will provide and, as necessary, will require each Subrecipient to provide the non-federal share when needed.
- h. It may transfer a facility or equipment acquired or improved under its Award to any other Recipient eligible to receive assistance under 49 U.S.C. chapter 53, if:
 - a. The Recipient possessing the facility or equipment consents to the transfer, and
 - b. The facility or equipment will continue to be used as required under 49 U.S.C. § 5311.

13.B. Low or No Emission Vehicle Deployment.

If your Applicant seeks federal assistance from FTA for an Award financed under the Low or No Emission Vehicle Development Program authorized under former 49 U.S.C. § 5312(d)(5), the Certifications and Assurances in Category 13.B apply to your Applicant, except as FTA determines otherwise in writing.

Former section 5312(d)(5)(C)(i) of title 49, United States Code, requires the following Certifications for Low or No Emission Vehicle Deployment Program before awarding federal assistance appropriated or made available under MAP-21. Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify and assure that:

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award.
4. When using or involving a facility or equipment acquired or improved with federal assistance under former 49 U.S.C. § 5312(d)(5) during non-peak hours for transportation, it will ensure that the following individuals will be charged a fare not exceeding fifty (50) percent of the peak hour:
 - a. Any senior,
 - b. Any individual who, because of illness, injury, age, a congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or who has semi-ambulatory capability) and is unable to use a public transportation service or a public transportation facility effectively without special facilities, special planning, or special design,
 - c. Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 *et seq.*), and
 - d. Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 *et seq.*).
5. When carrying out a procurement under this Program, it will comply with:
 - a. The applicable general provisions of 49 U.S.C. § 5323, and
 - b. The applicable third party contract provisions of 49 U.S.C. § 5325.
6. It has complied with or will comply with 49 U.S.C. § 5307(b) because:
 - a. It has informed or will inform the public of the amounts of its federal assistance available under this Program,
 - b. It has developed or will develop, in consultation with interested parties including private transportation providers, its proposed Program of Projects for activities to be financed,
 - c. It has published or will publish its proposed Program of Projects in a way that affected individuals, private transportation providers, and local elected officials will have an opportunity to examine and submit comments on the proposed Projects and its performance as an Applicant,
 - d. It has provided or will provide an opportunity for a public hearing to obtain the views of individuals on its proposed Program of Projects,
 - e. It has assured or will assure that its proposed Program of Projects provides for coordination of public transportation services assisted under 49 U.S.C. § 5336, as amended by the FAST Act, with federally assisted transportation services supported by other federal sources,
 - f. It has considered or will consider the comments and views received, especially those of private transportation providers, in preparing its final list of Projects, and
 - g. It has made or will make the final list of Projects for which an Award is sought available to the public.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

7. With respect to the non-federal share:
 - a. It has or will have the amount of funds required for the non-federal share,
 - b. It will provide the non-federal share from sources approved by FTA, and
 - c. It will provide the non-federal share when needed.
8. It will comply with:
 - a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - b. The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.
9. It has a locally developed process to solicit and consider public comment before:
 - a. Raising a fare, or
 - b. Implementing a major reduction of public transportation service.
10. It will comply with the final federal regulations that, when issued, will implement the safety plan requirements of 49 U.S.C. § 5329(d).

CATEGORY 14. URBANIZED AREA FORMULA GRANTS PROGRAMS AND PASSENGER FERRY GRANT PROGRAM.

Before FTA may provide federal assistance for an Award financed under the Urbanized Area Formula Grants Program authorized under 49 U.S.C. § 5307, as amended by the FAST Act, which among other things, authorizes federal assistance for Job Access and Reverse Commute (JARC) activities, and the Passenger Ferry Grant Program authorized under 49 U.S.C. § 5307(h), you must select the Certifications in Category 14 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 14 that does not apply will not be enforced.

14.A. Urbanized Area Formula Grants Program under the FAST Act.

If your Applicant seeks federal assistance from FTA for an Award financed under the Urbanized Area Formula Grants Program authorized under 49 U.S.C. § 5307, as amended by the FAST Act, the Certifications in Category 14.A apply to your Applicant, except as FTA determines otherwise in writing.

The following Certifications for the Urbanized Area Formula Grants Program under 49 U.S.C. § 5307, as amended by the FAST Act are required by 49 U.S.C. § 5307(c)(1). Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan,
4. When using or involving a facility or equipment acquired or improved with federal assistance under 49 U.S.C. § 5307 during non-peak hours for transportation, it will ensure that the following individuals will be charged a fare not exceeding fifty (50) percent of the peak hour fare:
 - a. Any senior,
 - b. Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
 - c. Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 *et seq.*), and
 - d. Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 *et seq.*).
5. When carrying out a procurement under 49 U.S.C. § 5307, it will comply with:
 - a. The applicable general provisions of 49 U.S.C. § 5323, and
 - b. The applicable third party contract provisions of 49 U.S.C. § 5325.
6. It has complied with or will comply with 49 U.S.C. § 5307(b) because:
 - a. It has made or will make available to the public information on the amounts of federal assistance available to it under 49 U.S.C. § 5307,
 - b. It has developed or will develop, in consultation with interested parties including private transportation providers, its proposed Program of Projects for activities for which federal assistance is sought,
 - c. It has published or will publish its proposed Program of Projects in a way that affected individuals, private transportation providers, and local elected officials will have an opportunity to examine and submit comments on its proposed Program of Projects and its performance as an Applicant or Recipient,
 - d. It has provided or will provide an opportunity for a public hearing to obtain the views of individuals on its proposed Program of Projects,
 - e. It has ensured or will ensure that its proposed Program of Projects provides for coordination of transportation services financed by FTA under 49 U.S.C. § 5336, as amended by the FAST Act, with transportation services supported by other Federal Government sources,
 - f. It has considered or will consider the comments and views received, especially those of private transportation providers, in preparing its final Program of Projects, and
 - g. It has made or will make its final Program of Projects available to the public.
7. As required by 49 U.S.C. § 5307(d):
 - a. It has or will have the amount of funds required for the non-federal share,
 - b. It will provide the non-federal share from sources approved by FTA, and

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- c. It will provide the non-federal share when needed.
8. As required by 49 U.S.C. § 5307(c)(1)(H), it will comply with:
 - a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.
9. As required by 49 U.S.C. § 5307(c)(1)(I), it has a locally developed process to solicit and consider public comment before:
 - a. Raising a fare, or
 - b. Implementing a major reduction of public transportation.
10. Each fiscal year:
 - a. It will assure that at least one (1) percent of the amount of federal assistance under 49 U.S.C. § 5307 apportioned to its urbanized area must be expended for Public Transportation Security activities as described in 49 U.S.C. § 5307(c)(1)(J)(i) including:
 - (1) Increased lighting in or adjacent to a public transportation system (including bus stops, subway stations, parking lots, and garages),
 - (2) Increased camera surveillance of an area in or adjacent to that system,
 - (3) Emergency telephone line or lines to contact law enforcement or security personnel in an area in or adjacent to that system, and
 - (4) Any other activity intended to increase the security and safety of an existing or planned public transportation system, or
 - b. The Designated Recipients in its urbanized area certify that such expenditures for Public Transportation Security activities are not necessary.
11. If it serves an urbanized area with a population of at least 200,000 individuals, as determined by the Bureau of the Census:
 - a. It will provide a report by the end of the fourth quarter of the preceding federal fiscal year that lists projects carried out in the preceding fiscal year under this section for associated transit improvements as defined in 49 U.S.C. § 5302, and
 - b. The report of its Associated Transit Improvements or related activities is or will be incorporated by reference and made part of its Certifications and Assurances.
12. It will comply with the final federal regulations that, when issued, will implement the safety requirements of 49 U.S.C. § 5329(d).

14.B. Passenger Ferry Grant Program.

If your Applicant seeks federal assistance from FTA for an Award financed under the Passenger Ferry Grant Program authorized under 49 U.S.C. § 5307(h), the Certifications in Category 14.B apply to your Applicant, except as FTA determines otherwise in writing.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

The following Certifications for the Passenger Ferry Grant Program are required by 49 U.S.C. § 5307(c)(1) or (h). Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan.
4. When using or involving a facility or equipment acquired or improved with federal assistance under 49 U.S.C. § 5307(h) during non-peak hours for transportation, it will ensure that the following individuals will be charged a fare not exceeding fifty (50) percent of the peak hour fare:
 - a. Any senior,
 - b. Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
 - c. Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 *et seq.*), and
 - d. Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 *et seq.*).
5. When carrying out a procurement under 49 U.S.C. § 5307(h), it will comply with:
 - a. The applicable general provisions of 49 U.S.C. § 5323, and
 - b. The applicable third party contract provisions of 49 U.S.C. § 5325.
6. As required by 49 U.S.C. § 5307(d):
 - a. It has or will have the amount of funds required for the non-federal share,
 - b. It will provide the non-federal share from sources approved by FTA, and
 - c. It will provide the non-federal share when needed.
7. As required by 49 U.S.C. § 5307(c)(1)(H), it will comply with:
 - a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.
8. As required by 49 U.S.C. § 5307(c)(1)(I), it has a locally developed process to solicit and consider public comment before:
 - a. Raising a fare, or
 - b. Implementing a major reduction of public transportation service.
9. It will comply with the final federal regulations that, when issued, will implement the safety plan requirements of 49 U.S.C. § 5329(d).

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

CATEGORY 15. SENIORS AND INDIVIDUALS WITH DISABILITIES PROGRAMS.

Before FTA may provide federal assistance for an Award financed under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program authorized under 49 U.S.C. § 5310, as amended by the FAST Act, you must select the Certifications in Category 15 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 15 that does not apply will not be enforced.

1. The following Certifications for the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program are required by 49 U.S.C. § 5310. Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:
 - a. Each Subrecipient is:
 - (1) A private nonprofit organization, or
 - (2) A state or local governmental authority that:
 - (a) Is approved by a state to coordinate services for seniors and individuals with disabilities, or
 - (b) Certifies that there are no private nonprofit organizations readily available in the area to provide the services authorized for support under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program.
 - b. It will comply with the following selection and planning requirements:
 - (1) The Projects it has selected or will select for an Award or Subaward of federal assistance appropriated or made available under 49 U.S.C. § 5310 are included in a public transit-human services transportation plan that has been:
 - (a) Locally developed, and
 - (b) Coordinated.
 - (2) The public transit-human services transportation plan was developed and approved through a process that included participation by:
 - (a) Seniors,
 - (b) Individuals with disabilities,
 - (c) Representatives of public, private, and nonprofit transportation providers,
 - (d) Representatives of public, private, and nonprofit human services providers, and
 - (e) Other members of the public.
 - (3) Within its Award, the Projects selected to receive federal assistance will assist in providing transportation services for seniors and individuals with

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- disabilities are included in its Program of Projects, that is or will be submitted to FTA annually.
- (4) To the maximum extent feasible, the services financed by 49 U.S.C. § 5310 will be coordinated with transportation services financed by other federal departments and agencies, including any transportation activities carried out by a recipient of federal assistance from the Department of Health and Human Services.
 - c. As required by 49 U.S.C. § 5310(e)(2)(B), it certifies that if it allocates to any Subrecipient federal assistance received under 49 U.S.C. § 5310, it will have allocated that federal assistance on a fair and equitable basis.
 - d. It will not transfer a facility or equipment acquired or improved with federal assistance appropriated or made available for a grant under 49 U.S.C. § 5310 to any other recipient eligible to receive assistance under 49 U.S.C. chapter 53, unless:
 - (1) The recipient possessing the facility or equipment consents to the transfer, and
 - (2) The facility or equipment will continue to be used as required under 49 U.S.C. § 5310.
 - e. As required by 49 U.S.C. § 5310(b)(2), it will use at least fifty-five (55) percent of the federal assistance it receives for Capital Projects to meet the special needs of seniors and individuals with disabilities.
 - f. The requirements of 49 U.S.C. § 5307, as determined by FTA, will apply to the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program authorized by 49 U.S.C. § 5310.
2. FTA has determined that certain requirements of 49 U.S.C. § 5307 are appropriate for the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program, some of which require Certifications. Therefore, as specified under 49 U.S.C. § 5307(c)(1), it certifies that:
- a. It has or will have and will require each Subrecipient to have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
 - b. It has or will have and will require each Subrecipient to have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award or Subaward.
 - c. It will maintain and will require each Subrecipient to maintain its equipment and facilities acquired or improved under its Award or Subaward, in accordance with the recipient's transit asset management plan.
 - d. When carrying out a procurement under the Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program, it will require each Subrecipient to comply with:
 - (1) The applicable general provisions of 49 U.S.C. § 5323, and
 - (2) The applicable third party contract provisions of 49 U.S.C. § 5325.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- e. With respect to the non-federal share:
 - (1) It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by 49 U.S.C. § 5310,
 - (2) It will provide and, as necessary, will require each Subrecipient to provide the non-federal share from sources approved by FTA, and
 - (3) It will provide and, as necessary, will require each Subrecipient to provide the non-federal share when needed.
- f. It has complied or will comply and will require each Subrecipient to comply with:
 - (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - (2) The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.
- g. To the extent applicable, it will comply and require its Subrecipients to comply with the final federal regulations that, when issued, will implement the safety plan requirements of 49 U.S.C. § 5329(d).

CATEGORY 16. RURAL AREAS AND APPALACHIAN DEVELOPMENT PROGRAMS.

Before FTA may provide federal assistance for an Award financed under the Formula Grants for Rural Areas Program authorized under 49 U.S.C. § 5311(b), as amended by FAST Act, and the Appalachian Development Public Transportation Assistance Program authorized under 49 U.S.C. § 5311(c)(2), as amended by FAST, you must select the Certifications in Category 16 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 16 that does not apply will not be enforced.

16.A. Formula Grants for Rural Areas Program.

If your Applicant seeks federal assistance from FTA for an Award financed under the Formula Grants for Rural Areas Program authorized under 49 U.S.C. § 5311, as amended by FAST Act, the Certifications in Category 16.A apply to your Applicant, except as FTA determines otherwise in writing.

The following Certifications apply to each state or state organization serving as your Applicant for federal assistance appropriated or made available for the Rural Areas Formula Program financed under 49 U.S.C. § 5311(b), as amended by FAST Act. On its behalf, you certify and assure that:

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

1. It has or will have and require each Subrecipient to have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have and require each Subrecipient to have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain and require each Subrecipient to maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan.
4. Its state program has provided for a fair distribution of federal assistance appropriated or made available under 49 U.S.C. § 5311(b) within the state to eligible entities, including Indian reservations.
5. Its program provides or will provide the maximum feasible coordination of federal assistance for public transportation service authorized by 49 U.S.C. § 5311(b) with transportation service financed by other federal sources.
6. Its Awards and Subawards in its Formula Grants for Rural Areas Program are included in:
 - a. The statewide transportation improvement program, and
 - b. To the extent applicable, a metropolitan transportation improvement program.
7. With respect to the non-federal share:
 - a. It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by former 49 U.S.C. § 5311(g),
 - b. It will provide and, as necessary, will require each Subrecipient to provide the non-federal share from sources approved by FTA, and
 - c. It will provide and, as necessary, will require each Subrecipient to provide the non-federal share when needed.
8. It may transfer a facility or equipment acquired or improved under its Award to any other Recipient eligible to receive assistance under 49 U.S.C. chapter 53, if:
 - a. The Recipient possessing the facility or equipment consents to the transfer, and
 - b. The facility or equipment will continue to be used as required under 49 U.S.C. § 5311.
9. Each fiscal year:
 - a. It will spend at least fifteen (15) percent of its federal assistance authorized under 49 U.S.C. § 5311 and available that fiscal year for eligible activities to develop and support intercity bus transportation within the state including:
 - (1) Planning and marketing for intercity bus transportation,
 - (2) Capital grants for intercity bus facilities,
 - (3) Joint-use facilities,
 - (4) Operating grants through purchase-of-service agreements, user-side subsidies, and demonstration projects, and

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (5) Coordinating rural connections between small public transportation operations and intercity bus carriers, or
- b. It will provide to FTA a Certification from the governor of the state that:
 - (1) It has consulted with the affected intercity bus service providers about the intercity bus needs of the state, and
 - (2) The state's intercity bus service needs are being met adequately.

16.B. Appalachian Development Public Transportation Assistance Program.

If your Applicant seeks federal assistance from FTA for an Award financed under the Appalachian Development Public Transportation Assistance Program authorized under 49 U.S.C. § 5311(c)(2), the Certification in Category 16.C applies to your Applicant, except as FTA determines otherwise in writing.

On behalf of your Applicant, you certify and assure that, in addition to other Certifications and Assurances it must provide, if it is unable to use its federal assistance made available or appropriated for public transportation operating assistance, in accordance with 49 U.S.C. § 5311(c)(2)(D), it may use the federal assistance for a Highway Project only after:

1. It provides notice and an opportunity for comment and appeal to affected public transportation providers,
2. It approves such use in writing, and
3. In approving the use, it determines that local transit needs are being addressed.

CATEGORY 17. TRIBAL TRANSIT PROGRAMS (PUBLIC TRANSPORTATION ON INDIAN RESERVATIONS PROGRAMS).

Before FTA may provide federal assistance for an Award financed under either the Public Transportation on Indian Reservations Formula or Discretionary Program authorized under 49 U.S.C. § 5311(c)(1), as amended by the FAST Act, (Tribal Transit Programs), you must select the Certifications in Category 17 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 17 that does not apply will not be enforced.

FTA has established terms and conditions for Tribal Transit Program grants financed with federal assistance appropriated or made available under 49 U.S.C. § 5311(c)(1). On behalf of your Applicant, you certify and assure that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan.
4. Its Award will achieve maximum feasible coordination with transportation service financed by other federal sources.
5. With respect to its procurement system:
 - a. It will have a procurement system that complies with U.S. DOT regulations, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR part 1201, which incorporates by reference U.S. OMB regulatory guidance, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards," 2 CFR part 200, for Awards made on or after December 26, 2014,
 - b. It will have a procurement system that complies with U.S. DOT regulations, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," 49 CFR part 18, specifically former 49 CFR § 18.36, for Awards made before December 26, 2014, or
 - c. It will inform FTA promptly that its procurement system does not comply with either of those U.S. DOT regulations.
6. It will comply with the Buy America requirements under 49 U.S.C. § 5323(j), as amended by FAST Act, and FTA regulations, "Buy America Requirements," 49 CFR part 661.
7. It will comply with the Certifications, Assurances, and Agreements in:
 - a. Category 03.B and 03.C (Charter Service Agreement and School Bus Agreement),
 - b. Category 05.B (Bus Testing),
 - c. Category 06 (Demand Responsive Service),
 - d. Category 07 (Intelligent Transportation Systems), and
 - e. Category 10 (Alcohol and Controlled Substances Testing).

CATEGORY 18. STATE SAFETY OVERSIGHT GRANT PROGRAM.

Before FTA may provide federal assistance for an Award financed under the State Safety Oversight Grant Program authorized under 49 U.S.C. § 5329(e)(6), you must select the Certifications in Category 18 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 18 that does not apply will not be enforced.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

On behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award.
4. When carrying out a procurement under its Award, it will comply with:
 - a. The applicable general provisions of 49 U.S.C. § 5323, and
 - b. The applicable third party contract provisions of 49 U.S.C. § 5325.
5. As required by 49 U.S.C. § 5329(e)(6)(C):
 - a. It has or will have the amount of funds required for the non-federal share,
 - b. It will provide the non-federal share only from sources approved by FTA, and will not be met by:
 - (1) Any federal assistance,
 - (2) Any funds received from a public transportation agency, or
 - (3) Any revenues earned by a public transportation agency, and
 - c. Will provide the non-federal share when needed.
6. It meets the applicable requirements of FTA regulations, "Rail Fixed Guideway Systems: State Safety Oversight," 49 CFR part 659.
7. It has received or will receive an FTA certification upon a determination that its State Safety Oversight Program meets the requirements of 49 U.S.C. § 5329(e) and is adequate to promote the purposes of 49 U.S.C. § 5329.

CATEGORY 19. PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM.

Before FTA may provide federal assistance for an Award financed under the Public Transportation Emergency Relief Program authorized under 49 U.S.C. § 5324, you must select the Certifications in Category 19 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Assurance in Category 19 that does not apply will not be enforced.

As required by 49 U.S.C. § 5324(d), on behalf of your Applicant, you assure that it will:

1. Comply with the requirements of the Certifications and Assurances as FTA determines will apply to an Applicant for federal assistance appropriated or made available for the Public Transportation Emergency Relief Program, and
2. Comply with FTA regulations, "Emergency Relief," 49 C.F.R. part 602.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

CATEGORY 20. EXPEDITED PROJECT DELIVERY PILOT PROGRAM.

Before FTA may provide federal assistance for an Award financed under the Expedited Project Delivery Pilot Program authorized under section 3005(b) of the FAST Act, you must select the Certifications in Category 20 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

To the extent that any Certification in Category 20 does not apply, it will not be enforced.

As required by section 3005(b)(3)(B) of the FAST Act, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:

1. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
2. It has or will have satisfactory continuing control over the use of its equipment and facilities acquired or improved under its Award.
3. It will maintain its equipment and facilities acquired or improved under its Award in accordance with the recipient's transit asset management plan.
4. It will comply with:
 - a. The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - b. The statewide and nonmetropolitan transportation planning requirements of 49 U.S.C. § 5304.

CATEGORY 21. INFRASTRUCTURE FINANCE PROGRAMS.

Before FTA may provide credit assistance for an Award financed under the Transportation Infrastructure Finance and Innovation Act (TIFIA) Program authorized under 23 U.S.C. §§ 601 – 609, or the State Infrastructure Banks (SIB) Program authorized under 23 U.S.C. § 610, you must select the Certifications in Category 23 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 21 that does not apply will not be enforced.

21.A. Transportation Infrastructure Finance and Innovation Act (TIFIA) Program.

If your Applicant seeks federal assistance from FTA for an Award financed under the TIFIA Program authorized under 23 U.S.C. §§ 601 – 609 the Certifications and Assurances in Category 23.A applies to your Applicant, except as FTA determines

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

otherwise in writing. In administering this Program, the FAST Act cross-cutting requirements supersede inconsistent former requirements.

On behalf of your Applicant, you certify and assure, as required by 49 U.S.C. § 5323(o), that federal transit laws, specifically 49 U.S.C. § 5307, 49 U.S.C. § 5309, and 49 U.S.C. § 5337, apply to any Project under 49 U.S.C. chapter 53 that receives TIFIA credit assistance under 23 U.S.C. §§ 601 – 609.

1. To comply with 49 U.S.C. § 5307, specifically 49 U.S.C. § 5307(c)(1), on its behalf, you certify that:
 - a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
 - b. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
 - c. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan.
 - d. For transportation during non-peak hours and using or involving a facility or equipment of an Award financed using 49 U.S.C. § 5307 funds, it will ensure that the following individuals will be charged a fare not exceeding fifty (50) percent of the peak hour fare:
 - (1) Any senior,
 - (2) Any individual who, because of illness, injury, age, congenital malfunction, or other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
 - (3) Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 *et seq.*), and
 - (4) Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 *et seq.*).
 - e. When carrying out a TIFIA-financed procurement, it will comply with:
 - (1) The applicable provisions of 49 U.S.C. § 5323, and
 - (2) The applicable provisions of 49 U.S.C. § 5325.
 - f. It has complied with or will comply with 49 U.S.C. § 5307(b).
 - g.
 - (1) It has or will have no more than 80 percent of the Total Award Budget as the sum of all federal grants and any TIFIA-financed awards,
 - (2) It will provide the non-federal share from sources approved by FTA, and
 - (3) It will provide the non-federal share when needed.
 - h. It will comply with:
 - (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - (2) The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- i. It has a locally developed process to solicit and consider public comment before:
 - (1) Raising a fare, or
 - (2) Implementing a major reduction of public transportation.
- j. It will comply with the final federal regulations that, when issued, will implement the safety plan requirements of 49 U.S.C. § 5329(d).
2. To comply with the interest and financing costs restrictions of 49 U.S.C. chapter 53, it agrees that it will not seek reimbursement for interest or any other financing costs incurred in connection with its Award that must be in compliance with those requirements unless:
 - a. It is eligible to receive federal assistance for those expenses, and
 - b. Its records demonstrate that it has used reasonable diligence in seeking the most favorable financing terms underlying those costs, to the extent FTA may require.
3. It will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*).
4. Pursuant to the National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. § 5321 *et seq.*, it will receive an environmental categorical exclusion, a finding of no significant impact, or a record of decision under NEPA for its Award before obligating federal assistance.
5. It agrees that it will adopt a transit asset management plan that complies with regulations implementing 49 U.S.C. § 5326(d), when required.

21.B. State Infrastructure Banks (SIB) Program.

If your Applicant is a state and seeks federal assistance from FTA financed under the SIB Program authorized under 23 U.S.C. § 610, the Certifications and Assurances in Category 23.B applies to your state and its Award, except as FTA determines otherwise in writing. In administering this Program, the FAST Act cross-cutting requirements supersede inconsistent former requirements.

On behalf of the state organization serving as your Applicant for federal assistance for its SIB Program, you certify and assure that:

1. It will comply with the following applicable federal laws establishing the various SIB Programs since 1995:
 - a. 23 U.S.C. § 610, as amended by the FAST Act,
 - b. 23 U.S.C. § 610 or its predecessor before the FAST Act was signed into law,
 - c. Section 1511 of TEA-21, 23 U.S.C. § 181 note, or
 - d. Section 350 of the National Highway System Designation Act of 1995, as amended, 23 U.S.C. § 181.
2. It will comply with or follow the Cooperative Agreement establishing the state's SIB Program between:
 - a. It and FHWA, FRA, and FTA, or
 - b. It and FHWA and FTA.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

3. It will comply with or follow the Grant Agreement that provides federal assistance from FTA for the SIB and is between it and FTA, including the FTA Master Agreement, which is incorporated by reference into the Grant Agreement, except that any provision of the FTA Master Agreement incorporated by reference into that Grant Agreement will not apply if it conflicts with any provision of:
 - a. 23 U.S.C. § 610, as amended by the FAST Act,
 - b. 23 U.S.C. § 610 or its predecessor before the FAST Act was signed into law,
 - c. Section 1511 of TEA-21, 23 U.S.C. § 181 note, or section 350 of the National Highway System Designation Act of 1995, as amended, 23 U.S.C. § 181 note,
 - d. Federal guidance pertaining to the SIB Program,
 - e. The Cooperative Agreement establishing the state's SIB Program, or
 - f. The Grant Agreement with FTA.
4. As required by 49 U.S.C. § 5323(o), federal transit laws, specifically 49 U.S.C. § 5307, 49 U.S.C. § 5309, and 49 U.S.C. § 5337, as amended by the FAST Act, apply to any Award under 49 U.S.C. chapter 53 that receives SIB support or financing under 23 U.S.C. § 610 (or any support from 23 U.S.C. §§ 601 – 609).
5. As required by 49 U.S.C. § 5323(o) and 49 U.S.C. § 5307(c)(1):
 - a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
 - b. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
 - c. It will maintain its equipment and facilities acquired or improved under its Award, in accordance with the recipient's transit asset management plan.
 - d. When using or involving a facility or equipment acquired or improved with federal assistance under a SIB-financed Award during non-peak hours for transportation, it will ensure that the following individuals will be charged a fare not exceeding fifty (50) percent of the peak hour fare:
 - (1) Any senior,
 - (2) Any individual who, because of illness, injury, age, congenital malfunction, or any other incapacity or temporary or permanent disability (including an individual who is a wheelchair user or has semi-ambulatory capability), is unable to use a public transportation service or a public transportation facility effectively without special facilities, planning, or design,
 - (3) Any individual presenting a Medicare card issued to that individual under title II of the Social Security Act (42 U.S.C. § 401 *et seq.*), and
 - (4) Any individual presenting a Medicare card issued to that individual under title XVIII of the Social Security Act (42 U.S.C. § 1395 *et seq.*).
 - e. When carrying out a procurement under a SIB-financed Award, it will comply with:
 - (1) The applicable general provisions of 49 U.S.C. § 5323, and
 - (2) The applicable third party contract provisions of 49 U.S.C. § 5325.
 - f. It has complied with or will comply with 49 U.S.C. § 5307(b).

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- g. (1) It has or will have the amount of funds required for the non-federal share by the SIB Program, but not less than twenty-five (25) percent of each capitalization grant,
 - (2) It will provide the non-federal share from sources approved by FTA, and
 - (3) It will provide the non-federal share when needed.
 - h. It will comply with:
 - (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - (2) The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.
 - i. It has a locally developed process to solicit and consider public comment before:
 - (1) Raising a fare, or
 - (2) Implementing a major reduction of public transportation.
 - j. It will comply with the final federal regulations that, when issued, will implement the safety plan requirements of 49 U.S.C. § 5329(d).
6. As required by 49 U.S.C. chapter 53, it certifies that it will not seek reimbursement for interest or any other financing costs incurred in connection with its Award unless:
- a. It is eligible to receive federal assistance for those expenses, and
 - b. Its records demonstrate that it has used reasonable diligence in seeking the most favorable financing terms underlying those costs, as FTA may require.
7. It agrees that it will adopt a transit asset management plan that complies with regulations implementing 49 U.S.C. § 5326(d).

GROUP CATEGORY 22. PAUL S. SARBANES TRANSIT IN PARKS PROGRAM

Before FTA may provide federal assistance for an Award financed under the Paul S. Sarbanes Transit in Parks Program authorized under former 49 U.S.C. § 5320, in effect in FY 2012 or a previous fiscal year, except as superseded by FAST Act requirements that apply you must select the Certifications in Category 22 in addition to other Certifications and Assurances you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications and Assurances in Category 22 that does not apply will not be enforced.

- 1. Except as superseded by the FAST Act cross-cutting requirements that apply, the following Certifications and Assurances for the Paul S. Sarbanes Transit in Parks Program (Parks Program) are required by former 49 U.S.C. § 5320, in effect in FY 2012 or a previous fiscal year. Therefore, except as FTA determines otherwise in writing, on behalf of your Applicant, you certify that:

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- a. It will consult with the appropriate federal land management agency during the planning process, and
 - b. The requirements of former 49 U.S.C. § 5307, as determined by FTA, will apply to the Parks Program authorized by former 49 U.S.C. § 5320.
2. FTA has determined certain requirements of former 49 U.S.C. § 5307 to be appropriate for the Parks Program, of which some require Certifications. Therefore, as specified under former 49 U.S.C. § 5307(d)(1), except as superseded by the FAST Act cross-cutting requirements that apply, you certify that:
- a. It has or will have the legal, financial, and technical capacity to carry out its Award, including the safety and security aspects of that Award.
 - b. It has or will have satisfactory continuing control over the use of equipment and facilities acquired or improved under its Award.
 - c. It will maintain its equipment and facilities acquired or improved under its Award.
 - d. When carrying out a procurement under former 49 U.S.C. § 5320, it will comply and will require each Subrecipient to comply with the following provisions:
 - (1) Competitive procurement (as defined or approved by FTA) requirements of 49 U.S.C. § 5325(a),
 - (2) The prohibition against exclusionary or discriminatory specifications in its procurements under 49 U.S.C. § 5323(h),
 - (3) “Buy America” requirements under 49 U.S.C. § 5323(j), as amended by the FAST Act, and FTA regulations, “Buy America Requirements,” 49 CFR part 661,
 - (4) Applicable pre-award and post-delivery requirements of 49 U.S.C. § 5323(m),
 - (5) Applicable railcar option restrictions of 49 U.S.C. § 5325(e), and
 - (6) “Veterans Preference/Employment” requirements under 49 U.S.C. § 5325(k).
 - e. It will comply with other applicable requirements under 49 U.S.C. § 5323 and § 5325.
 - f. It has complied or will comply with the requirements of former 49 U.S.C. § 5307(c), and specifically:
 - (1) It has made or will make available to the public information on the amounts available for the Parks Program, former 49 U.S.C. § 5320, and the Projects it proposes to implement under its Award,
 - (2) It has developed or will develop, in consultation with interested parties including private transportation providers, Projects to be financed under its Award,
 - (3) It has published or will publish a list of proposed Projects in a way that affected citizens, private transportation providers, and local elected officials have the opportunity to examine the proposed Projects and submit comments on the proposed Projects and its performance,

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- (4) It has provided or will provide an opportunity for a public hearing to obtain the views of citizens on the proposed Projects,
 - (5) It has considered or will consider the comments and views received, especially those of private transportation providers, in preparing its final list of Projects, and
 - (6) It has made or will make the final list of Projects for which an Award is sought available to the public.
- g. With respect to the non-federal share:
- (1) It has or will have and, as necessary, will require each Subrecipient to have the amount of funds required for the non-federal share, as required by 49 U.S.C. § 5320,
 - (2) It will provide the non-federal share from sources approved by FTA, and
 - (3) It will provide the non-federal share when needed.
- h. It has complied or will comply with and will require each Subrecipient to comply with:
- (1) The metropolitan transportation planning requirements of 49 U.S.C. § 5303, and
 - (2) The statewide and nonmetropolitan planning requirements of 49 U.S.C. § 5304.
- i. It has a locally developed process to solicit and consider public comment before:
- (1) Raising a fare, or
 - (2) Implementing a major reduction of public transportation.

CATEGORY 23. CONSTRUCTION HIRING PREFERENCES.

Before FTA may provide federal assistance for a third party contract for construction hiring financed under title 49 U.S.C. or title 23 U.S.C. using a geographic, economic, or any other hiring preference not otherwise authorized by federal law or regulation, you must select the Certifications in Category 23 on behalf of your Applicant in addition to other Certifications you must select on your Applicant's behalf, except as FTA determines otherwise in writing.

Any provision of the Certifications in Category 23 that does not apply will not be enforced.

As provided by section 192 of division L, title I of the Consolidated Appropriations Act, 2016, Pub. L. 114-113, on behalf of your Applicant, you certify that if, in connection with any third party contract for construction hiring financed under title 49 U.S.C. or title 23 U.S.C., it uses a geographic, economic, or any other hiring preference not otherwise authorized by law or prohibited under 2 CFR § 200.319(b):

1. Except with respect to apprentices or trainees, a pool of readily available but unemployed individuals possessing the knowledge, skill, and ability to perform the

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

- work that the third party contract requires resides in the jurisdiction where the work will be performed;
2. It will include appropriate provisions in its bid document ensuring that its third party contractor(s) do not displace any of its existing employees in order to satisfy such hiring preference; and
 3. That any increase in the cost of labor, training, or delays resulting from the use of such hiring preference does not delay or displace any transportation project in the applicable Statewide Transportation Improvement Program or Transportation Improvement Program.

Selection and Signature Page(s) follow.

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

**FEDERAL FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES FOR
FEDERAL TRANSIT ADMINISTRATION ASSISTANCE PROGRAMS**

(Signature pages alternative to providing Certifications and Assurances in TrAMS)

Name of Applicant: _____

The Applicant agrees to comply with applicable provisions of Categories 01 – 23. _____

OR

The Applicant agrees to comply with applicable provisions of the Categories it has selected:

<u>Category</u>	<u>Description</u>	
01.	Required Certifications and Assurances for Each Applicant.	_____
02.	Lobbying.	_____
03.	Procurement and Procurement Systems.	_____
04.	Private Sector Protections.	_____
05.	Rolling Stock Reviews and Bus Testing.	_____
06.	Demand Responsive Service.	_____
07.	Intelligent Transportation Systems.	_____
08.	Interest and Financing Costs and Acquisition of Capital Assets by Lease.	_____
09.	Transit Asset Management Plan and Public Transportation Agency Safety Plan.	_____
10.	Alcohol and Controlled Substances Testing.	_____
11.	Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core Capacity Improvement).	_____
12.	State of Good Repair Program.	_____
13.	Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs.	_____
14.	Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program.	_____
15.	Seniors and Individuals with Disabilities Programs.	_____
16.	Rural Areas and Appalachian Development Programs.	_____
17.	Tribal Transit Programs (Public Transportation on Indian Reservations Programs).	_____
18.	State Safety Oversight Grant Program.	_____
19.	Public Transportation Emergency Relief Program.	_____
20.	Expedited Project Delivery Pilot Program.	_____
21.	Infrastructure Finance Programs.	_____
22.	Paul S. Sarbanes Transit in Parks Program.	_____
23.	Hiring Preferences	_____

FTA FISCAL YEAR 2016 CERTIFICATIONS AND ASSURANCES

FEDERAL FISCAL YEAR 2016 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE
(Required of all Applicants for federal assistance to be awarded by FTA and all FTA Grantees with an active Capital or Formula Award)

AFFIRMATION OF APPLICANT

Name of the Applicant: _____

Name and Relationship of the Authorized Representative: _____

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2016, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded by FTA during federal fiscal year 2016.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature _____ Date: _____

Name _____
Authorized Representative of Applicant

AFFIRMATION OF APPLICANT'S ATTORNEY

For (Name of Applicant): _____

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature _____ Date: _____

Name _____
Attorney for Applicant

Each Applicant for federal assistance to be awarded by FTA and each FTA Recipient with an active Capital or Formula Project or Award must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within FTA's electronic award and management system, provided the Applicant has on file and uploaded to FTA's electronic award and management system this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.